Spatiotemporal Analysis of the Controlling Factors of Forest Cover Change in the Romanian Carpathian Mountains

Authors: Steven Vanonckelen, and Anton Van Rompaey

Source: Mountain Research and Development, 35(4) : 338-350

Published By: International Mountain Society

URL: https://doi.org/10.1659/MRD-JOURNAL-D-15-00014
Spatiotemporal Analysis of the Controlling Factors of Forest Cover Change in the Romanian Carpathian Mountains

Steven Vanonckelen1,2* and Anton Van Rompaey1

* Corresponding author: steven.vanonckelen@lnx.vlaanderen.be
1 Division of Geography, Katholieke Universiteit Leuven, Celestijnenlaan 200E, BE-3001 Heverlee, Belgium
2 Agency of Nature and Forests, Duboislaan 14, BE-1560 Hoeilaart, Belgium

Open access article: please credit the authors and the full source.

Forest cover change is driven by complex processes that depend on political, conservation, and biophysical conditions. At present, mountain areas worldwide are undergoing intense forest cover change. Local-scale studies exist, but policy makers lack reliable and consistent information at the regional scale about long-term trends, controlling factors, and the success of existing policy measures. Long-term forest cover change data based on advanced image preprocessing procedures have recently become available. This study explores the potential of such data for a regional-scale analysis of forest cover change in mountain areas by analyzing forest cover change in the Romanian Carpathian Ecoregion (about 107,000 km²) between 1985 and 2010. It shows that (1) extrapolations from local to regional scale are inaccurate, (2) European forest protection policies have been unsuccessful, and (3) the Romanian Carpathians are greening due to land abandonment in remote areas.

Keywords: Forest cover change; image preprocessing; logistic regression; controlling factors; European Union conservation policies; mountain areas; Carpathian Mountains; Romania.

Peer-reviewed: July 2015 Accepted: September 2015

Introduction

The Carpathian mountain range connects 8 Eastern European countries, from Serbia and Romania in the south to Austria, the Czech Republic, Hungary, Poland, Slovakia, and Ukraine in the north (Björnsen et al 2009). In Romania, several natural and anthropogenic factors have influenced forest cover changes. Changes in land zoning and ownership regimes are considered to be the major drivers of forest cover changes during the past decades. Land reforms following the collapse of the communist regime in Romania in 1989 affected large areas of forest land that were transferred from state to private ownership. Both protected and unprotected forest areas changed owners during the 3 restitution phases in 1991, 2000, and 2005 (Abrudan et al 2009; Knorn et al 2012b).

Studies focusing on forest cover dynamics during these transition periods have reported both increasing and decreasing deforestation rates (Table 1). The scale of these studies varies from national (Strimbu et al 2005; Abrudan et al 2009; Dutca and Abrudan 2010; Ioja et al 2010), to mountain range (Olofsson et al 2011; Griffths et al 2012, 2013a, 2013b, 2013c; Vanonckelen et al 2013) to local (Kuemmerle et al 2008b; Müller et al 2009; Knorn et al 2012a, 2012b; Müller et al 2013), with a clear dominance of local studies. These studies show that 4 factors explain increased logging rates after the implementation of forest restitution laws. First, the economic recession provided an incentive for the new owners to immediately clear-cut their forests for short-term returns (Strimbu et al 2005; Ioras and Abrudan 2006). Second, Romania’s forest restitution was a slow and complex process, with many new owners fearing that their property rights were not permanent (Sikor et al 2009), which also led to rapid deforestation. Third, the postsocialist period in Romania was characterized by weak institutions and law enforcement, which resulted in increased illegal logging (Strimbu et al 2005; Ioja et al 2010). Fourth, new forest owners often lacked capacity and knowledge for sustainable forest management and nature conservation, which triggered an unintended degradation of the forest resources (Knorn et al 2012b).

Although these local-scale case studies have provided new insights into the causes of deforestation, questions remain. It is unknown to what extent the deforestation rates they identified are representative of the whole Romanian Carpathians, since researchers tend to focus on hot spots of forest cover change. Also, it is unclear to what extent national forest protection programs had a significant impact. In order to address these questions, a national-scale analysis is essential. This, however, is possible only if forest cover change can be accurately mapped for the entire Romanian Carpathians over
several decades. Until recently, reliable data at those scales were unavailable. Recent breakthroughs in the automatic processing of medium-scale satellite imagery in mountain areas, such as improved topographic correction and compositing of individual image footprints (e.g., Balthazar et al. 2012; Griffiths et al. 2013a, 2013c; Hansen et al. 2013; Vanonckelen et al. 2013, 2014, 2015), have made regional-scale analysis possible.

The objective of this study was to detect, quantify, and explain national trends in forest cover change in the Romanian Carpathians. The multitemporal land cover dataset for the Romanian Carpathians (1985–2010) developed by Vanonckelen et al. (2015) was used as a starting point for the detection of longer-term forest cover change patterns. Next, the relative importance of several possible controlling factors of forest cover change—accessibility, demographic change, land-use policy, and the biophysical environment—was evaluated to detect controlling factors and policy impacts.

### Materials and methods

#### Study area
The regional-scale study area is the Romanian Carpathian Ecoregion (Figure 1), about 107,000 km² of mountainous terrain with elevations up to 2544 m. It has a temperate-continental climate, with a mean annual temperature of about 7°C and a mean annual rainfall between 750 and 1400 mm (Mihai et al. 2007; Müller et al. 2009). Major soils include Podzols in the mountain zone and Cambisols in the foothill zone (FAO, UNESCO, and WRB 1988).

Three elevation zones are present in the Romanian Carpathian Mountains (Figure 2): a foothill zone, with mixed and broadleaved forests (e.g., *Betula pendula*, *Carpinus betulus*, and *Fagus sylvatica*; Figure 2A), between 250 and 1500 m; a mountain zone between 1500 and 2200 m with coniferous forests (e.g., *Abies alba*, *Picea abies*, and *Pinus mugo*; Figure 2B); and an alpine zone above 2200 m (the tree line), dominated by *Carex curvula*, *Festuca supina*, and *Juncus trifidus* (Enescu 1996; Mihai et al. 2007; Kuemmerle et al. 2008b; Vanonckelen et al. 2014).

#### Mapping forest cover change in the Romanian Carpathian Ecoregion
Three maps of forest cover in the study area were constructed for the target years 1985, 1995, and 2010 (Figure 3) by compositing and classifying Landsat imagery. Imagery was selected from the Landsat archive based on 2 criteria. First, all available Thematic Mapper and Enhanced Thematic Mapper Plus images with a standard precision terrain correction and less than 70% cloud cover were considered (Griffiths et al. 2013c). Second, all images acquired between mid-February and mid-November within a 2-year range of the target years (1985, 1995, and 2010) were retained. The range of months was limited to avoid low sun angles, shadowing, and high snow coverage.

The Romanian part of the ecoregion is covered by 9 Landsat footprints (Figure 1), which were composited using a pixel-based algorithm developed by Griffiths et al. (2013c), whereby the best pixel available in the archive is

---

**TABLE 1** Studies of forest cover dynamics in Romania.

<table>
<thead>
<tr>
<th>Study area</th>
<th>Time frame</th>
<th>Forest cover change</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Not applicable</td>
<td>Griffiths et al. 2013c</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Decrease</td>
<td>Griffiths et al. 2013a</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Increase</td>
<td>Griffiths et al. 2013b</td>
</tr>
<tr>
<td>Northern Romania</td>
<td>1987–2009</td>
<td>Decrease</td>
<td>Knorn et al. 2012b</td>
</tr>
<tr>
<td>Argeș County</td>
<td>1990–2005</td>
<td>Stable</td>
<td>Kuemmerle et al. 2008b</td>
</tr>
<tr>
<td>Romania</td>
<td>1993–2003</td>
<td>Not applicable</td>
<td>Strimbu et al. 2005</td>
</tr>
<tr>
<td>Romania</td>
<td>1998–2009</td>
<td>Not applicable</td>
<td>Ioja et al. 2010</td>
</tr>
<tr>
<td>Romania</td>
<td>1990–2006</td>
<td>Increase</td>
<td>Dutca and Abrudan 2010</td>
</tr>
<tr>
<td>Carpathian Mountains</td>
<td>1990–2010</td>
<td>Decrease</td>
<td>Olofsson et al. 2011</td>
</tr>
<tr>
<td>Northern Romania</td>
<td>2000–2010</td>
<td>Decrease</td>
<td>Knorn et al. 2012a</td>
</tr>
<tr>
<td>Carpathian ecoregion</td>
<td>1985–2010</td>
<td>Not applicable</td>
<td>Vanonckelen et al. 2015</td>
</tr>
</tbody>
</table>
selected. The resulting image was then topographically corrected using a pixel-based process proposed by Vanonckelen et al (2014). Forest was defined as land spanning more than 0.5 ha with trees higher than 5 m and a canopy cover of more than 10%, or trees able to reach these thresholds in situ (FAO 2010).

Next, 3 forest cover types—broadleaved, coniferous, and mixed—were detected with a Support Vector Machine classifier (Figure 3). The classifier was trained based on randomly stratified points that were sampled using high-resolution imagery from Google Earth. For each forest cover class, at least 900 training points were sampled. The resulting forest cover maps were validated with a different set of points that were sampled independently based on randomly selected ground control points (GCPs) from 2 sources: collection during field visits (May 2010 and July 2011) and identification on high-resolution satellite imagery (WorldView-2, 8 bands, 46 cm resolution, acquired on 13 October 2010).

Based on the 3 forest cover maps, forest cover change maps were produced for 1985–1995 and 1995–2010 (Figure 4), showing areas of stability, afforestation, deforestation, and disturbance. Following the FAO (2010) definition, afforestation was defined as transformation from nonforest to forest, either by planting or by natural succession, and deforestation was defined as “the conversion of forest to another land use or the long-term reduction of the tree canopy cover below the minimum 10 percent threshold” (FAO 2001: 23). Thus, deforestation included forest loss due to expansion of agricultural land, clear-cuts, and forest die-backs, while afforestation included forest gain due to land abandonment, natural regrowth, and forest plantations. The disturbance class contains the conversions from one forest class into another forest class, e.g. from broadleaved to coniferous forest (Vanonckelen et al 2015).

Potential controlling factors of forest cover change

Variables that could explain observed land cover changes between 1985–1995 and 1995–2010 were selected based on 2 criteria. First, observed land change processes were linked with possible explanatory variables suggested in the literature. This resulted in the formulation of 4 hypotheses on possible controlling factors, which were related to accessibility, demography, land-use policy, and the biophysical environment. A second criterion was the nationwide availability of categorical and numerical data that could be considered as proxy variables for the controlling factors.

Hypothesis 1 about accessibility: The more accessible an area is by road, the more deforestation will occur. Various studies have identified accessibility as an important controlling factor of both deforestation and afforestation. The spatially explicit land-use model of von Thünen (1826) demonstrated that physical accessibility of the land can control land cover, since periurban farmers’ profits depend on the cost of transportation to the market. This has been confirmed by many local-scale studies reporting higher rates of deforestation along roads (e.g. Chomitz and...
Gray 1996; Wilkie et al 2000). In this study, the shortest distance to the nearest settlement and primary and secondary road was calculated for each pixel. The location of roads and settlements was extracted from the 2003 Nomenclature of Territorial Units for Statistics database (European Commission 2013).

Hypothesis 2 about demography: The denser the population is in an area, the more deforestation will occur. Demographic variables can also be linked with deforestation and afforestation. Normally, changes in population density are related to accessibility; for example, people migrate from inaccessible to accessible places. Census data show that Romania’s population increased substantially between 1960 and 1992, stimulated by the family policy of the central government (NIS Romania 2013). During the communist regime, a major part of the rural population abandoned their land and moved to the cities (Turnock 1991). After the fall of communism in 1989, the migration to urban areas continued. However, this trend was reversed after 1997, and a net urban–rural movement was detected, with people returning to rural areas when city life became more expensive and job uncertainty increased (Guran-Nica et al 2010). In this study, data on population density in 1986 and 2010 (NIS Romania 2013) were analyzed at the communal (ie parish) level (Solovastru 2010).

Hypothesis 3 about land-use policy: The better an area is protected, the less deforestation will occur. Romanian land-use policy has undergone several phases: the communist period with a centralized policy and collective farming was followed by a transition phase, the start of a free-market system, and finally accession to the European Union (EU). These transitions initially created uncertainty about land tenure, since a new forest protection system was established following EU guidelines. The 3 restitution laws of 1991, 2000, and 2005 affected large forest areas, and both protected and unprotected forest changed owners during the 3 transition phases. The consequences of this transitional period have been described for other countries in Eastern Europe (Van Rompaey et al 2007; Kuenmerle et al 2008a; Szilassi et al 2010). Under Law 18/1991, approximately 353,000 ha of forestland were returned to about 400,000 pre-1948 individual owners (up to 1 ha per owner). In 2000, another land restitution law (Law 12/2000) was passed, and according to this law all community, town, and communal forests should be restituted to their former owners. The third restitution law (Law 247/2005) was passed in 2005; according to its provisions, all forests (including protected areas) should be restituted to the former owners irrespective of size, location, and ownership type (Abrudan et al 2009).

In Romania, cropland abandonment between 1990 and 2005 was mainly triggered by policy reforms whereby steep lands at high elevations were the first to be taken out of production (Kuemmerle et al 2008b; Müller et al 2009, 2013). Land ownership data are still stored at the communal level, and it was therefore impossible to compile a national-scale landownership map for this study. The analysis of possible policy effects on forest-cover dynamics was therefore restricted to the evaluation of the forest protection areas imposed by the European Natura 2000 protection program, which established 2 types of protection zones: special protection areas and areas of special conservation interest. A Special Area of Conservation (SAC) is defined in the European Union’s Habitats Directive and ensures measures to conserve natural habitats (European Commission 2009). SACs complement Special Protection Areas (SPAs), which have the duty to safeguard the habitats of migratory birds and certain particularly threatened birds (European
Together with SACs, the SPAs form a network of protected sites across the EU.

**Hypothesis 4 about the biophysical environment**: The better the quality of the soils and the steeper the slopes are in an area, the more deforestation will occur. The biophysical environment is a key variable that controls the spatial pattern of land-use change. During expansion of farmland, the most suitable land will be used first, leaving less suitable land under forest. Conversely, during farmland abandonment, the less suitable fields will be abandoned first. Land-cover studies have described this process over various time scales and in different regions (e.g., Van Rompaey and Govers 2002; Müller et al. 2009, 2013; Szillassi et al. 2010). Biophysical factors most frequently linked with suitability for farming are slope, elevation, and soil type. Elevation and slope values were derived from a Shuttle Radar Topography Mission with an original spatial resolution of 90×90 m (CGIAR-CSI/NASA 2013). This resolution was resampled to 30×30 m by means of a bicubic spline interpolation to match the resolution of the Landsat data (Figure 1; Vanonckelen et al. 2015). According to the World Reference Base for Soil Resources 8 main World Reference Base soil types were present in the Romanian Carpathian Ecoregion: Andosol, Cambisol, Fluvisol, Leptosol, Luvisol, Phaeozem, Podzol, and Regosol (FAO/UNESCO/WRB 1998).

Finally, 8 explanatory variables, data on which were available for the whole Romanian Carpathian Ecoregion, were selected and grouped in 4 categories corresponding to the 4 hypotheses: (1) accessibility, (2) demography, (3) land-use policy, and (4) biophysical environment (Table 2).

**Detection of significant controlling factors by means of logistic regression**

A number of methods are available to evaluate the relation between observed land cover change and potential explanatory variables. The most straightforward approach is based on frequency analysis of cross-tabulation tables in which the observed number of land cover changes in a certain category of an explanatory variable is compared with the expected number of land cover changes (Van Rompaey et al. 2001). A significant over- or under-representation of a change type in a given class of a categorical variable can then be interpreted as a correlation. Though this method makes it possible to test individual variables, simultaneous analysis of the effects of multiple variables is often impossible, since correlation between explanatory variables is not allowed in cross-tabulation analysis. A second drawback is the need to categorize each numerical variable, which automatically leads to a loss of information.

An alternative technique is a logistic regression that links a set of explanatory variables (numerical and/or categorical) with the probability of occurrence of a certain event, in this case a specific land cover change (Hosmer and Lemeshow 2000). The advantages of logistic regression analyses are that (1) calibration is possible with
a relatively limited number of input data, (2) both
categorical and numerical variables can be included
simultaneously, (3) there is no need to categorize
numerical variables, and (4) correlated explanatory
variables can be included (Nelson 2001; Serneels and
Lambin 2001; Van Rompaey et al 2001; Verburg et al
2004; Van Dessel et al 2008). However, there are also some
disadvantages: an implicit assumption of linearity of the
land cover change process in terms of the logit function
and between dependent and independent variables, the
prerequisite of selecting explanatory variables, and the
limitation of application to studies using between-subject
designs (Tu 1996; Steyerberg et al 2001).

Given the possibilities and drawbacks of the available
techniques and data, a logistic regression analysis was
selected for further analysis of the observed land cover
change in the Romanian Carpathians; a stepwise multiple
logistic regression (MLR) was implemented using ArcMap
10 and SAS 9.2. Thereby, an MLR equation was derived
for the 2 main observed land cover changes, deforestation
and afforestation. The MLR analysis was performed for

Probabilities for the 2 main land cover change types were
assessed using the standard logistic regression equation.

The categorical variables soil type (8 categories) and
protection level (3 categories) were coded with binary
dummy variables: a value of 0 (absence of the category) or
1 (presence of the category) (Hosmer and Lemeshow
2000). The number of dummy variables was 1 less than the
number of categories per categorical predictor, 8 dummy
variables for soil type and 2 dummy variables for
protection level. The reference category for soil type was
Regosol, and the reference for protection level was “no
protection.” Since the Natura 2000 network in Romania
started only in 2001, the predictor “protection level” was
not included in the MLR models for 1985–1995. In
a stepwise MLR, the model coefficients were assessed by
maximizing the likelihood of the observed land cover
changes (deforestation and afforestation) through an
iterative procedure. Parameters that were not significant
at a 95% confidence level were left out, after which new
parameters were calibrated for the remaining variables.

Positive values for the coefficients suggest that the
probability of land cover change increases with increasing
values for the explanatory variable. To calculate the
regression coefficients, 25,000 points were selected
separately for the 2 dependent variables (afforestation
and deforestation). The sampling was based on the land
cover changes that were determined in Vanoncelen et al
(2015), and the values of the corresponding explanatory
variables were extracted for each pixel. Hereby, half of
the sample (about 12,500 points) was selected in the area
with no land cover change (coded 0) and the other half in
the area where land cover change occurred (coded 1).

After the MLR analysis, probability maps were
constructed for each MLR model (afforestation and
deforestation). Afterward, the goodness of fit was
evaluated using a relative operation characteristic (ROC)
procedure (Hall et al 1995; Pontius and Schneider 2001;
Schneider and Pontius 2001) to evaluate whether the MLR
models described the observed land cover changes better
than random models. In the ROC analysis, true positives
(pixels correctly predicted) were plotted against false
positives (pixels incorrectly predicted) for different land
cover changes (Pontius and Schneider 2001). The overall
ROC value was defined as the area under the ROC curve
(AUC), which is an indication of the model performance.
Therefore, a significant model is characterized by an AUC
value larger than 0.5, while a random model corresponds
with an AUC value of 0.5 or lower (Pontius and Schneider
2001).

Results

Land cover change

Figure 4 shows the main land cover change trends
between 1985–1995 and 1995–2010; Table 3 breaks down
these broad trends into specific land cover change types.
During 1985–1995, forest classes remained fairly stable,
with about 2 million ha of stable broadleaved forest and
about 1 million ha of stable mixed and coniferous forest.

Land cover change—deforestation, afforestation, and
disturbance—affected relatively small areas: Afforestation
occurred on about 426,000 ha, mainly in lower-elevation
areas and at the edges of stable forests in higher-elevation
areas. Deforestation was even less widespread, covering
about 292,000 ha; the Romanian Carpathian Ecoregion
was greening during this period.

Between 1995 and 2010, both deforestation and
afforestation increased, mainly at the fringes of stable
forests. Deforestation was especially prevalent in the
southern and western corners of the region and covered
about 322,000 ha, while afforestation occurred on about
479,000 ha. The stable nonforest class decreased from
5,545,000 ha between 1995 and 2010 to 5,147,000 ha
stable broadleaved and coniferous forest areas increased
in 1995–2010, with about 2.5 million ha of stable
broadleaved forest and about 1.25 million ha of stable
coniferous forest. However, the second period was 5 years
longer than the first, so the comparison is not exact.

Forest areas undergoing disturbance accounted for only
about 34,000 ha.

Finally, the net forest change was calculated by
subtracting the deforested area from the afforested area.
The net forest increase was 134,000 ha in 1985–1995 and
156,000 ha in 1995–2010. This corresponds to a forest
increase of 13,370 and 10,423 ha/year, respectively.

Controlling factors

As Table 4 shows, in the analysis of controlling factors of
forest cover change, all MLR coefficients and the $P$ values
FIGURE 5 Controlling factors of forest cover change in the Romanian Carpathian Ecoregion: (A) roads and protected areas (SAC is a Special Area of Conservation and SPA is a Special Protection Area); (B) demographic change (change in number of inhabitants per km$^2$) between 1986 and 2000; (C) soil types. (Sources: [A] European Commission 2013; [B] NIS Romania 2013; [C] FAO/UNESCO/WRB, 1998)
for afforestation and deforestation in the study area were calculated for 1985–1995 and 1995–2010. Deforestation and afforestation occurred at the same places, afforestation in the first period and deforestation in the second. For most predictors, a similar correlation with deforestation or afforestation was found for both periods. The sign of coefficients was consistent for 1985–1995 and 1995–2010, with the exception that Podzols were negatively correlated with afforestation in the period 1985–1995 and positively correlated in 1995–2010.

Between 1985 and 1995, afforestation was correlated with 5 explanatory variables at a 95% confidence level—slope, elevation, distance to nearby settlements, and distance to primary and secondary roads. This means that afforestation in 1985–1995 occurred on steep slopes at high elevations, away from settlements and primary roads but near secondary roads. Deforestation in 1985–1995 was positively correlated with elevation and negatively with distance to settlements and to primary and secondary roads. This suggests that forests were mainly removed at high elevations and in relatively accessible places. Furthermore, Podzols were significantly correlated with deforestation. The goodness of fit of the modeling approach was evaluated by calculating AUC values; values of 0.76 for deforestation and 0.71 for afforestation show that the MLR model describes observed transitions better than a random model.

In 1995–2010, afforestation was significantly correlated with 10 explanatory variables, including 5 soil types: slope, elevation, distance to primary and secondary roads, demographic change, and presence of Cambisols, Fluvisols, Luvisols, Phaeozems, and Podzols. This suggests that land units with steep slopes at high elevations, away from primary roads but near secondary roads, have a larger chance of experiencing afforestation. Afforestation in this period was also significantly correlated with population increase. Cambisols, Luvisols, Fluvisols, and Podzols have a larger chance of experiencing afforestation, while Phaeozems have a lower chance of experiencing afforestation. Deforestation in 1995–2010 was significantly correlated with all evaluated predictors except 2 soil types (Fluvialis and Leptosols). The AUC values were higher for this period than for 1985–1995: 0.81 for the deforestation model and 0.80 for the afforestation model.

Discussion

Forest cover change in the Romanian Carpathian Ecoregion

Change detection analysis (Table 3) showed that stable forests were most prevalent on higher elevations. During 1985–1995, afforestation in the study region occurred on about 426,000 ha and was more extensive than deforestation (292,000 ha). A national-scale study by Greenpeace (2012) estimated the total area of deforestation and forest degradation at about 280,108 ha between 2000 and 2011. However, in this study, forest was defined as 20% or greater canopy cover for trees of 5 m or more in height, so the definitions of forest differ and a comparison of the results has to be done with caution. Conversion from nonforest to forest was found to be triggered by the abandonment of cropland and slow

<table>
<thead>
<tr>
<th>Variable</th>
<th>Unit</th>
<th>Category</th>
</tr>
</thead>
<tbody>
<tr>
<td>Distance to primary roads</td>
<td>Meters above sea level</td>
<td>Accessibility</td>
</tr>
<tr>
<td>Distance to secondary roads</td>
<td>Meters above sea level</td>
<td>Accessibility</td>
</tr>
<tr>
<td>Distance to nearby settlement</td>
<td>Meters above sea level</td>
<td>Accessibility</td>
</tr>
<tr>
<td>Demographic change (1986–2010)</td>
<td>Change in number of inhabitants/km²</td>
<td>Demography</td>
</tr>
<tr>
<td>Protection level</td>
<td>Categorical</td>
<td>Land use policy</td>
</tr>
<tr>
<td>0 = not protected</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 = special protection area</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 = area of special conservation interest</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Slope gradient</td>
<td>Degrees</td>
<td>Biophysical environment</td>
</tr>
<tr>
<td>Elevation</td>
<td>Meters above sea level</td>
<td>Biophysical environment</td>
</tr>
<tr>
<td>Soil type</td>
<td>Categorical</td>
<td>Biophysical environment</td>
</tr>
<tr>
<td>1 = Andosol</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 = Cambisol</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3 = Fluvisol</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4 = Leptosol</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5 = Luvisol</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6 = Phaeozem</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7 = Podzol</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

TABLE 2 Explanatory variables.
afforestation. A similar study by Olofsson et al (2011) in the Romanian Carpathians between 1990 and 2010 showed that grasslands and forests expanded on unused or abandoned farmland after 1989. Griffiths et al (2013b) showed grassland abandonment rates in the Romanian Carpathian Ecoregion between 60% and 70% between 1985 and 2010. Afforestation continued after EU accession in 2007; Griffiths et al (2013b) found that it was especially concentrated in areas favorable for farming in the study area (mainly Luvisols according to Figure 5C).

Areas of deforestation in Romania identified in our study (Figure 4) also overlap with those mapped by other researchers. Knorn et al (2012b), focusing on changes in the northern Romanian Carpathians, found the greatest deforestation near the Ukrainian border between 1994 and 2009, which overlapped with the deforestation patterns we identified between 1990 and 2010. Afforestation continues after EU accession in 2007; Griffiths et al (2013b) found that it was especially concentrated in areas favorable for farming in the study area (mainly Luvisols according to Figure 5C).

Controlling factors of forest cover change

Eight explanatory variables were linked with observed deforestation and afforestation in the Romanian Carpathian Ecoregion for 1985–1995 and 1995–2010, using a logistic regression model. AUC values for model validation ranged from 0.71 to 0.81 and were highest for the deforestation scenario for 1995–2010. The 8 explanatory variables can be grouped into 4 categories—accessibility, demography, land-use policy, and the biophysical environment; the results for these categories are summarized below.

Accessibility: Distances to settlements and primary and secondary roads (Figure 5A) were taken as proxy variables for accessibility. The analysis showed that deforestation mainly occurred in relatively accessible places in both periods. This finding may confirm the von Thünen (1826) model, in which timber is considered as a market product and transportation costs and accessibility are seen as controlling deforestation patterns to a certain extent.

Demography: In the first period, demographic change (population growth or decrease) was not significantly correlated with the observed deforestation and afforestation patterns. However, both afforestation and deforestation were positively correlated with population growth between 1995 and 2010, where the main trend was migration from peripheral rural areas to urban areas (Figure 5B). This might be explained by the fact that population increase leads to a more intensive management of the Romanian forest, with frequent clear-cuts followed by replanting, while the landscape in the

### Table 3: Land cover change trends, 1985–1995 and 1995–2010. The sums of all values are not always equal due to rounding.

<table>
<thead>
<tr>
<th>Land cover change trend</th>
<th>Land cover change types</th>
<th>Area (10^3 ha)a)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stable broadleaved forest (BL)</td>
<td>BL–BL</td>
<td>2288</td>
</tr>
<tr>
<td>Stable mixed forest (MX)</td>
<td>MX–MX</td>
<td>1089</td>
</tr>
<tr>
<td>Stable coniferous forest (CF)</td>
<td>CF–CF</td>
<td>1021</td>
</tr>
<tr>
<td>Disturbance</td>
<td>CF–BL, BL–CF, CF–MX, MX–CF, BL–MX, MX–BL</td>
<td>55</td>
</tr>
<tr>
<td>Deforestation</td>
<td>BL–NF, MX–NF, CF–NF</td>
<td>292</td>
</tr>
<tr>
<td>Afforestation</td>
<td>NF–BL, NF–MX, NF–CF</td>
<td>426</td>
</tr>
<tr>
<td>Net forest change</td>
<td>Afforestation minus deforestation</td>
<td>+134</td>
</tr>
<tr>
<td>Stable nonforest (NF)</td>
<td>NF–NF</td>
<td>5545</td>
</tr>
</tbody>
</table>

a)It is possible that the sum of all values is unequal due to rounding.
Depopulating areas is more stable. The mismatch between the available population data (1986 and 2000) and the observed land cover patterns (1985, 1995, and 2010) make further field observations necessary to validate this hypothesis.

**Land use policy**: The end of the communist regime resulted in new political and economic conditions and a change in land tenure after decollectivization in the 1990s. Crucial changes in the Romanian forestry sector were the implementation of 3 restitution laws between 1991 and 2005, accession to the EU, and the establishment of protected areas. However, weak implementation of property laws resulted in increasing forest disturbance (Griffiths et al 2013c). Therefore, data on the Natura 2000 network of protected areas (special protection areas and areas of special conservation interest) in Romania were used as a controlling factor for the impact of land-use policy (Figure 5A). Since the establishment of protected areas in 2001, they were considered only for the second period (1995–2010). It might be expected they would lead to a slowing of deforestation in the delineated zones. However, they were in fact significantly positively correlated to deforestation; proportionally more forest disappeared inside than outside these zones. A possible explanation for this might be accelerated deforestation between 1995 and 2001, when Natura 2000 was not yet implemented. Furthermore, Biriş et al (2006) reported that the implementation of the Natura 2000 network in Romania has been difficult because of the lack of trained experts, lack of data, and/or the dispersion of data in a nonuniform way in Romanian municipalities.

These findings are also in line with findings from other researchers who analyzed local forest cover dynamics during and just after the fall of the communist regime (Soran et al 2000; Brandlmaier and Hirschberger 2005; Ioja et al 2010; Knorn et al 2012a, 2012b). Knorn et al (2012a) reported a continued loss of old-growth forest in the Romanian Carpathians despite a growing protected-area network. Old-growth forest disturbances were found within protected areas and were partly related to institutional land reforms, insufficient protection, and ownership changes since the collapse of communism in 1989. In another study, Knorn et al (2012b) assessed


<table>
<thead>
<tr>
<th>Category</th>
<th>Variable</th>
<th>Afforestation model</th>
<th>Deforestation model</th>
</tr>
</thead>
<tbody>
<tr>
<td>Accessibility</td>
<td>Distance to primary roads</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td>Distance to secondary roads</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td></td>
<td>Distance to nearby settlement</td>
<td>+</td>
<td>NS</td>
</tr>
<tr>
<td>Demography</td>
<td>Demographic change</td>
<td>NS</td>
<td>+</td>
</tr>
<tr>
<td>Land use policy</td>
<td>Special protection area</td>
<td>NA</td>
<td>NS</td>
</tr>
<tr>
<td></td>
<td>Area of special</td>
<td>NA</td>
<td>NS</td>
</tr>
<tr>
<td></td>
<td>conservation interest</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Biophysical</td>
<td>Slope gradient</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>environment</td>
<td>Elevation</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>Soil type</td>
<td>Andosol</td>
<td>NS</td>
<td>NS</td>
</tr>
<tr>
<td></td>
<td>Cambisol</td>
<td>NS</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td>Cambisol</td>
<td>NS</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td>Fluvisol</td>
<td>NS</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td>Leptosol</td>
<td>NS</td>
<td>NS</td>
</tr>
<tr>
<td></td>
<td>Luvisol</td>
<td>NS</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td>Phaeozem</td>
<td>NS</td>
<td>–</td>
</tr>
<tr>
<td></td>
<td>Podzol</td>
<td>NS</td>
<td>+</td>
</tr>
</tbody>
</table>

*NA = not applicable (the Natura 2000 network of protected areas in Romania started only in 2001); NS = not significant at the 95% confidence level.
disturbance patterns between 1987 and 2009 in the northern Romanian Carpathians. Forest disturbance rates increased sharply in 2 waves after 1995 and 2005. Substantial disturbances were detected in protected areas and even within core reserve areas. Logging rates were largely triggered by rapid ownership and institutional changes. Finally, Ioja et al (2010) reported an overall decrease in the efficacy of Romania’s protected areas following the creation of the Natura 2000 sites. Administrative bodies were generally understaffed and poorly financed, conditions that were reflected in poor enforcement and implementation of conservation goals (Ioja et al 2010).

Biophysical environment: Elements of the biophysical environment (slope and elevation) were positively linked to both afforestation and deforestation (Table 4); in fact, they were the most influential predictors. The results of the MLR showed that both deforestation and afforestation occurred at relatively high elevations and on steep slopes. For afforestation, this observation was in line with the theory that land units less suitable for farming were abandoned first. Following the same logic, deforestation should have occurred at lower elevations and on weaker slopes, which was, however, not the case. A possible explanation is the recent regreening of these slopes after land abandonment. Moreover, the results showed that Phaeozems have a smaller chance of experiencing afforestation, while Podzols have a larger chance of experiencing afforestation (Table 4, Figure 5C). This is in line with the quality of the different soil types. Phaeozems, rich in nutrients and organic material, are highly suitable for pasture and farming (FAO 2006). Podzols, on the other hand, are considered unattractive for farming but suitable for forests (FAO 2006), which made them attractive for new plantations in the period after decollectivization.

Conclusion

Hitherto, studies of land cover change patterns and their controlling factors were conducted at a local scale. These studies were able to reveal certain relations between local conditions and land cover change in general or a specific type of land cover change. However, local-scale studies were limited, since no regional-scale variables were included, such as demographic and regional-level policy changes. This study aimed to produce new insights into the mechanisms of land cover change by including both local- and regional-scale variables. Maps produced with advanced pixel-based compositing techniques were combined to show forest cover changes at a regional scale in an extensive mountain ecosystem. Forest cover change analysis was based on advanced image preprocessing of Landsat images that have recently become available.

The main findings of this study are that overall, the Romanian Carpathians are greening, and that the findings of local case studies on increasing deforestation rates cannot be extrapolated. Change detection analysis showed that both afforestation and deforestation were more extensive in the second period (1995–2010). In contrast, disturbance was more pronounced in the first period (1985–1995), but even then only in a small area. Overall, forest cover in the Romanian Carpathians showed a net increase of 13,370 ha/year in 1985–1995 and 10,423 ha/year in 1995–2010. Deforestation was only observed in local hot spots. Accessible places were preferentially logged, while a gradual greening occurred in more remote locations due to land abandonment.

To acquire better insight into the factors that control the spatial pattern of forest cover dynamics, observed forest cover was linked with 8 explanatory variables. The analysis showed that deforestation has to a certain extent followed the 1826 von Thünen model, whereby transportation costs and accessibility control deforestation patterns. Von Thünen reasoned that accessible places with adequate road systems are logged first, while afforestation occurs in more remote locations, possibly related to the abandonment of remote fields. Land suitability for farming or forestry could be identified as a crucial factor since different soil types were correlated with afforestation and deforestation trends.

Finally, this study showed that the implementation of the Natura 2000 network missed its goal, since more deforestation was observed inside what are now protected areas than outside them during 1995–2010. This could partly be explained by the lawless period after the fall of the communist regime and before the establishment of the protected areas. Another explanation could be the difficulties implementing the Natura 2000 network in Romania and the status of nature protection; policy makers and local politicians must provide more budget and manpower to implement and control the protection of Natura 2000 areas.

ACKNOWLEDGMENTS

This research was funded by the Belgian Science Policy, Research Program for Earth Observation Stereo II, contract SR/00/133, as part of the FOMO project (remote sensing of the Forest transition and its ecosystem impacts in MOuntain environments). The authors wish to thank Dr. Stef Lhermitte from KU Leuven in Belgium and Prof. Patrick Hostert and Dr. Patrick Griffiths from the Geography Department at the Humboldt-University in Berlin.
REFERENCES


Dumitru, G., Pimutag, G., Birs, O., Florian, G. 2003. The Sound Use of Wood and Other Resources in Romania. Presentation offered at the Seminar on Strategies for Sustainable Forest Management, Poiana Brasov, Romania; available from the corresponding author of the present article.


Enescu, V. 2013a. Forest disturbances, forest access and deforestation in the Carpathian ecoregion after the breakdown of socialism and transition in Romania/Forest cover change in Romania 2000–2011.pdf; accessed on 17 January 2015.


