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Glacier changes in the Lancang River Basin, China, between 1968–1975 and 2005–2010

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Abstract

The Lancang River Basin (LRB) crosses from the higher inland Tibet Plateau to lower south Asia. Glaciers in upper reaches of the basin are significant reservoirs of freshwater and are considered to contribute substantially to the runoff of the Lancang River. In this study, we present the results of glacier inventories of the LRB and demonstrate its changes during the past 40 years, based on investigations conducted during two periods: the first (1968–1975) and the second (2005–2010) glacier inventory of China. Total area of the 423 measured glaciers in the LRB decreased by 98.50 ± 26.61 km² from 328.16 ± 20.29 km² in 1968–1975 to 229.66 \pm 16.48 km² in 2005–2010, indicating a loss in total glacier area of about 30% \pm 8% during the past 40 years (at a mean area loss rate of 0.75% \pm 0.2% a⁻¹), which is comparable to glacier changes in other regions of high Asia. Southern glaciers in the LRB have experienced greater area loss than the northern inland Tibet regions, indicating more sensitivity of temperate glaciers to climate warming. The general warming trend but with less significant precipitation changes during the past 50 years (1960–2010), which has been confirmed by the observation of several meteorological stations across from the south to the north of the basin, could be one of the main causes accounting for the overall glacier recessions in the LRB.

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Introduction

In mountainous areas, global climate change is manifested by a series of effects: the fragility related to mountain glacier shrinkage that characterizes high-altitude ecosystems (Haugland and Beatty, 2005; Baker and Moseley, 2007; Hodson et al., 2008), natural hazards (Richardson and Reynolds, 2000; Fischer et al., 2006; Huggel, 2009), and watershed hydrology (Immerzeel et al., 2010 [add to reference list]; Kaser et al., 2010; Liu et al., 2010; Gain et al., 2011 [add to reference list]; Schaner et al., 2012; Sorg et al., 2012). In recent years, many studies have reported increased glacier loss from various mountainous regions across the world (Oerlemans, 1994, 2005; Bolch et al., 2012; Pathak, 2012; Sorg et al., 2012; Yao et al., 2012). Investigating the current extension of glaciers and its recent variations is important for evaluating the water resources they represent and also the perspective of climate changes they indicate (Leclercq and Oerlemans, 2012). It is increasingly urgent, therefore, to monitor glacier change at regional or basin scale (Radić and Hock, 2010). Multi-temporal satellite imagery and older aerial photography have been used extensively in the past decade to quantify glacier changes in mountainous areas throughout the world (Paul, 2002; Schmidt and Nüsser, 2009; Shangguan et al., 2009; Bolch et al., 2010; Narama et al., 2010; Yao et al., 2012). The Global Land and Ice Measurements from Space (GLIMS) project was initiated with the goal of mapping the world's glaciers using satellite imagery (Kargel et al., 2005). Remote-sensing methods are useful for detecting multi-period glacier changes, especially for glaciers in remote regions where traditional field-based glaciological investigations are limited (Paul et al., 2004).

There have been recent efforts to document the glacier area loss in different mountain regions (Li et al., 2008; Kang et al., 2010) or drainage basins (e.g., Liu et al., 2006; Shangguan et al., 2009) of western China, but none of these inventories has emphasized on the whole LRB. The Lancang River originates at the inland high Tibetan Plateau; flows through China to Myanmar, Laos, Thailand, Cambodia, and Vietnam; is here renamed the Mekong River; and then ultimately merges into the South China Sea. In recent years, construction of a cascade of hydropower dams on the Lancang River in southwestern China's Yunnan has highlighted the ecological and socio-economic issues that have attracted increasing regional and global attentions (He et al., 2006; Grumbine and Xu, 2011; Rasanen et al., 2012). Few studies have been concerned with the cryosphere changes occurring in the upper reaches of the basin, which could alter the runoff generation and its seasonal distribution in the downstream (He et al., 2006; Qin and Ding, 2010). Hence, in this study we will analyze glacier changes in the LRB by comparing the latest results of the glacier inventory of China (GIC 2nd; 2005–2010) derived from multi-type satellite imagery with an earlier glacier inventory (GIC 1st; 1968–1975) derived from aerial photography.

Study Area

The LRB in China is the Upper Mekong Basin (Fig. 1), which makes up 24% of the total area of the Mekong River basin (MRC, 2010). From the Tibetan Plateau in the north, the Lancang

FIGURE 1. (a) Map showing the location of the Lancang River Basin (LRB) overlaid on the Shuttle Radar Topography Mission (SRTM) digital elevation model (DEM) and glacier distribution in its upper part. Glacier in the (b) upper and (c) lower region (black box) are zoomed in and blue boxes with numbers and drainage codes indicate the investigated subregions listed in Table 3 and Figure 2.

River runs through narrow and deep valleys to the south in Yunnan province, with about 189,000 km² of watershed area and 474 to 6358 m a.s.l. of elevation range in China. According to the runoff records at the Jinghong station, the Lancang River annually discharges $\sim 61.7 \text{ km}^3$ of water from China to its downstream basin, accounting for about 13.5% of the total Mekong River annual discharge (MRC, 2010).

A concise summary of the GIC 1st has been provided by Shi (2008). Glaciers are concentrated in its two major source regions, the Za Qu and Ngom Qu, the most highly glacierized tributaries in the upper LRB. The physical regime of glaciers in the LRB crosses the boundary between subcontinental type glaciers in its upper source region and maritime type glaciers in its middle reaches (Shi, 2008). Most glaciers in the LRB are small cirque or hanging glaciers, except for several larger valley glaciers in the eastern Meili Snow Mountain, located at the middle part the LRB and also the southernmost glacierized region in the basin (box 9 in Fig. 1). Maritime glaciers in the middle part of the LRB have lower ice terminals and some of them are debris-covered. The Mingyong Glacier (also called the Nainuogeru Glacier) is the biggest glacier in the basin (Moseley, 2006; Baker and Moseley, 2007) with an area of 12.55 km2 in 2009 (based on the GIC 2nd) and extending 11.5 km from 6740 m to 2700 m a.s.l.

Based on the GIC 1st, it was estimated that about 4.43×10^8 to 7.16×10^8 m³ of the annual runoff in the LRB is contributed from glacial runoff (Shi, 2008; Kang et al., 2009). To summarize glacier changes for each hydrological regime, following the coding rules for drainage districts of China, the glacierized part of LRB is divided into eight sub-drainage basins coded as 5L412, 5L421, 5L422, 5L322, 5L312, 5L311, 5L222, and 5L221 (Fig. 1), and further into 23 fifth-level sub-basins.

Data and Method

GLACIER INVENTORY

Outlines of glaciers in the LRB for the two investigated periods are analyzed spatially and compared to identify their changes.

TABLE 1 Landsat TM images used during the GIC 2nd for the Lancang River Basin (LRB).

	Date	Resolution		
Landsat image ID	(yyyymmdd)	(m)	Covered Drainage	
LT51340372007273IKR00	20070930	30	5L421I, 5L422A	
LT51340392005251BJC02	20050908	30	5L311A	
LT51350372007264IKR00	20070921	30	5L412I, 5L421F, 5L421I	
LT51350382005290BJC02	20051017	30	5L322A, 5L322B, 5L322C, 5L321A, 5L321B	
LT51360372010119KHC00	20100429	30	5L412E, 5L412F, 5L412G, 5L412I	
LT51360382007207IKR00	20070726	30	5L322C, 5L322D, 5L322F, 5L322G	
LT51360382007271IKR00	20070928	30	5L322C, 5L322D, 5L322F, 5L322G	
LT51330402009287BJC00	20091014	30	5L222A, 5L222B, 5L222C, 5L222D, 5L222E, 5L221I	

For the GIC 1st, in which glacier coverage was mainly derived from topographical maps that are based on aerial photos taken between 1968 and 1975, eight 1:100,000 topographic maps were used for the determination of glacier extents. The geographic projections of these topographic maps were based on the Beijing Geodetic Coordinate System 1954 (BJ54). To delineate glacier outlines, all topographic maps were scanned and geo-referenced. Glacier outlines were then digitized on those maps and the map projection was transformed to WGS-84 projection based on a parameter transformation model (Shangguan et al., 2009; Xu et al., 2013; Wei et al., 2014).

The GIC 2nd is based on multimission satellite imagery (e.g., Landsat TM/ETM+, ASTER, and SPOT) acquired between 2005 and 2010 (Liu et al., 2015). For the LRB, we use eight Landsat TM5 scenes to map the recent glacial ice coverage (Table 1). The TM4/TM5 ratio image with a threshold (Paul, 2002) is used to delineate the glaciers. Debris-covered glaciers are digitized manually with assistance from the Google Earth images. The raw ice polygons are visually checked for classification errors such as persistent seasonal snow, rock outcrops, and moraines.

Ice coverage on each Landsat image is divided into individual glacier polygons using topographical ridgelines, or ice divides, which are computed using watershed delineation based on the Shuttle Radar Topography Mission (SRTM V4, available from http://srtm.csi.cgiar.org/) digital elevation model (DEM) (Reuter et al., 2007; Jarvis et al., 2008). The 90 m resolution SRTM DEM is resampled to 30 m (same as the Landsat TM5 images) and thus is used to derive glacial hypsometry and aspects. According to the GLIMS guidelines for the world glacier inventory (Paul et al., 2009), we obtain the mean aspect of a glacier by calculating mean sine and cosine values for each glacier. Parameters derived in this way for glaciers of the GIC 2nd may be slightly biased compared with the actual values due to surface movements and changes in ice thickness and extent.

For both investigations, ice patches larger than 0.01 km² are mapped and the similar resolution of these two data sources for glacier mapping makes the comparison of glacier changes reliable. Method of error analysis for glacier area and its changes is following Wei et al. (2014) and Bolch et al. (2010). For glacier outlines of the GIC 1st, which are derived from the 1:100,000 topographic maps, line pixel error (λ_1) is estimated to ± 27 m. For mapping results based on Landsat TM images for the GIC 2nd, line pixel error

 (λ_2) is ± 30 m, the resolution of Landsat scenes. The error of glacier area S_{λ} is defined as

$$
S_{\lambda_i} = n \cdot \lambda_i^2 / 2. \tag{1}
$$

where n is the total count of pixels along the outline of ice coverage, and λ_i is the line pixel errors. The error for calculation of changes in glacier area dS_λ is obtained by

$$
ds_{\lambda} = \sqrt{S_{\lambda 1}^2 + S_{\lambda 2}^2}.
$$
 (2)

CLIMATIC OBSERVATIONS ACROSS THE LRB

The entire LRB is characterized by different climatic patterns. The water vapor gradually reduces as the intruding southwest air mass moves north, and there is little precipitation in the inland region. Temperature generally increases from north to south and annual precipitation generally decreases from south to north. He and Zhang (2005) has presented climate change in LRB from 1960 to 2000 based on 19 meteorological stations across LRB from the middle part to the south. Here we will analyze the air temperature and precipitation data from six weather stations located in the upper region of the Lancang River. Locations and general characteristics of these meteorological stations are shown in Figure 1 and Table 2. Some of these stations were not included in the study of He and Zhang (2005), and they represent the climatic conditions for the source region of the LRB. Meteorological data (1960–2012) from these weather stations are used to characterize the climatic background and its recent trend in the upper region of the LRB over the past 50 years.

Results and Discussion

GLACIER CHANGES IN THE LRB

Based on the two inventories, the total area of the 423 measured glaciers in the LRB decreases by 98.50 ± 26.61 km² from 328.16 ± 20.29 km² in 1968–1975 to 229.66 \pm 16.48 km² in 2005– 2010, indicating a total glacier area loss of $\sim 30\% \pm 8\%$, or 0.75%

TABLE 2 General characteristics of the selected meteorological stations in the upper LRB.

Station ID	Name	Latitude	Longitude	Altitude (m a.s.l.)	Mean air temperature $(^{\circ}C)$	Mean annual precipitation (mm)
56018	Zadoi	$32^{\circ}54'$ N	$95^{\circ}18'E$	4066	0.7	533.3
56116	Denggean	$31^{\circ}25'$ N	$95^{\circ}36'E$	3873	3.5	645.7
56029	Yushu	$33^{\circ}01'N$	$97^{\circ}01'E$	3681	3.4	487.1
56137	Oamdo	$31^{\circ}09'$ N	$97^{\circ}10'E$	3306	7.7	481.8
56331	Zuogang	$29^{\circ}40'$ N	$97^{\circ}50'E$	3780	4.7	449.0
56444	Degean	28°29'N	98°55'E	3319	5.5	639.7

± 0.20% a[−]¹ during the past 40 years. Numbers and area of glaciers in each sub-drainage basins are summarized for the two investigation periods (Table 3). The average glacier size decreased by 0.28 km² from 1968–1975 (0.78 km²) to 2005–2010 (0.49 km²). Based on the two inventories, a total of 33 small glaciers in the LRB with areas varying from 0.01 to 0.47 km² has disappeared at the time of the GIC 2nd. Total numbers of glaciers, however, increased from 423 to 466 due to the disintegration of some ice bodies with the thinning of the glacier surface (Paul et al., 2004). Figure 2 gives a detailed view of glacier outline changes for each sub-region indexed by nine boxes in Figure 1, which are also distinguished for each drainage basin.

FIGURE 2. Glacier change in the nine investigated subregions labeled in Figure 1. Glacier outline for the glacier inventory of China (GIC) 1st is depicted by black hollow polygons; filled polygons are of the GIC 2nd and colored by different drainage codes listed in Table 3.

Generally, high glacier area loss rates in the LRB are found in its southern regions (boxes 7, 8, and 9) whereas the lowest are found in the north $(-0.08\% \pm 0.65\% \text{ a}^{-1}, 5L412F \text{ in Table 3}).$ An overall annual glacier area decrease rate of $0.75 \pm 0.20\%$ a⁻¹ during the past 40 years in the LRB is remarkable when compared to glacier changes in other high Asian mountain regions (Table 4). Monsoonal temperate glaciers in the eastern Himalayas and southeastern Tibet are believed to be more sensitive to climate warming (Fujita and Ageta, 2000; Fujita, 2008). Previous studies have suggested that the glacier shrinkage rate generally decreases from the southern and eastern Tibet Plateau to the continental interior (Yao et al., 2012). In southeastern Tibet, the contemporary annual glacier area loss rate has been determined to ~0.91% a^{-1} (Yao et al., 2012), whereas the annual glacier area loss rate in the inner Tibet Plateau has been assessed to $0.27\% \pm 0.15\%$ a⁻¹ (Wei et al., 2014). The observed glacier area changes in the LRB fall

between these estimates and are consistent with decreasing glacier area loss rates toward the inner Tibet Plateau.

GLACIER CHANGES FOR DIFFERENT ALTITUDE, ASPECT, AND GLACIER SIZE

Glaciers in the LRB are distributed in the upper and middle part of the basin and no glacier is found in a latitude below 28°N (Fig. 1). Figure 3 presents the distribution of glacial area with elevation, which is summarized in 100 m elevation intervals based on the SRTM DEM, both for all glaciers in the LRB and for glaciers located in its upper and lower regions, as well as comparisons of glacier area changes between the GIC 1st and the GIC 2nd. Mapping results based on the GIC 1st show that about 73.3% of glacier area in the LRB is distributed in the upper region (Fig. 1, part b). For the total basin and the upper region (Figs. 3, parts a and b), glacier area reaches its maximum at about 5400 m a.s.l. Most of the loss in glacier area occurred between 5000 and 5500 m a.s.l.; the largest area loss $(\sim 22 \text{ km}^2)$ occurred between elevations of 5200 and 5300 m a.s.l., with little change $(< 0.5 \text{ km}^2)$ in glacier extents above 5800 m a.s.l. and below 3800 m a.s.l. The elevation of maximum glacier area loss is about 100 m lower than the elevation of maximum glacier coverage. For the lower region (Fig. 3, part c), the maximum glacier area occurred at about 5200 m a.s.l.; the largest area loss $(\sim 2.2 \text{ km}^2)$ occurred between 4800 and 4900 m a.s.l., ~400 m lower than the

upper region. The lowest altitude of glaciers (glacier terminal) in the LRB occurred at the lower region in eastern Meili Snow Mountain range, where the lowest altitude has risen by 182 m from 2871 to 3053 m a.s.l. between the GIC 1st and the GIC 2nd.

The glacier area distribution and their changes in eight aspects are plotted in Figure 4. It shows that glacier cover in the LRB was predominantly oriented to the northeast, north, and east: with the total area amounting to $99.25 \text{ km}^2 (31\%)$, 83.65 $km²$ (26%), and 50.99 km² (15%), respectively, for the inventory of GIC 1st. At the time of the GIC 2nd, these values shifted slightly to 65.85 km² (29%), 61.19 km² (27%), and 33.16 km² (15%), respectively. The glacial area reducing rate in each aspect is nearly the same (–31% in average), except for less reduction in the southeast (-16.5%) .

The numbers of glaciers and areas for seven classes based on the GIC 1st are shown in Figure 5, part a. Results show that 332 glaciers, or 78.5% of the glaciers in the entire study area, have an area of less than 1 km2 , while their total area is only 110.79 km2 (33.8%). There are seven glaciers larger than 5 km² and their total area accounts for about 16.0% of total glacier area in the LRB. For each glacier, the change in its surface area is compared with its initial size (area in the GIC 1st). Figure 5, part b, shows the percentage changes in glacier area as a function of glacier area. It is obvious that percentages of area reduction of small glaciers are usually higher than those of larger glaciers. For the smaller glaciers (area less than 1 km2), there is a clear tendency toward loss of a

FIGURE 3. Hypsometry and its changes of (a) all glaciers in the LRB and (b) glaciers in the upper and (c) lower region.

greater percentage of their area over the study period. The larger glaciers (area larger than 5 km2), however, are less likely to have lost much percentage of their area, although the absolute area loss of larger glaciers is more remarkable. That is coincident with those studies of glacier change in some other mountainous regions around the world, for example, the European Alps (Haeberli and Hoelzle, 1995), Tarim Basin in China (Shangguan et al., 2009) and western Canada (Bolch et al., 2010). In North America, however, DeBeer and Sharp (2007) and Hoffman et al. (2007) reported very limited area changes of the smallest glaciers and they suggested that most of the small glaciers are situated in locations that favor ice preservation by enhancing mass input and/or reducing ablation rates (DeBeer and Sharp, 2009). This discrepancy may be due to the different status of small glaciers. One critical transition point is whether the small glaciers have retreated to an upper limited part, such as the regional equilibrium line altitude (ELA), above or below which altitude the regime of mass balance will result in the stability or shrinkage of the glacier (Ramirez et al., 2001). For glaciers in the LRB, our result suggests that, during our inventory period, the small glaciers in the LRB have shown more sensitivity to climate changes than larger glaciers.

CLIMATE CHANGES IN THE UPPER REGION OF LRB

According to the report of He and Zhang (2005), over the period of 1960–2000, mean annual air temperature across the middle to the south part of the LRB increased at the rate of 0.01 to 0.04 °C a^{-1} , and the lowermost reaches of Lancang River experienced a much more dramatic temperature increase and precipitation decrease than the upper reaches. At the upper reaches of LRB, for the six meteorological stations analyzed in this study (Fig. 1), they show uniform upward trends in annual mean air temperature changes over the past 50 years (Fig. 6, part a). Between 1960 and 2012, the rate of increase in annual mean air temperature at these station averaged 0.33 °C $10a^{-1}$ and ranges from 0.17 to 0.45 °C $10a^{-1}$. It could be also found that the southern part of the basin experienced more remarkable temperature increases, such as at the Deqean, the most southern station, with an increasing rate of $0.45 \degree C 10a^{-1}$. For the northern part of the basin, the warm trend is likely more remarkable at higher elevations. At the elevation of about 4000 m a.s.l. at the Zadoi Station, annual mean air temperature has risen at least 2 degrees from below zero to nearly 2 °C. This means a \sim 300 m upshift of the 0 °C isothermal

FIGURE 4. Aspect frequency distribution of glacier area and its changes in the LRB.

FIGURE 5. (a) Numbers and area distribution of the GIC 1st for different glacier area classes. (b) Relative changes in area plot against glacier area for 423 investigated glaciers in the LRB.

layer, which could lead to a remarkable increase of ablation area and also of the ice melt rate during the summer. The precipitation (Fig. 6, part b) in the upper region of LRB shows less noticeable trends but more fluctuations over the past 50 years (e.g., the annual total precipitation at the Deqean Station varied from 373 to 937 mm between 1960 and 2012). Since no significant precipitation trends have been observed at all stations, one can assume that glacier recession in the LRB is primarily due to the air temperature increase. The relatively

FIGURE 6. (a) Annual mean air temperature and (b) total precipitation variations at six meteorological stations (see Fig. 1) over the period 1960–2012. Trend lines of air temperature are delineated by linear functions and $R²$ values; dashed lines in panel (b) indicate mean annual **total precipitation during the observation period.**

higher area loss rate of monsoonal temperature glaciers in the south is likely the result of the sharper air temperature increase during the past 50 years.

Conclusions

We have presented a comprehensive inventory of glacier changes in the LRB for the period between 1968–1975 (the GIC 1st) and 2005–2010 (the GIC 2nd). During the past 40 years, glaciers in the LRB have experienced area loss with a mean rate of 0.75% a⁻¹, which is comparable to glacier changes in some other high Asia mountain regions. High glacier area loss rates in the LRB are found in the southern regions for those small hanging or cirque glaciers in Meili Snow Mountain range. In the northern part of the LRB, the mean glacier area loss rate was lower than the area loss rate of the entire LRB. The maximum glacier area loss occurred at an elevation of approximately 5250 m a.s.l., which was 100 m lower than the highest glacier coverage in the LRB. Generally, small glaciers show higher area loss rate than larger glaciers. The differences in the rate of decrease in area for individual glaciers are mostly influenced by glacier size, whereas the area loss rates in certain aspects are not remarkable. The general warming trend during the past 50 years seems to be the main cause of the overall glacier recessions in the LRB, whereas the precipitation changes are less significant and therefore of secondary importance.

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