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Snow Avalanches in Forested Terrain: Influence of Forest Parameters, Topography, and Avalanche Characteristics on Runout Distance

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Abstract

Mountain forests are recognized as an effective biological protection measure against snow avalanches. To investigate how forests decelerate snow avalanches, we analyzed two data sets from the European Alps. The first data set contained 43 small to medium avalanches which released in forests and either stopped in forested terrain within 50 to 400 m or ran through forests and stopped in unforested terrain with a maximum runout distance of 700 m. The second data set consisted of 44 medium to large avalanches (360 to 1800 m in runout distance) which all stopped within forests, but started above treeline. Statistical dependencies between predictor variables on forest conditions, terrain features and avalanche characteristics (60 in total), and the response variable avalanche runout distance were investigated. Clear differences between avalanches that released in forests and avalanches that released above forests were observed. Forest structural parameters, in particular the starting zone stem density of trees with small diameters (1–15 cm), had a significant effect on runout distances of small to medium avalanches, which released in evergreen coniferous and mixed forests ($r_s = -0.3$; $p = 0.015$). Beyond a threshold of 200 m this effect was negligible for runout distances of avalanches which were still in motion. In contrast, forest structure did not affect runout distances of medium to large avalanches, which started above treeline, but forests in general were still able to slow avalanche speeds and limit avalanche runout. Furthermore, runout distance was significantly affected by avalanche size characteristics for medium to large avalanches, while avalanche size was less important in determining the runout distance of small avalanches, which released in forest openings. These results emphasize that it is important to treat these two cases differently in protection forest as well as natural hazard management.

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Introduction

Mountain forests play an important role in avalanche protection (Brang et al., 2006). Especially in populated areas in which the risk of avalanches limits habitability (Grêt-Regamey and Straub, 2006), there is considerable interest in understanding how forest structure affects the frequency and magnitude of avalanches (Gruber and Bartelt, 2007; Bebi et al., 2009). In addition, avalanches in forested terrain are a threat to recreationists, who often access forests while out-of-bounds (off-piste) skiing. Avalanches released in forests are usually small; however, depending on ecological, meteorological, and topographical conditions, they may develop into larger avalanches which can pose hazard to settlements and infrastructure below the forest (SLF, 2000).

Forest structure in terms of crown closure, tree density, and size and distribution of forest gaps in combination with topography directly influences the probability of avalanche releases in forests and, therefore, is the determining factor that controls the protective capacity of mountain forests (e.g. Bebi et al., 2001; Schneebeli and Bebi, 2004; Viglietti et al., 2010). While the protective effect of forests on avalanche formation in potential starting zones is relatively well understood (for a review see Bebi et al., 2009), much less is known about the secondary protective effect of forests on avalanche runout. Previous studies have shown that this effect is

limited to decelerating small to medium avalanches (Salm, 1978; Gubler and Rychetnik, 1991; Schneebeli and Bebi, 2004), while speed reduction of avalanches by forests seems to be negligible for large destructive avalanches (de Quervain, 1979; Margreth, 2004). According to field observations and estimates based on physical arguments, snow avalanche events released more than approximately 150 m above treeline are sufficiently strong to break or uproot trees (Schneebeli and Bebi, 2004; Bebi et al., 2009). Since tree fracture consumes relatively little of the avalanche energy, large avalanches released high above the treeline are able to destroy forests without significant deceleration (Bartelt and Stöckli, 2001). However, the decelerating effect of different forest structures on small avalanches released in forests or directly above the treeline has not yet been quantified (Bebi et al., 2009). In addition, recent observations from the European Alps emphasize the importance of studying also potential decelerating effects of forests on larger avalanches (LfU, 2010). It has been shown that medium to large avalanches released high above treeline destroyed large areas of mountain forests, but, surprisingly, stopped in forests before reaching the valley bottom (LfU, 2010). These observations again emphasize the intimate relationship between avalanche size and the protective capacity of forests.

Due to their sporadic occurrence and a non-continuous monitoring, observation data on avalanches in forested areas are rare. Avalanches in forested terrain are often not recognized or docu-

mented as they are not of primary importance compared to large destructive avalanches in open terrain endangering settlements, infrastructure, and human lives. However, they do play an important role in protection forest management. Decisions on silvicultural interventions need to consider the effect of different forest structures in potential starting zones, the avalanche track, and runout zones so that forests can fulfill the protective function effectively (Teich and Bebi, 2009). Furthermore, when it comes to decisions about the size and extent of avalanche defense structures in potential starting zones within forested areas or directly above the tree-line, forest and civil engineers need to know if forests with a certain structure or extent are capable of hindering avalanches that would endanger settlements and infrastructure.

In particular, we hypothesize that mountain forests growing in the avalanche path are capable of significantly influencing avalanche runout distances by deceleration, due to increasing friction and decreasing mass. This hypothesis is based on avalanche experiments at the Swiss Vallée de la Sionne site, which show that avalanche size controls flow regimes and, therefore, avalanche stopping behavior (Bartelt et al., 2012). While for small to medium avalanches this effect strongly depends on forest structure, we expect that forest structure is negligible for large destructive avalanches.

In this paper, we present an analysis of the influence of forest parameters, terrain features, and avalanche characteristics on avalanche runout distances in forested terrain. Among 60 collected variables we identify the most important ones, which affect avalanche runout distances of small to medium avalanches, which started in forests, as well as for medium to large avalanches released above the treeline. Compared to the present study, previous analyses of forest-avalanche interactions with focus on the avalanche path did not contain such a large set of variables, especially on forest parameters (e.g. McClung, 2003; Takeuchi et al., 2011). Furthermore, based on the analysis of a small subset on wet snow avalanches, we draw and discuss general assumptions of differences between wet and dry snow avalanches in forested terrain.

Methods

AVALANCHE DATA SETS

We analyzed two existing data sets from Europe containing 87 avalanches of different size. For avalanche size definitions we refer to typical path lengths where “small” < 100 m avalanche length, “medium” < 1000 m, and “large” < 2000 m (McClung and Schaerer, 2006; EAWS, 2012). The first data set consists of 43 small to medium wet and dry snow avalanches from the Swiss Alps ranging between 50 and 700 m in runout distance, which were observed during the winters 1986–1990. All these avalanches started in forests and detailed data on avalanche characteristics and forest parameters in the avalanche starting zone were collected in the field close to the events (Tables 1 and 2; for more details see Schneebeli and Meyer-Grass, 1993). We refer to this data set as ‘forest avalanches’ since we define a forest avalanche in general as an avalanche that released in forests. The avalanche starting points of forest avalanches were specified as x,y -coordinates and runout distances were recorded from the starting point in 5 m steps as the horizontal projection. To reconstruct and project the actual avalanche runout distances, we determined a representative ava-

lanche flow line following the stream network identified by a GIS software.

The second data set on 44 dry snow avalanches is from Germany, mostly observed during one big avalanche cycle in February 2009 in the Bavarian Alps. In contrast to the Swiss data set, these avalanches are larger with runout distances from 360 to 1800 m measured in horizontal projection along a GIS-identified stream network. They started mainly above the treeline, but stopped in forests. Therefore, we refer to this data set as ‘avalanches released into forest.’

Probability densities show that avalanche runout distance is not normally distributed, at least for forest avalanches (Fig. 1). Runout distance of avalanches released into forest may be approximated as a normal distribution. The forest avalanches data set contains 57 continuous and categorical variables describing forest parameters, terrain features, and avalanche characteristics compared to avalanches released into forest with 36 variables (Tables 1 and 2). The availability of detailed forest data was reduced for avalanches released into forest to a few parameters, which were obtained from orthophotographs.

DESCRIPTION OF SELECTED TERRAIN VARIABLES

Selected variables on terrain features were determined for both data sets from digital elevation models (DEMs) as described below. The analyses were mainly based on high-resolution DEMs, which are gained from airborne lidar (light detection and ranging) data with a spatial resolution of 2 m and a vertical accuracy of approximately 0.5 m. This was done separately for the avalanche starting zone, the avalanche track, and the runout zone. Each zone is defined as one-third in length of the total runout area. In contrast to the forest avalanches data set, runout areas of avalanches released into forest were mapped close to the event from helicopter flights or site visits. To estimate the potential runout areas of forest avalanches, we applied the two-dimensional numerical avalanche dynamics program RAMMS (Christen et al., 2010) and back-calculated all avalanches. The simulation results were verified with photographs taken shortly after most events.

Selected Terrain Variables:

Mean slope angle: Average slope angles in the starting zone, the avalanche track, and the runout zone as well as an overall mean slope angle were determined from DEMs by GIS zonal statistics.

Cross-slope curvature: Plan curvature was calculated using the ArcGIS (ESRI, 2011) tool “Curvature,” which determines the relative position of a cell to its surrounding pixels in a 3×3 pixel moving-window. Positive values indicate a concave or gullied slope (+1), negative values denote a convex slope or ridge (−1), and values around 0 mean almost no curvature, respectively, flat terrain (0). The mean value of the curvature raster was taken for each zone to assign the corresponding category.

Downslope curvature: The profile curvature was calculated similar to the cross-slope curvature. Positive values indicate a downwards decrease in slope angle (concave, +1), negative values describe a downwardly increasing slope angle (convex, −1), and values around 0 characterize an almost plain slope (0).

TABLE 1

Descriptive statistics for continuous variables for the data sets on 'forest avalanches' ($n = 43$) and 'avalanches released into forest' ($n = 44$); NA = no data.

Variable	Forest avalanches			A. released into forest		
	Mean	STD	Range	Mean	STD	Range
<i>Avalanche characteristics</i>						
Runout distance (m)	235	173	50–700	1085	370	360–1800
Fracture height (cm)	55	40	5–140	130	23	50–200
Release width (m)	15	12	1–50	180	153	20–800
Snow height at release (cm)	86	46	15–180	NA	NA	NA
Estimated height of new snow at release (cm)	9	17	0–60	NA	NA	NA
Width of runout zone (m)	NA	NA	NA	76	59	15–300
<i>Terrain Features</i>						
Mean slope angle ^a in the starting zone (°)	39	5	30–50	39	4	28–46
Mean slope angle in the avalanche track (°)	35	5	24–43	36	5	26–47
Mean slope angle in the runout zone (°)	33	5	19–39	26	6	15–38
Mean slope angle (overall) (°)	36	4	29–43	34	3	27–41
Starting point elevation (m a.s.l.)	1584	543	800–2215	1523	149	940–1840
Runout zone elevation (m a.s.l.)	1421	526	695–2140	907	133	600–1240
Vertical drop (m)	163	109	35–415	616	180	220–1100
<i>Forest^b parameters</i>						
Length of forest gap at release ^c (m)	28	22	0–90	NA	NA	NA
Distance to first trees ^d (m)	NA	NA	NA	274	234	0–955
Distance to forest (m)	NA	NA	NA	515	360	0–1200
Distance through forest (m)	146	93	30–400	521	271	100–1300
Number of stems per hectare DBH ^e 1–15 cm (No/ha)	371	584	0–3251	NA	NA	NA
Number of stems per hectare DBH > 6 cm (No/ha)	450	370	0–2083	NA	NA	NA
Number of stems per hectare DBH > 16 cm (No/ha)	230	161	0–840	NA	NA	NA
Mean diameter at breast height DBH > 1 cm (cm)	22	12	2–61	NA	NA	NA
Mean diameter at breast height DBH > 16 cm (cm)	34	11	0–61	NA	NA	NA
Dominant height ^f (m)	19	6	0–28	NA	NA	NA
First branches above ground (m)	3.6	2.3	0–10	NA	NA	NA
Total canopy density ^g (%)	40	22	0–82	NA	NA	NA
Norway spruce (<i>Picea abies</i> (L.) H.KARST.) canopy density (%)	27	34	0–100	NA	NA	NA
European larch (<i>Larix decidua</i> MILL.) canopy density (%)	33	38	0–100	NA	NA	NA
Swiss stone pine (<i>Pinus cembra</i> L.) canopy density (%)	7	14	0–55	NA	NA	NA
Other conifers canopy density (%)	1	6	0–30	NA	NA	NA
European beech (<i>Fagus sylvatica</i> L.) canopy density (%)	23	35	0–90	NA	NA	NA
Other broadleaf trees canopy density (%)	5	8	0–23	NA	NA	NA
Basal area ^h DBH 1–15 cm (m ² /ha)	1	2	0–9	NA	NA	NA
Basal area DBH > 16 cm (m ² /ha)	24	16	0–83	NA	NA	NA
Basal area on total area (%)	45	27	0–90	NA	NA	NA
Norway spruce (<i>Picea abies</i> (L.) H.KARST.) basal area (%)	22	34	0–100	NA	NA	NA
European larch (<i>Larix decidua</i> MILL.) basal area (%)	35	40	0–100	NA	NA	NA
Swiss stone pine (<i>Pinus cembra</i> L.) basal area (%)	9	19	0–85	NA	NA	NA
Other conifers basal area (%)	2	11	0–56	NA	NA	NA
European beech (<i>Fagus sylvatica</i> L.) basal area (%)	26	40	0–100	NA	NA	NA
Other broadleaf trees basal area (%)	3	6	0–23	NA	NA	NA
Regeneration (height 1–130 cm) (No/ha)	718	1505	0–7200	NA	NA	NA

^aSee text for further description of variable.

^bForests are characterized by a maximum distance between trees of 25 m, a minimum canopy density of 20%, and a dominant height above 3 m.

^cDownslope measured maximum extent of a forest opening.

^dDistance from the starting point to single trees or group of trees which are not defined as forests.

^eOutside bark diameter at breast height measured 1.37 m above the forest floor on the uphill side of the tree.

^fMean height of the 100 biggest trees per ha.

^gPercentage of the ground covered by a vertical projection of the tree crown.

^hCross-section area of a tree stem in m² measured at breast height.

TABLE 2
Categorical predictor variables and description of categories.

Variable	Description and categories
<i>Avalanche characteristics</i>	
Avalanche type	Form of the avalanche release: Avalanche started from (1) loose snow which slipped out from the snow surface and forms a triangle shaped release area; (2) a cohesive snow slab or; (3) gliding snow often triggered by an increasing water amount in the snow cover
Wet or dry snow avalanche	Liquid water in the release (wet) or not (dry)
Position of sliding surface ^a	Within snow cover (1); New snow fracture (2); Old snow fracture (3); On the ground (4)
<i>Terrain Features</i>	
Aspect	Divided compass aspect (8 sectors): 1 = N; 2 = NE; 3 = E; 4 = SE; 5 = S; 6 = SW; 7 = W; 8 = NW
Cross-slope curvature in starting zone, avalanche track and runout zone ^b	Concave or gullied slope (+1); Convex slope or ridge (-1); Almost no curvature or open slope (0)
Downslope curvature in starting zone, avalanche track and runout zone ^b	Concave (+1); Convex (-1); Flat (0)
Surface roughness in starting zone, avalanche track and runout zone ^b	Low (1); Medium (2); High (3)
<i>Forest parameters</i>	
Stop or no-stop in forest	Avalanche stopped within forest (1); Avalanche ran through forest and stopped in unfor-ested areas (0)
Release in forest	Avalanche started within forest (1); Avalanche started above forest (0)
Forest type	(1) "Mixed forests" contain deciduous forest, mostly dominated by European beech (<i>Fagus sylvatica</i> L.) and mixed alpine forests; (2) Norway spruce (<i>Picea abies</i> (L.) H.KARST.) dominated "Evergreen coniferous forest"; (3) "Deciduous coniferous forest" formed by European larch (<i>Larix decidua</i> MILL.) at the upper tree line
Crown closure	(1) Dense forest (Crown coverage > 90 %); (2) Loose (Crown coverage 70–90 %); (3) Scattered (Crown coverage 40–70 %); (4) Scattered to open (Crown coverage 20–40 %) and; (5) Open (Crown coverage <20 %); Based on the classification system of Bebi et al. (2001), crown closure was delineated and digitized in GIS by orthophotographs analyses.
Vertical structure	(1) Non; (2) One layer; (3) Two layers; (4) > Two layer; (5) Clumped or grouped
Stage of development	(1) Non; (2) Seedlings; (3) Pole stage forest (8 < DBH < 30 cm); (4) Young timber trees (31 < DBH < 40 cm); (5) Middle-aged timber trees (41 < DBH < 50 cm); (6) Old timber trees (DBH > 51 cm)

^aVariable is not available for *avalanches released into forest*.

^bSee text for further description of these variables.

Surface roughness: Local surface roughness is expressed as the standard deviation of the terrain height undulations (differences in elevation) within a 3 × 3 pixel moving-window and averaged within the starting zone, the avalanche track, and the runout zone. Before that, we calculated a continuously inclining trend raster for each zone of the avalanche area and subtracted it from the DEM to obtain a flattened raster containing local height differences only. Categories are low (1), medium (2), and high (3) surface roughness. Thresholds for the categories were defined by comparing the results of the DEM analysis with sporadic field samples.

STATISTICAL ANALYSIS

Since many of the studied variables are categorical rather than continuous and the dependent response variable avalanche runout distance is not normally distributed (Fig. 1), we calculated Spearman's rank correlation coefficient (r_s) to determine statistical dependencies between independent predictor variables describing forest parameters, terrain features and avalanche characteristics,

and avalanche runout distance. In contrast to other correlation coefficients, r_s is known as non-parametric and does not assume a linear relationship between response and predictor variables. A significant Spearman correlation can result when response and predictor variables are related by any monotonic function (Kendall, 1990). We defined a correlation statistically significant if the respective p -value is $0.01 < p \leq 0.05$ and highly significant for $p \leq 0.01$. We tested all available variables against the avalanche runout distance separately for the *forest avalanches* and the *avalanches released into forest* data sets and for two subsets on *wet and dry snow forest avalanches* within the data set *forest avalanches*.

Furthermore, we calculated regression trees to test the relative importance of the variables that most influence changes in avalanche runout distance, i.e. to identify parameters which classify our data set significantly and to find thresholds for this classification. Regression trees analyze nonlinear relationships in a robust way and split the data iteratively into increasingly more homogeneous partitions by constructing a set of decision rules on the predictor variables by an exhaustive search procedure (Breiman et al.,

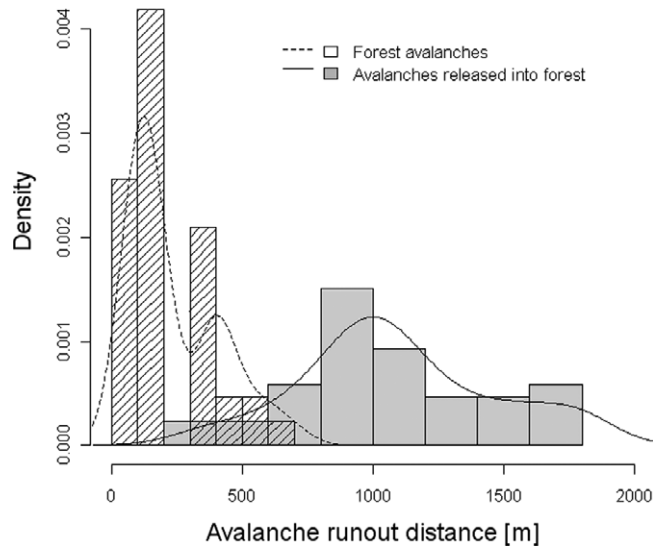


FIGURE 1. Probability densities and probability distributions of avalanche runout distances for the data sets ‘forest avalanches’ and ‘avalanches released into forest.’

1984). The selected split is the one that maximizes the homogeneity of the two resulting groups with respect to the response variable (Prasad et al., 2006). The regression tree model of the “party” add-on package to the “R” system for statistical computing was used to model conditional inference trees (Hothorn et al., 2006; R Development Core Team, 2011). This non-parametric class of regression trees is applicable to all kinds of regression problems by embedding tree-structured regression models into a well defined theory of conditional inference. To avoid overfitting, predefinitions were set as a significance level of 95% (respectively $p \leq 0.05$), which must be exceeded in order to implement a split, and a minimum of 20% of the number of observations should result in a terminal node.

Results

FOREST AVALANCHES

Several forest variables show significant or highly significant correlations with avalanche runout distance of *forest avalanches* (Table 3). Avalanches that stopped in forests had significantly smaller runout distances compared to avalanches that ran through forests and stopped in unforested terrain (Fig. 2), which supports our initial hypothesis. The regression tree model (vertical drop excluded) identified ‘stop or no-stop in forest’ as the most important variable among the predictor variables influencing avalanche runout (Fig. 3), i.e. avalanches stopped in forests within a mean runout distance of approximately 150 m. The distance through forest was of secondary importance, with a threshold of 100 m. Therefore, the general existence of forests in the avalanche path seems to play an important role on the first 100–200 m for the decelerating effect of forests.

Correlations between parameters characterizing forest structure and runout distance were found for the type of forest, the number of stems per hectare, and the mean diameter at breast height (DBH). Avalanches traveling through deciduous coniferous forests

TABLE 3

Highly significant ($p \leq 0.01$) and significant* ($0.01 < p \leq 0.05$) Spearman rank correlation coefficients (r_s) for avalanche runout distance of ‘forest avalanches.’**

Predictor variable	r_s	p -value
<i>Avalanche characteristics</i>		
Avalanche type	−0.39	0.011*
<i>Terrain Features</i>		
Cross-slope curvature in the avalanche track	−0.33	0.030*
Cross-slope curvature in the runout zone	−0.36	0.019*
Surface roughness in the starting zone	0.37	0.013*
Vertical drop	0.97	<.0001**
<i>Forest parameters</i>		
Stop or no-stop in forest	−0.67	<.0001**
Distance through forest	0.35	0.021*
Forest type	0.33	0.033*
Number of stems per hectare DBH 1–15 cm	−0.30	0.015*
Mean diameter at breast height DBH > 1 cm	0.36	0.017*

formed by European larch (*Larix decidua* MILL.) have significantly longer runout distances compared to evergreen coniferous and mixed forests, in that order. Forest density characterized by the number of stems per hectare has a significant impact on avalanche runout for the class of trees with a DBH ranging between 1 and 15 cm. Mean diameter at breast height shows a positive correlation, i.e. avalanches released in forests containing stems of larger mean DBHs have longer runout distances. Compared to large trees where these relationships are not that strong, small trees seems to be especially important in the starting zone and on the first 200 m of the avalanche path for limiting avalanche runout.

Terrain had a strong influence on runout distance in terms of cross-slope curvature in the avalanche track and the runout zone

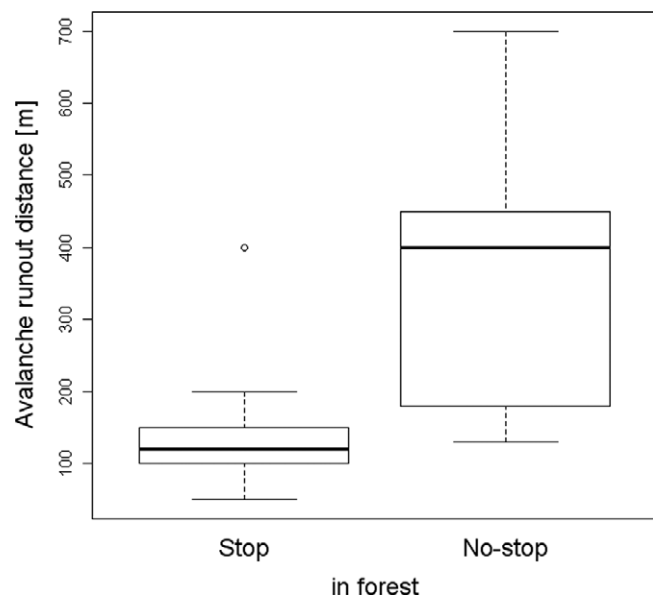


FIGURE 2. Differences in avalanche runout distance for avalanches which stopped or did not stop in forest for the data set on ‘forest avalanches.’ Boxplots show minimum, the lower quartile (Q 0.25), the median (Q 0.5), the upper quartile (Q 0.75), and maximum values for each category. Point is relative position of extreme value.

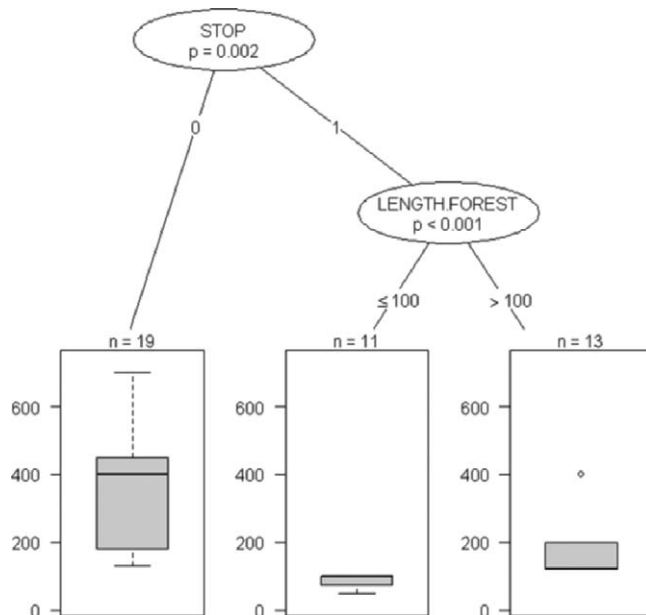


FIGURE 3. Regression tree model of avalanche runout distances based on predictor variables with significant or highly significant correlations excluding vertical drop for the data set on ‘forest avalanches’ ($n = 43$). The response variable avalanche runout distance [m] is displayed in the boxplots at the bottom of the tree showing minimum, the lower quartile (Q 0.25), the median (Q 0.5), the upper quartile (Q 0.75), and maximum values. The n -value exhibits the number of avalanches which are explained by the corresponding variable. STOP = Stop [1] or no-stop [2] in forest, LENGTH.FOREST = Distance through forest [m].

(Fig. 4). Small to medium avalanches, which started in forests, were significantly longer in concave gullied terrain compared to flat terrain or convex slopes, emphasizing that more channelized terrain generally delivers larger avalanches to the runout zone. Surface roughness in the starting zone shows a positive correlation with runout distance, i.e. higher local height differences lead to larger avalanches. Steepness in the starting zone, the avalanche track, and the runout zone as well as the overall mean slope angle did not correlate with runout distances of *forest avalanches*.

There is no general relationship between parameters characterizing avalanche size, like fracture height and release width, and runout distance. The only variable on avalanche characteristics that appeared as statistically significant was the avalanche type, where glide avalanches have smaller runout distances than loose snow and slab avalanches, in that order.

SUBSETS ON WET AND DRY SNOW FOREST AVALANCHES

In addition to the statistical analyses of the whole data set on *forest avalanches*, we calculated Spearman rank correlations for the two subsets on wet ($n = 28$) and dry snow avalanches ($n = 16$) and retained only variables with significant and highly significant correlations as summarized in Table 4. The runout distances of the subsets on wet and dry snow avalanches range between 50–700 m and 60–600 m, respectively, but on average *dry snow forest avalanches* tend to have longer runout distances (mean = 306 m) than *wet snow forest avalanches* (mean = 193 m).

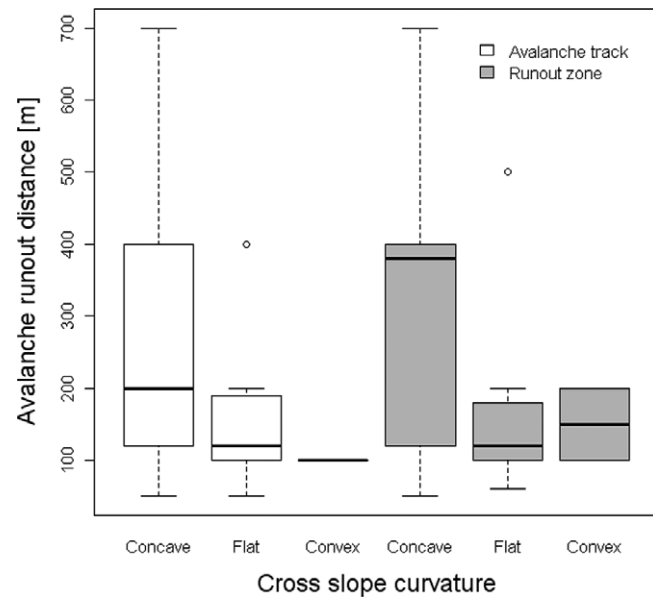


FIGURE 4. Differences in avalanche runout distance for concave, flat, and convex cross-slope curvature in the avalanche track and the runout zone of the data set on ‘forest avalanches.’ Boxplots show minimum values, the lower quartile (Q 0.25), the median (Q 0.5), the upper quartile (Q 0.75), and maximum values for each category of cross slope curvature. Points are relative positions of extreme values.

For wet snow avalanches, the distance an avalanche runs through the forest correlates strongly with avalanche runout distance in a negative direction, indicating that a long traveling distance through forests leads to short runout distances. When analyzing wet and dry snow forest avalanches separately, the height of first branches above ground and the European beech (*Fagus sylvatica* L.) basal area percentage appeared as new variables correlating significantly with avalanche runout distance. The variable first branches above ground characterize the mean crown length of the respective forest. A small distance to first branches means that tree crowns almost reach the forest floor and vice versa. For *wet snow forest avalanches* this relationship is positive in contrast to *dry snow forest avalanches* with a negative correlation coefficient, i.e. long tree crowns are linked to shorter runout distances of wet snow avalanches. In contrast, shorter runout distances of dry snow avalanches are correlated with shorter tree crowns which could indicate a higher forest density. The percentage of beech on basal area influences runout distances of *wet snow forest avalanches* in the way that a higher percentage causes shorter runout distances.

In contrast to the results for the whole data set on *forest avalanches* where terrain steepness had no influence on avalanche runout distance, overall terrain steepness shows positive correlations with runout distance for *dry snow forest avalanches* (mean = 32°), while a decrease in slope angle (mean = 38°) is correlated with increasing runout distances of *wet snow forest avalanches*. Cross-slope curvature affects *dry snow forest avalanches* the most, i.e. more channelized terrain leads to longer runout distances compared to flat or convex terrain. Avalanche size characteristics had no influence on runout distances of both wet and dry snow avalanches.

TABLE 4

Highly significant** ($p \leq 0.01$) and significant* ($0.01 < p \leq 0.05$) Spearman rank correlation coefficients (r_s) for avalanche runout distance of the subsets on wet and dry snow avalanches within the data set on ‘forest avalanches.’

Predictor variable	Dry		Wet	
	r_s	p -value	r_s	p -value
<i>Avalanche characteristics</i>				
Avalanche type	—	—	−0.47	0.014*
<i>Terrain Features</i>				
Mean slope angle in the starting zone	—	—	−0.45	0.019*
Mean slope angle in the avalanche track	—	—	−0.41	0.032*
Mean slope angle in the runout zone	—	—	−0.51	0.007**
Mean slope angle (overall)	0.55	0.027*	−0.60	0.001**
Cross-slope curvature in the avalanche track	−0.58	0.018*	—	—
Cross-slope curvature in the runout zone	−0.73	0.001**	—	—
Surface roughness in the starting zone	—	—	0.54	0.003**
Runout zone elevation	−0.647	0.007**	—	—
Vertical drop	0.96	<.0001**	0.98	<.0001**
<i>Forest parameters</i>				
Stop or no-stop in forest	−0.83	<.0001**	−0.51	0.006**
Distance through forest	—	—	0.84	<.0001**
First branches above ground	−0.56	0.024*	0.46	0.016*
European beech basal area percentage	—	—	−0.41	0.035*

AVALANCHES RELEASED INTO FOREST

Spearman rank correlations for the data set on *avalanches released into forest* show different statistical dependencies between predictor variables and avalanche runout distance compared to *forest avalanches* (Tables 3 and 5); forest structural parameters did not correlate with avalanche runout distance of *avalanches released into forest*.

Highly significant positive correlations were calculated for the distance to forest, the distance to first trees, and the distance an avalanche ran through forest. Avalanches which started high above treeline had longer runout distances than avalanches with short distances to first trees or forest. An increase in distance through forest is correlated with increasing runout distances. The distance to forest is correlated with the distance through forest ($r_s = -0.32$), highlighting that avalanche parameters characterizing avalanche

size are much more important for this set of avalanches compared to *forest avalanches*.

Regression tree models emphasize these findings: Parameters characterizing avalanche size split the data set significantly into four groups of varying mean runout distances (Fig. 5). Vertical drop is the most important variable as well as of secondary importance especially for larger avalanches of this data set. In addition, release width splits the smaller avalanches at a threshold of 130 m into two groups of different mean runout distances. If vertical drop is excluded from the regression tree model, the distance to forest is the most important variable followed by the distance through forest of secondary importance and again distance to forest of third importance (Fig. 6). For example, an avalanche stops at a mean runout distance of 1000 m if the distance to forest ranges between 500 and 725 m and the distance through forest does not exceed a threshold of 500 m.

TABLE 5

Highly significant** ($p \leq 0.01$) and significant* ($0.01 < p \leq 0.05$) Spearman rank correlation coefficients (r_s) for avalanche runout distance of the data set on ‘avalanches released into forest.’

Predictor variable	r_s	p -value
<i>Avalanche characteristics</i>		
Release width	0.38	0.028*
<i>Terrain Features</i>		
Surface roughness in the avalanche track	0.64	0.0002**
Starting point elevation	0.39	0.002**
Runout zone elevation	−0.49	0.0003**
Vertical drop	0.79	<.0001**
<i>Forest parameters</i>		
Distance through forest	0.41	0.005**
Distance to first trees	0.77	<.0001**
Distance to forest	0.57	<.0001**

Discussion

The present study contains statistical analyses of 60 variables on forest parameters, terrain features, and avalanche characteristics, and their effects on the avalanche runout distances of small to medium avalanches released in forests as well as on medium to large avalanches released above the treeline. In particular, the large number of predictor variables characterizing forest structure is much more comprehensive than in previous studies on the influence of forests in the avalanche path on avalanche magnitude, frequency, or runout distance (e.g. Frey et al., 1987; Butler and Malanson, 1992; McClung, 2003; Takeuchi et al., 2011).

AVALANCHES STARTING IN FORESTS

Our results highlight the importance of forests within the first 100–200 m of the avalanche path, since avalanches which stopped

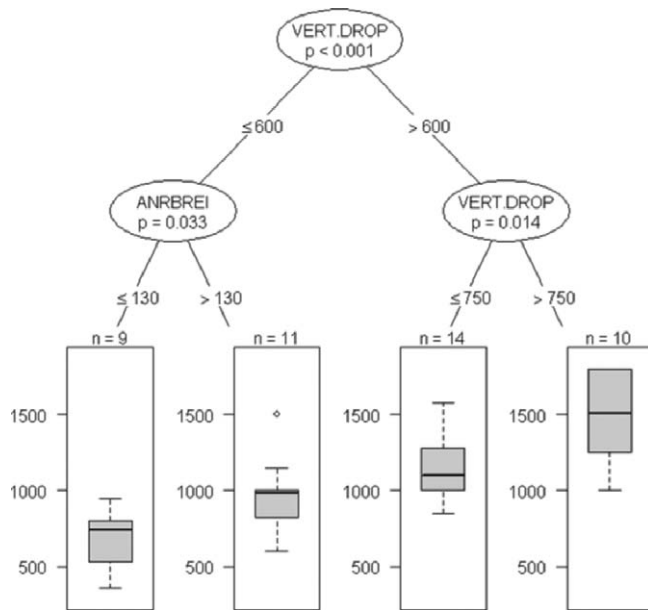


FIGURE 5. Regression tree model of avalanche runout distances based on predictor variables with significant or highly significant correlations for the data set on ‘avalanches released into forest’ ($n = 44$). The response variable avalanche runout distance [m] is displayed in the boxplots at the bottom of the tree showing minimum, the lower quartile (Q 0.25), the median (Q 0.5), the upper quartile (Q 0.75), and maximum values. The n -value exhibits the number of avalanches which are explained by the corresponding variable. VERT.DROP = Vertical drop [m], ANRBREI = Release width [m].

in forests were significantly smaller in runout distance compared to avalanches which ran through forests and stopped in unforested terrain. Cross-correlations emphasize the general effect of forest growing in avalanche starting zones since ‘stop or no-stop in forest’ is neither related to avalanche size characteristics nor to the distance through forest or other forest parameters. Forests of a certain structure are capable of stopping small avalanches within a critical distance of 200–400 m. Field surveys undertaken in British Columbia forests already pointed to a relationship between length of slope and susceptibility of the downslope forests to avalanche damage; slope lengths greater than 200 m are considered to pose moderate risk, while slope lengths greater than 400 m are considered to pose high risk, in combination with other factors (Weir, 2002). The significant decelerating effect of older forests on smaller avalanches by overturning trees and entraining the heavy root cluster was already highlighted by Bartelt and Stöckli (2001). However, we could also verify the positive effect of forests containing smaller stem diameters ($1 < \text{DBH} < 15$ cm); such forests are generally more dense compared to forests dominated by stems of larger diameters ($\text{DBH} > 16$ cm), where the number of stems in the starting zone did not influence runout distance significantly. We assume that, due to crowns starting higher above ground, there is less biomass in the zone where avalanches accelerate, so that they might turn into far-reaching avalanches; a high density of small diameter stems limits the avalanche mass. In addition, smaller bending stresses and the complete deflection of such trees consume avalanche energy resulting in a significant deceleration of small avalanches (Johnson, 1987). Thresholds for mean diameters of

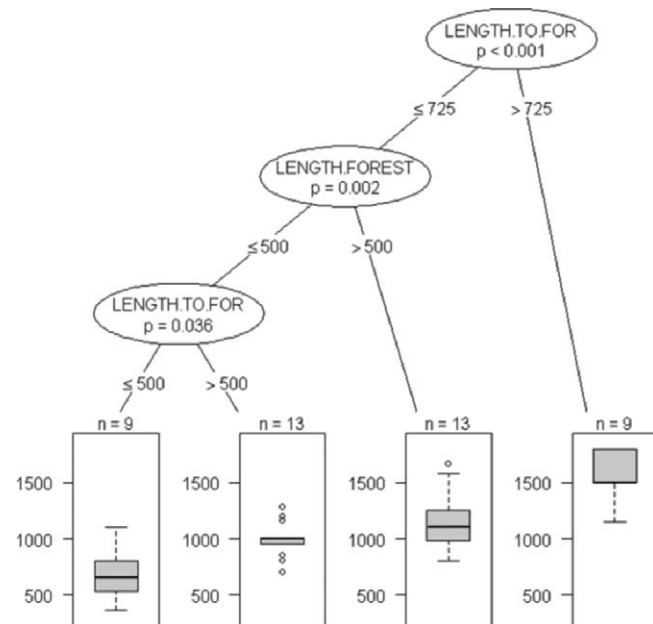


FIGURE 6. Regression tree model of avalanche runout distances based on predictor variables with significant or highly significant correlations excluding vertical drop for the data set on ‘avalanches released into forest’ ($n = 44$). The response variable avalanche runout distance [m] is displayed in the boxplots at the bottom of the tree showing minimum, the lower quartile (Q 0.25), the median (Q 0.5), the upper quartile (Q 0.75), and maximum values. The n -value exhibits the number of avalanches which are explained by the corresponding variable. LENGTH.TO.FOREST = Distance to forest [m], LENGTH.FOREST = Distance through forest [m].

breakage of different coniferous species in several avalanche paths in the Swiss Alps were determined as approximately 10–20 cm, which lies within our class of small-diameter stems (Tiri, 2009). Small trees which are not broken or uprooted during an avalanche event can still fulfill their protective function afterwards.

The influence of the forest type on runout distance reveals the characteristics of these mountain forest ecosystems. Avalanches that traveled through deciduous coniferous forests were significantly longer than avalanches that ran through evergreen coniferous and mixed forests. Larch stands near timberline have an open, less dense structure supported by cross-correlation results where the forest type does correlate significantly with the number of stems per hectare for all DBH classes ($-0.71 < r_s < -0.50$). A positive correlation was found between forest type and mean DBH of trees larger than 1 cm in diameter ($r_s = 0.41$). In contrast to deciduous coniferous forests, the growth of small avalanches is prevented in evergreen coniferous and mixed forests, also containing a high number of small diameter stems, because of an increased crown biomass, higher interception effects, and, therefore, less snow entrainment in the avalanche path.

Terrain had a significant influence on avalanche runout distance in terms of cross-slope curvature in the avalanche track and runout zone, surface roughness in the starting zone, and the vertical drop. A higher vertical drop is related to path scale and may imply higher snow erosion in the avalanche path followed by greater destructive effects and longer runout distances (McClung, 2003; McClung and Schaerer, 2006). However, due to a limited range of

slope angles of our data set, such high correlations between runout distances and vertical drop could be expected. Surface roughness in the starting zone shows positive correlations with runout distance that indicates that a larger snow supply is needed for potential avalanche releases in areas with high surface roughness.

In the present study, surface roughness was a predictor variable in terms of local terrain height differences without regard to ground vegetation or dead wood. However, concerning effective protection forest management, especially after storm events, the possible influence of dead wood on avalanche magnitude needs to be discussed briefly. Fallen logs, remnant stumps and root plates of upturned trees can prevent the formation of small avalanches (Schneebeli and Bebi, 2004). If an avalanche is already moving, tree entrainment in the debris enlarges the avalanche in mass and volume (Bartelt and Stöckli, 2001). Therefore, it could be highly valuable to keep tree debris in place, if a storm damages a protection forest (Bartelt and Stöckli, 2001). At least over the first 10 to 20 years after a storm event, dead wood still increases surface roughness in the starting zone and can prevent small avalanche releases (Putallaz, 2010).

Correlations of cross-slope curvature in the avalanche track and the runout zone with runout distance could be related to snow entrainment with more mass added in channelized terrain delivering larger avalanches to the runout zone compared to flat terrain or convex slopes (McClung, 2003; McClung and Schaerer, 2006). This corresponds to the longer runout distances found for avalanches released in deciduous coniferous forests. The starting point elevations in this type of forest are higher compared to those in evergreen coniferous and mixed forest and, therefore, avalanches starting in larch forests are more prone to gullied terrain. In our study, slope angle had no significant influence on runout distances of *forest avalanches*. However, avalanches start in forests generally on steeper slopes compared to avalanches in open terrain (Schneebeli and Bebi, 2004). Another study has also shown that no terrain variable including slope angle accounted for over 20% of variations in runout distance of avalanches in forested terrain (Butler, 1979). They concluded that this depends strongly on the region where avalanches were observed.

The influence of the avalanche type (glide snow avalanches are shorter compared to slab or loose snow avalanches, in that order) could possibly be explained by the amount of wet snow avalanches in our data set. This avalanche type starts from a slow gliding surface often triggered by an increasing water amount in the snowpack (Clarke and McClung, 1999) and, since wet snow in motion has a much higher friction on the sliding surface compared to dry snow, leads to shorter runout distances (McClung and Schaerer, 2006).

WET AND DRY SNOW AVALANCHES IN FORESTED TERRAIN

Separate analyses of the two subsets on wet and dry snow avalanches show similar correlations as the whole data set, but also reveal specific characteristics of the two categories. In general, the type of snow condition (wet or dry snow) and the type of forest correlates significantly ($r_S = -0.53$), i.e. wet snow avalanches do occur more often at lower elevations in mixed forests in contrast to dry snow avalanches which release more frequently in evergreen and deciduous coniferous forests at high elevations. Beech is a

typical tree species of low altitude areas. A higher beech basal area percentage was negatively correlated with avalanche runout distance of *wet snow forest avalanches*. This could be related to a general increase in forest density, which reduces the speed of small wet snow avalanches in deciduous and mixed forests. Observations of wet snow avalanches in forested terrain are rare, but the few examples verify our findings, e.g. the speed of three wet snow avalanches in very steep terrain (45° mean slope angle) was reduced by dense beech forests without damaging any trees and even small regeneration was not affected by the events (Imbeck and Meyer-Grass, 1988).

Crown length expressed in height of first branches above ground appeared as correlating significantly with runout distances for both subsets, for *dry snow forest avalanches* in a negative direction and for *wet snow forest avalanches* in a positive direction, i.e. the lower the crown the longer the runout distances of dry snow avalanches and the opposite for wet snow avalanches. Branch lopping is often linked to tree fracture and low energy consumption which increases avalanche mass and, therefore, flow energy (Bartelt and Stöckli, 2001). Dry snow avalanches occur more frequently in less dense coniferous forests near timberline, with triangle-shaped crowns starting close to the ground, and are more prone to avalanche destructive forces. Wet snow avalanches release more often in deciduous forests with crowns starting higher above the ground. Trees are less vulnerable and have a positive decelerating effect on wet snow avalanche runout as discussed before.

In contrast to *forest avalanches*, where slope angle had no significant influence on runout distance, terrain steepness shows positive correlations for *dry snow forest avalanches*, while a decrease in slope angle is correlated with increasing runout distances of *wet snow forest avalanches*. In general, terrain steepness restricts the amount of snow because of given shear strength, which leads to higher fracture depths, higher snow erosion in the avalanche path, and longer runout distances, and wet snow in motion has much higher friction at the sliding surface, which distinguishes it from dry snow (McClung and Schaerer, 2006). These relationships could explain both cases. First, increasing slope angles of the subset on dry snow avalanches and less friction at the sliding surface lead to generally longer runout distances compared to wet snow avalanches. And second, decreasing slope angles along the avalanche path lead to increased snow erosions and increasing runout distances of wet snow avalanches. Furthermore, conditions on ground vegetation in the avalanche path as well as an increasing amount of liquid water at the sliding surface can preclude significant energy dissipation along the lateral margins of the flow (Butler and Malanson, 1992). Resulting continued high energy levels can favor a more excessive travel of wet snow avalanche in the runout zone with decreasing slope angles in contrast to dry snow avalanches (Butler and Malanson, 1992). However, similar observations were made before where an inclining runout zone showed a positive correlation with mean avalanche size without a clear physical explanation (McClung, 2003).

Keeping in mind that the number of observations within the two subsets was limited, we draw first assumptions on the behavior of wet snow avalanches in forested terrain, since studies on this topic are especially rare. Few documented events on larger wet snow avalanches, which occurred in forests, have shown their

destructive potential (SLF, 1952). Future research should focus on wet snow avalanches in forested terrain; as with increasing winter temperatures under future climate conditions (Latenser and Schneebeli, 2003), the occurrence of destructive wet snow avalanches in forests might increase and raises the risk to settlements and infrastructure (Martin et al., 2001).

AVALANCHES RELEASED ABOVE TREELINE

Compared to *forest avalanches*, forest structure does not play such an important role for avalanche runout distances of *avalanches released into forest*. Forests in general affect runout distance in terms of distance to forest (including distance to first trees) and distance through forest. While the distance to forest limits avalanche runout distance, the distance an avalanche travelled through forest seems to increase avalanche mass through entrainment of stems in the avalanche debris (Bartelt and Stöckli, 2001). A threshold for the distance to forest appeared within the second regression tree model (vertical drop excluded). Thus, forests in general may be able to limit runout distances of larger avalanches even if the avalanches are released high above treeline, i.e. with a maximum distance to forest of approximately 700 m. Takeuchi et al. (2011) came to similar conclusions by studying a large-scale dry slab avalanche that stopped shortly after penetrating a cedar forest. Forests in combination with topography are able to decelerate large avalanches (Anderson and McClung, 2012).

Release width as a parameter characterizing avalanche size shows significant positive correlations with runout distance, which distinguishes *avalanches released into forest* from *forest avalanches*. Surface roughness in the avalanche track shows highly significantly positive correlations with runout distance indicating that higher local terrain undulations determine larger snow supplies and snow entrainment leading to longer runout distances.

The differences between the two data sets on *forest avalanches* and *avalanches released into forest* emphasize that it is important to treat these two cases differently in protection forest as well as natural hazard management. Especially for small to medium avalanches released in forests or directly above the treeline, the protective power of forests depends sensitively on the density of the forest stand by preventing avalanche releases (Schneebeli and Meyer-Grass, 1993; Bebi et al., 2001) as well as by decelerating and stopping already moving avalanches as seen in the present study. However, our data set on 87 observed avalanches in total is still limited. To strengthen our findings on the effect of forest structure in the avalanche path on runout distance, future studies should focus on collecting more reliable data on avalanches in forested terrain. This topic is especially important, since recurrence periods of avalanches that damage forests and might endanger settlements and infrastructure are short; between 20 and 30 years as observed in Switzerland (Föhn, 1979).

Conclusions

According to our hypotheses, we could demonstrate that forest structure has a significant influence on runout distances of small to medium avalanches released in forest openings. Especially on the first 100–200 m from the starting point, evergreen coniferous and mixed forests also containing small-diameter stems (1–15 cm)

limited avalanche mass such that runout distances did not exceed 200–400 m. Beyond this threshold, this effect is negligible for runout distances of avalanches that are still in motion.

For larger avalanches released high above treeline, the effect of different forest structures along the avalanche path is negligible, but forests in general are still able to slow avalanche speeds and limit runout distances. In contrast to avalanches released in forests, avalanche size in terms of release width and fracture height controls runout distances significantly as these avalanches behave similar to avalanches in open unforested terrain.

Therefore, an effective protection forest management helps to form forest stands that decelerate and stop small to medium avalanches released in forests. Furthermore, forest and civil engineers should take this effect of forests and its limitations into account when establishing the dimensions of avalanche defense structures in potential starting zones within forested areas or directly above treeline.

Our findings reveal necessary research needs on forest-avalanche interactions along the avalanche path in order to define thresholds of avalanche size where forests have a decelerating effect on avalanches. Future research should include a better quantification of thresholds between small and large avalanches according to existing size classification systems for avalanches in unforested areas, since an improved knowledge and understanding of interactions between avalanches and forests in the avalanche path is necessary to support optimized and regionally adapted decision making in protection forest as well as natural hazard management.

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