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Spatiotemporal Changes in Extreme Wet and Dry Conditions and Linkages with Planetary Oscillations

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ABSTRACT


Exploration of the spatiotemporal changes in extreme wet and dry events and their linkages with planetary oscillations is highly necessary for regional hazards mitigation. In this study, a standardized Surface Humid Index was applied for characterizing extreme dry and wet conditions (hereafter referred to as EDWC) in the Wei River Basin (WRB), China. Then, the heuristic segmentation method was adopted to determine the stationarity of extreme dry and wet frequency. The cross wavelet transform and coherence were used to reveal the linkages between EDWC and planetary oscillations. Results indicated that: (1) the standardized Surface Humid Index in the WRB has a striking trend towards wetter condition in summer and winter, whilst that in spring and autumn has a marked trend towards drier condition; (2) the northern basin has the highest extreme wet frequency, while the western basin has the lowest extreme dry and wet frequency; (3) the stationarity of the extreme dry and wet frequency series in the WRB is valid; (4) the planetary oscillations strongly affect the EDWC in the WRB. El Niño Southern Oscillation exhibits the strongest impacts on its EDWC, while Atlantic Multidecadal Oscillation shows the weakest impacts on its EDWC.

ADDITIONAL INDEX WORDS: Extreme dry and wet events, large-scale atmospheric circulation patterns, ENSO, standardized Surface Humid Index, the cross wavelet analysis.

INTRODUCTION

Recently, the global climate has experienced a noticeable variation, particularly for the global warming, which results in boosting the water circulation rate, thus the frequency of extreme conditions are increasing on the global scale (Beniston and Stephenson, 2004; Liu et al., 2018; Su, Gemmer, and Jiang, 2008; Zheng et al., 2006). The effects of these hazards on society are increasing and the socioeconomic developments tend to be more and more vulnerable (Fang et al., 2017; Huang et al., 2014c). For example, in the United States, the mid-west drought in 1988-1989 led to nearly $39 billion economic losses. In 1992, Hurricane Andrew in South Florida in 1992 caused about $30 billion economic losses, and the mid-west flood in 1993 resulted in approximately $19 billion economic losses (Easterling et al., 2000).

In view of the potentially devastating impacts of climate extremes, increasing attention to climate extremes has therefore been drawn to examine long-term trends of seasonal and annual climate variations of precipitation, temperature, precipitation and temperature extremes (Fang et al., 2018; Jones and Moberg, 2003; Wang et al., 2013). Previous studies have suggested that the intensity and frequency of extreme climate change are powerful indicators of climate change, and that the impact on nature and human society is far greater than that of average (Katz and Brown, 1992; Plummer et al., 1999).

Extreme dry and wet events (hereafter referred to as EDWE) emerge after a prolonged deficit or obvious excessive rainfall, but determining the onset, termination as well as spatial extent of them is complicated. Based on this, numerous efforts have been paid to develop indicators for dry/wet monitoring and analysis (Trenberth et al., 2014; Vicente-Serrano, Begueria, and López-Moreno, 2010a). Recently, some objective indicators like Palmer drought severity index (PDSI) as well as standardized precipitation index (SPI) (McKee, Doesken, and Kliest, 1993; Palmer, 1965) have been widely applied in dry/wet quantification and monitoring. Although the probabilistic feature of SPI makes it possible to directly compare various variables and locations (McKee, Doesken, and Kliest, 1993), SPI has an evident disadvantage, that is, only on the basis of precipitation; other key variables like temperature are not included. As a result, SPI cannot reflect the strong influences of evapotranspiration on dry/wet conditions (Tao et al., 2014). Regarding PDSI, it is based on the concept of supply and demand, which contains prior precipitation, evaporation demand and humidity supply to characterize dry/wet conditions. However, some drawbacks of the PDSI exist. For instance, the water balance model is not strongly robust, and its numerical value is very short in both statistical and physical sense (Ma et al., 2015). In the present study, a Standardized Surface Humid Index was utilized to characterize dry and wet conditions (Caio et al., 2014; Zhang et al., 2013), which incorporates rainfall and evapotranspiration and can generally overcome the shortcomings of SPI and PDSI to some extent.

Variations of climate extremes exhibited substantial regional
discrepancies because the effects of climate change differ on various geographical regions (Guo et al., 2013; Wang, Jiao, and Xin, 2013). The Wei River Basin (WRB), the study area in the present study, is the transitional region from a semi-humid region to an arid region. Located in a typical continental monsoon climate zone, the WRB is frequently assaulted by EDWE (Huang et al., 2014a, 2015b). These bipolar extreme events are expected to increase risk and vulnerability of extremes to human populations highly rapidly in a nonlinear manner and reduce the efficiency of local water resources management (Reza, Ali, and Donald, 2016). The WRB is vital to China, especially the establishment of a national key economic development zone (the Guanzhong-Tianshui Economic Zone), which is conducive to the rapid economic development in Western China. Nevertheless, the high-frequency EDWE have adverse effects on local social and economic developments. Therefore, it is crucial to probe the spatiotemporal variations of the extreme wet and dry conditions in the WRB, which makes for local disaster reductions and water resource management (Zhang et al., 2015a,b). Previous studies mainly focused on long-term trends of precipitation and temperature variations at annual and seasonal scales (Huang et al., 2016b; Zhao et al., 2015a,b). However, the spatial-temporal variations of the EDWE in the WRB have not been investigated well. Thus, one objective of this study is to fill this gap through fully exploring the spatiotemporal changes in the extreme wet and dry conditions in the WRB.

The distribution and intensity of extreme dry and wet conditions (hereafter referred to as EDWC) are strongly irregular in spatiotemporal dimensions (Guo et al., 2013). Climate indicators such as the Atlantic Multidecadal Oscillation (AMO), El Niño Southern Oscillation (ENSO), North Atlantic Oscillation (NAO), and Pacific Decadal Oscillation (PDO) can explain this phenomenon to a certain extent (Kam, Sheffield, and Wood, 2014; Kiem and Verdon-Kidd, 2009; Sabziparvar et al., 2011; Talae, Tabari, and Ardakani, 2014). Since the WRB is located in a typical continental monsoon climate zone, the large-scale atmospheric circulation patterns including AMO, ENSO, PDO, and NAO may have a strong impact on the change characteristics of its EDWE. Thus, it is very necessary to explore the correlations between local EDWE and planetary oscillations, which helps to reveal possible mechanism responsible for the variations of EDWE in the WRB, thus being helpful for establishing an early-warning EDWE system and effectively guiding local hazards mitigation. Therefore, another goal of this paper is to reveal the links between local EDWE and AMO, ENSO, PDO and NAO.

The major objectives of this study are (1) to examine the spatiotemporal changes in extreme wet and dry events in the WRB; (2) and to explore the correlations between local EDWE and AMO, ENSO, PDO, and NAO.

STUDY AREA AND DATA

The WRB was selected as a case to study. The detailed introduction on the WRB and data is shown as follows.

WRB

The WRB chosen as a case study in this current study is the longest tributary of the Yellow River in China (Figure 1). The

![Figure 1. The locations of the WRB and the distribution of relevant meteorological stations.](http://www.esrl.noaa.gov/psd/data/correlation/amon.us.long.dat)
METHODS

This section briefly presents methods utilized in this study, among which a standardized Surface Humid Index is applied for characterizing extreme dry and wet conditions, followed by the modified Mann-Kendall trend test method for identifying the trends of Surface Humid Index. In addition, the heuristic segmentation method is adopted to determine the stationarity of extreme dry and wet frequency, and the cross wavelet transform and coherence are used to reveal the linkages between EDWC and planetary oscillations.

Surface Humid Index

Considerable indices for analyzing climate extremes have been developed aimed at monitoring and predicting the changes in precipitation and temperature with various time steps or spatial scales, including Crop Moisture Index (Palmer, 1965), Crop-Specific Drought Index (Meyer, Hubbard, and Willhite, 1993), daily Water Stress Index (Jones and Moberg, 2003), and Soil Moisture Deficit Index (Narasimhan and Srinivasan, 2005). Especially Surface Humid Index has been extensively applied to characterize dry and wet conditions with monthly time step at global or regional scales (Ma and Fu, 2001). Surface Humid Index (H) is defined as the ratio of precipitation (P) to reference evapotranspiration (ET0), which is expressed as follows:

\[
H = \frac{P}{ET0}
\]

where, P denotes monthly precipitation; ET0 represents monthly potential evaporation.

Extreme wet events are defined as the monthly standardized Surface Humid Index (H) larger than or equal to 0.5 (Cao et al., 2014; Ma, Hua, and Ren, 2003). Extreme dry events are defined as the standardized H smaller than or equal to -0.5 (Zhang et al., 2013, 2015a,b). Its calculation is expressed as follows:

\[
D_n = \frac{H_n - \bar{H}}{\sigma}
\]

where, H_n represents the monthly H of the i-th month in the j-th year; H denotes the average H of the i-th month in the previous 51 years; \(\sigma\) stands for the standard deviation of H of the i-th month.

The Modified Mann-Kendall Trend Test Method (MMK)

The traditional Mann-Kendall (MK) trend test approach is a nonparametric method. Nevertheless, the results of MK tend to be influenced by the persistence of time series (Huang et al., 2014a). Hence, Hamed and Rao (1998) improved it via taking the lag-i autocorrelation into account to get rid of the persistence. Therefore, the MMK was applied to calculate the trends of monthly standardized Surface Humid Index series and extreme dry and wet conditions in the WRB (Hamed and Rao, 1998). The specific counting processes would be referred to Huang et al. (2014c).

The Heuristic Segmentation Method

Traditional statistical test methods of detecting the highly change points of time series rely on the assumption that the given time series should be stationary and linear. However, it is very difficult for these previous methods to accurately identify their real change points since some hydrological time series shows nonlinear and nonstationary characteristics (Huang et al., 2015a, 2016a). The heuristic segmentation method proposed by Pedro, Plamen, and Luís (2001) is based on the sliding T test. However, it is modified and extended to detecting change points in nonlinear and nonstationary time series. Nonstationary time series is divided into several stationary subseries in the heuristic segmentation method, and it can get rid of the drawbacks of aforementioned traditional methods (Pedro, Plamen, and Luís 2001).

The conventional frequency analysis of extreme dry and wet events is relied on the stationarity assumption (Verdon-Kidd and Kiem, 2015), which indicates historical characteristics of extreme events can be extended to the future. However, the changing environment might change the statistical characteristics of hydroclimate time series, leading to so-called non-stationarity (Liu et al., 2017). Neglecting the non-stationarity would therefore result in severe biases in assessing extreme events (Jiang et al., 2014). Therefore, the heuristic segmentation method was adopted to identify the stationarity of extreme dry and wet events in the WRB. The detailed calculation processes would be referred to Huang et al. (2016a).

Cross Wavelet Transform and Coherence

The cross wavelet transform is a new method of revealing the correlations between two related time series (Grinsted, Moore, and Jevrejeva, 2004; Hudgins, Friehe, and Mayer, 1993). Using wavelet transform to combine the cross-spectrum analysis, two time series can be well reflected in the changing characteristics and the coupled oscillations both in time-frequency domain (Torrence and Compo, 1998). Wavelet coherence examines the regions with high common power, which is an approach for investigating how coherent the wavelet coherence in time and frequency domain. Therefore, in this present study, they are used to examine the correlations between the dry/wet conditions in the WRB and the large-scale atmospheric circulation patterns such as AMO, ENSO, PDO, and NAO. The detailed calculation processes can be referred to Torrence and Compo (1998).

RESULTS

The result section analyses the spatio-temporal changes in monthly standardized Surface Humid Index and the stationarity of the extreme dry and wet frequency series. Meantime, evidence is provided that the planetary oscillations affect the EDWC in the WRB.

Spatio-Temporal Changes in Monthly Standardized Surface Humid Index Series

The MMK was used to compute the trends of monthly standardized Surface Humid Index series at 21 meteorological stations in the basin (Huang et al., 2014a). In terms of MMK statistics, when their absolute values are larger than 1.96, the identified trends are significant at the 95% confidence level. Positive values represent increasing trends and vice versa. The
MMK statistics of monthly standardized Surface Humid Index series in each month in the WRB are exhibited in Figure 2. The meteorological stations in Figure 2 are ranked based on the magnitude of their longitude, from west to east. Hence, the MMK statistics of standardized Surface Humid Index series in each month at 21 meteorological stations can reflect the spatiotemporal changes in monthly standardized Surface Humid Index series in the WRB. It can be clearly observed from Figure 2 that the trends of monthly standardized Surface Humid Index series show marked spatiotemporal characteristics. Specifically, in time dimension, the standardized Surface Humid Index in the WRB roughly exhibits statistically significant increasing trends in January, May, July, and December at the 95% confidence level, whilst that in February, April, and September shows statistically significant decreasing trends. In general, the standardized Surface Humid Index in the WRB has an obvious trend towards wetter condition in summer and winter, whereas that in spring and autumn has an obvious trend towards drier condition. In spatial dimension, the changes in monthly standardized Surface Humid Index series in the middle and eastern basin are more remarkable than those in the western basin.

![Figure 2](image)

**Figure 2.** The MMK statistics of monthly standardized Surface Humid Index series in each month at 21 stations in the WRB.

**Spatial Distribution Characteristics of the Extreme Dry and Wet Frequency in the WRB**

Based on monthly standardized Surface Humid Index series at 21 meteorological stations in the WRB, the extreme dry and wet frequency in every year at these stations during 1960-2010 was calculated. Then, their mean extreme dry and wet frequencies are obtained. On the basis of the Inverse Distance Weighing (IDW) in the ArcGIS software, the spatial distribution of extreme dry and wet frequency in the WRB is plotted and shown in Figure 3. It can be obviously found from Figure 3A that the extreme wet frequency in the WRB exhibits a marked spatial discrepancy. Specifically, the extreme wet frequency of the western basin and Huashan station located in the eastern basin exhibits a significant deceasing tendency at the 95% confidence level, whereas that in other areas in the WRB shows no significant trends. Generally, the decreasing extreme wet frequency of the western basin and Huashan station is smaller than that of other areas in the WRB.

![Figure 3A](image)  ![Figure 3B](image)

**Figure 3.** The spatial distribution of extreme wet (A) and dry (B) frequency in the WRB; EWF and EDF denote extreme wet frequency and extreme dry frequency, respectively.

**Temporal Changes in the Extreme Dry and Wet Frequency in the WRB**

The extreme dry and wet frequency in every year during 1960-2010 in the whole WRB was calculated, which is presented in Figure 4. It can be obviously seen from Figure 4 that the extreme dry frequency exhibits a slightly increasing trend, while the extreme wet frequency exhibits a slightly decreasing trend. Their corresponding MMK statistics are 0.77 and -1.13, respectively, indicating that both of them have no significant change at the 95% confidence level.

In addition, the MMK t was also used to compute the trends of the extreme dry and wet frequency in every year within 1960-2010 at the 21 meteorological station in the WRB. The spatial distribution of the MMK statistics of the extreme dry and wet frequency in the WRB is plotted and displayed in Figure 5. It can be seen from Figure 5A that the MMK statistics of the extreme wet frequency in the WRB have an obvious spatial difference. Specifically, the extreme wet frequency of the western basin and Huashan station located in the eastern basin exhibits a significant deceasing tendency at the 95% confidence level, whereas that in other areas in the WRB shows no significant trends. Generally, the decreasing extreme wet frequency of the western basin and Huashan station is...
responsible for the slightly decreasing wet frequency in the whole basin outlined above. Compared with the extreme dry frequency, the MMK statistics of the extreme dry frequency in the WRB exhibit a relatively smaller spatial discrepancy (Figure 5B). The extreme dry frequency of some parts in the western basin and the Huashan station shows a significant increasing tendency at the 95% confidence level, whereas that in other areas in the WRB has no significant trends. In general, the increasing extreme dry frequency in these areas is responsible for the slightly increasing dry frequency in the whole basin outlined above.

Identification of the Stationary of EDWE

Based on the heuristic segmentation method, possible change points in the extreme dry and wet frequency during 1960-2010 in the WRB were explored, thereby determining whether their stationarity is valid or not. The threshold $P_0$ was chosen as 0.95 and was selected as 25 in this present study (Pedro, Plamen and Luis, 2001). The detailed calculation steps can be referred to Huang et al. (2015a, 2016a). The results of change points in extreme dry and wet frequency series in the whole WRB are displayed in Figure 6. It can be clearly observed that no change point was identified due to the probability of their largest $T$ is less than the threshold ($P_0$). Therefore, the stationarity of the extreme dry and wet frequency series is still valid.

The Dry/Wet Conditions Period in the WRB

To analyze the dry /wet conditions period in the WRB, the wavelet analysis was adopted and the time-frequency distribution of the annual standardized Surface Humid Index in the whole WRB is exhibited in Figure 7. It can be clearly observed in Figure 7 that the dry/wet conditions in the WRB have a primary period of nearly 20 years and a secondary period of roughly 7 years, which is in line with the finding of Chen, Chang, and Huang (2014).
DISCUSSION

Regional wet and dry events is considered to be related to the large-scale atmospheric circulation patterns, and attempts to explore the underlying physical mechanism may benefit the prediction of regional wet and dry events. Thus, the cross wavelet transform was applied to identifying correlations of H series with AMO, ENSO, PDO and NAO.

It can be found from Figure 8a that AMO exhibits a certain impact on annual standardized Surface Humid Index series in the WRB. Specifically, AMO shows significant negative linkages with annual standardized Surface Humid Index series with a signal of 2-4 year in 1960-1970. Additionally, it also exhibits significant positive linkages with annual standardized Surface Humid Index series with a signal of 8-9 year in 1985-1990. Generally, the cross wavelet phase angle has mean phase 130°±15°, meaning that H series has roughly 3 months lag compared with AMO in this period. Additionally, the wavelet coherence coefficients of H index and AMO were calculated, and the squared wavelet coherence of H series and AMO is presented in Figure 8b. It also shows the significant negative linkages between H series and AMO in 1960-1970 and significant positive linkages in 1980-1987.

Figure 8. The cross wavelet transform (a) and wavelet coherence (b) maps of annual standardized Surface Humid Index series in the WRB and AMO in 1960-2010. The 5% confidence level against red noise is presented as a thick contour, and the relative phase linkage is represented as arrows, in which arrows pointing right stand for positive correlations, and arrows pointing left stand for negative correlations.

Figure 9. The cross wavelet transform (a) and wavelet coherence (b) maps of annual standardized Surface Humid Index series in the WRB and ENSO in 1960-2010.

It can be clearly observed from Figure 9a that ENSO exhibits strong impacts on annual standardized Surface Humid Index series in the WRB. Specifically, it shows statistically significant negative associations with annual standardized Surface Humid Index series with a signal of 2-4 year in 1960-1970 and a signal of 4-6 year in 1983-1994. The squared wavelet coherence of H series and ENSO shows more significant regions than cross wavelet transform, suggesting that ENSO exerts striking impacts on the changes in H series in the WRB (Figure 9b). PDO also shows strong impacts on annual standardized Surface Humid Index series in the WRB (Figure 10). Specifically, it shows statistically significant negative linkages with annual standardized Surface Humid Index series with a signal of 4-6 year in 1983-1994, which is a little similar with that of ENSO (Figure 10a). The squared wavelet coherence of H series and PDO also shows more significant regions than cross wavelet transform, suggesting that PDO exerts striking impacts on the changes in H series in the WRB (Figure 10b). Similarly, NAO also exhibits strong influences on annual standardized Surface Humid Index series in the WRB (Figure 11). Specifically, it has statistically significant positive relationships with annual
standardized Surface Humid Index series with a signal of 2-4 year in 1960-1970, which is contrary to that of AMO. Besides, it also shows significant positive linkages with annual standardized Surface Humid Index series with a signal of 4-5 year in 1991-1996 (Figure 11a). The squared wavelet coherence of H series and NAO also shows more significant regions than cross wavelet transform, suggesting that ENSO exerts obvious impacts on the changes in H series in the WRB (Figure 11b).

In general, among AMO, ENSO, PDO, and NAO, ENSO shows the strongest impacts on the EDWC in the WRB, followed by NAO and PDO, while AMO exhibits the weakest impacts on the EDWC in the WRB. Roughly, the large-scale atmospheric circulation patterns such as AMO, ENSO, PDO, and NAO strongly impact the changes in the EDWC in the WRB, which can effectively guide local hazards mitigation and water resources management.

Figure 10. The cross wavelet transform (a) and wavelet coherence (b) maps of annual standardized Surface Humid Index series in the WRB and PDO in 1960-2010.

Figure 11. The cross wavelet transform (a) and wavelet coherence (b) maps of annual standardized Surface Humid Index series in the WRB and NAO in 1960-2010.

CONCLUSIONS
Investigation of the spatiotemporal changes in extreme wet and dry conditions and their correlations with planetary oscillations is very essential for regional hazards mitigation. In this study, a standardized Surface Humid Index was adopted to characterize EDWC. The MMK trend test approach and wavelet analysis was applied to calculate the trend and period of the EDWC in the WRB. The heuristic segmentation method was
adopted to explore the stationarity of extreme dry and wet frequency. Furthermore, the cross wavelet transform and coherence were used to examine the linkages between EDWC and four planetary oscillations. The main conclusions are presented below:

(1) The standardized Surface Humid Index in the WRB has a marked trend towards wetter condition in summer and winter, whereas that in spring and autumn has a striking trend towards drier condition. Generally, the changes in monthly standardized Surface Humid Index series in the middle and eastern basin are more remarkable than those in the western basin.

(2) The northern basin has the highest extreme wet frequency, whereas the western basin has the lowest extreme dry and wet frequency, and the spatial difference of the spatiotemporal changes in the extreme wet frequency is more obvious than that in the extreme dry frequency. Generally, the extreme dry frequency in the whole WRB shows a slightly increasing trend primarily owing to the significantly increasing extreme dry frequency of some parts in the western basin and the Huashan station, whilst the extreme wet frequency exhibits a slightly decreasing trend mainly due to the significantly decreasing extreme wet frequency of the western basin and Huashan station.

(3) The stationarity of the extreme dry and wet frequency series in the WRB is still valid. Its dry and wet conditions have a primary period of roughly 20 years and a secondary period of nearly 7 years.

(4) The large-scale atmospheric circulation patterns such as AMO, ENSO, PDO, and NAO strongly affect the EDWC in the WRB. ENSO shows the strongest impacts on the EDWC in the WRB, followed by NAO and PDO, while AMO exhibits the weakest impacts on its EDWC.

In conclusion, the findings in this study help to effectively guide local hazards mitigation.

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