Glossary

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This glossary is based on the 2001 IUCN Red List Categories and Criteria, Version 3.1., which were developed by the IUCN Species Survival Commission, Gland, Switzerland and Cambridge, UK. For additional information, please download the complete document from <http://www.iucnredlist.org/technical-documents/categories-and-criteria>.

Population and Population Size

The term 'population' is used in a specific sense in the Red List Criteria that is different from its common biological usage. Population is here defined as the total number of individuals of the taxon. For functional reasons, primarily owing to differences between life forms, population size is measured as numbers of mature individuals only. In the case of taxa obligately dependent on other taxa for all or part of their life cycles, biologically appropriate values for the host taxon are used.

Subpopulations

Subpopulations are defined as geographically or otherwise distinct groups in the population between which there is little demographic or genetic exchange (typically one successful migrant individual or gamete per year or less).

Extent of occurrence

Extent of occurrence is defined as the area contained within the shortest continuous imaginary boundary which can be drawn to encompass all the known, inferred or projected sites of present occurrence of a taxon, excluding cases of vagrancy. This measure may exclude discontinuities or disjunctions within the overall distributions of taxa (e.g. large areas of obviously unsuitable habitat) (but see 'area of occupancy', below). Extent of occurrence can often be measured by a minimum convex polygon (the smallest polygon in which no internal angle exceeds 180 degrees and which contains all the sites of occurrence).

Area of occupancy

Area of occupancy is defined as the area within its 'extent of occurrence' (see above) which is occupied by a taxon, excluding cases of vagrancy. The measure reflects the fact that a taxon will not usually occur throughout the area of its extent of occurrence, which may contain unsuitable or unoccupied habitats. In some cases (e.g. irreplaceable colonial nesting sites, crucial feeding sites for migratory taxa) the area of occupancy is the smallest area essential at any stage to the survival of existing populations of a taxon. The size of the area of occupancy will be a function of the scale at which it is measured, and should be at a scale appropriate to relevant biological aspects of the taxon, the nature of threats and the available data. To avoid inconsistencies and bias in assessments caused by estimating area of occupancy at different scales, it may be necessary to standardize estimates by applying a scale-correction factor. It is difficult to give strict guidance on how standardization should be done because different types of taxa have different scale-area relationships.

Red List Categories

Extinct (EX)

A taxon is Extinct when there is no reasonable doubt that the last individual has died. A taxon is presumed Extinct when exhaustive surveys in known and/or expected habitat, at appropriate times (diurnal, seasonal, annual), throughout its historic range have failed to record an individual. Surveys should be over a time frame appropriate to the taxon's life cycle and life form.

Extinct in the Wild (EW)

A taxon is Extinct in the Wild when it is known only to survive in cultivation, in captivity or as a naturalized population (or populations) well outside the past range. A taxon is presumed Extinct in the Wild when exhaustive surveys in known and/or expected habitat, at appropriate times (diurnal, seasonal, annual), throughout its historic range have failed to record an individual. Surveys should be over a time frame appropriate to the taxon's life cycle and life form.

Critically Endangered (CR)

A taxon is Critically Endangered when the best available evidence indicates that it meets any of the criteria A to E for Critically Endangered (see table below), and it is therefore considered to be facing an extremely high risk of extinction in the wild.

Endangered (EN)

A taxon is Endangered when the best available evidence indicates that it meets any of the criteria A to E for Endangered (see table below), and it is therefore

considered to be facing a very high risk of extinction in the wild.

Vulnerable (VU)

A taxon is Vulnerable when the best available evidence indicates that it meets any of the criteria A to E for Vulnerable (see table below), and it is therefore considered to be facing a high risk of extinction in the wild.

Near Threatened (NT)

A taxon is Near Threatened when it has been evaluated against the criteria but does not qualify for Critically Endangered, Endangered or Vulnerable now, but is close to qualifying for or is likely to qualify for a threatened category in the near future.

Least Concern (LC)

A taxon is Least Concern when it has been evaluated against the criteria and does not qualify for Critically Endangered, Endangered, Vulnerable or Near Threatened. Widespread and abundant taxa are included in this category.

Data Deficient (DD)

A taxon is Data Deficient when there is inadequate information to make a direct, or indirect, assessment of its risk of extinction based on its distribution and/or population status. A taxon in this category may be well studied, and its biology well known, but appropriate data on abundance and/or distribution are lacking. Data Deficient is therefore not a category of threat. Listing of taxa in this category indicates that more information is required and acknowledges the possibility that future research will show that threatened classification is appropriate. It is important to make positive use of whatever data are available.

In many cases great care should be exercised in choosing between DD and a threatened status. If the range of a taxon is suspected to be relatively circumscribed, and a considerable period of time has elapsed since the last record of the taxon, threatened status may well be justified.

Not Evaluated (NE)

A taxon is Not Evaluated when it has not yet been evaluated against the criteria.

Source:
IUCN. 2001. IUCN Red List Categories and Criteria: Version 3.1. IUCN Species Survival Commission. IUCN, Gland, Switzerland and Cambridge, UK.
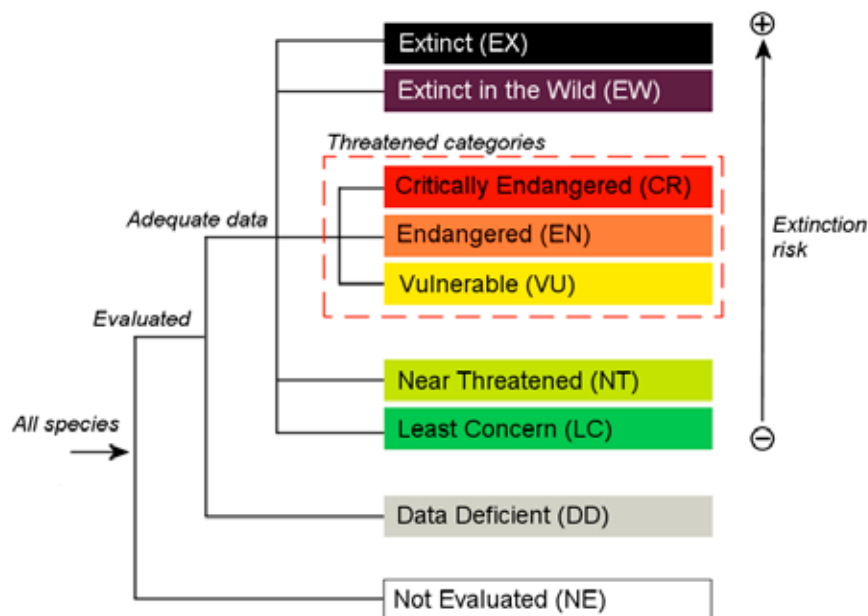
Summary of the five criteria (A–E) used to evaluate if a taxon belongs in a threatened category (Critically Endangered, Endangered or Vulnerable).

Use any of the criteria A–E	**Critically Endangered**	**Endangered**	**Vulnerable**
A. Population reduction	Declines measured over the longer of 10 years or 3 generations		
A1	≥ 90%	≥ 70%	≥ 50%
A2, A3 & A4	≥ 80%	≥ 50%	≥ 30%

A1. Population reduction observed, estimated, inferred, or suspected in the past where the causes of the reduction are clearly reversible **AND** understood **AND** have ceased, based on and specifying any of the following:
- **(a)** direct observation
- **(b)** an index of abundance appropriate to the taxon
- **(c)** a decline in area of occupancy (AOO), extent of occurrence (EOO) and/or habitat quality
- **(d)** actual or potential levels of exploitation
- **(e)** effects of introduced taxa, hybridization, pathogens, pollutants, competitors or parasites.

A2. Population reduction observed, estimated, inferred, or suspected in the past where the causes of reduction may not have ceased **OR** may not be understood **OR** may not be reversible, based on (a) to (e) under A1.

A3. Population reduction projected or suspected to be met in the future (up to a maximum of 100 years) based on (b) to (e) under A1.

A4. An observed, estimated, inferred, projected or suspected population reduction (up to a maximum of 100 years) where the time period must include both the past and the future, and where the causes of reduction may not have ceased **OR** may not be understood **OR** may not be reversible, based on (a) to (e) under A1.

B. Geographic range in the form of either B1 (extent of occurrence) AND/OR B2 (area of occupancy)

	Critically Endangered	Endangered	Vulnerable
B1. Extent of occurrence (EOO)	< 100 km²	< 5,000 km²	< 20,000 km²
B2. Area of occupancy (AOO)	< 10 km²	< 500 km²	< 2,000 km²

AND at least 2 of the following:

(a) Severely fragmented, **OR** Number of locations	= 1	≤ 5	≤ 10

- **(b)** Continuing decline in any of: **(i)** extent of occurrence; **(ii)** area of occupancy; **(iii)** area, extent and/or quality of habitat; **(iv)** number of locations or subpopulations; **(v)** number of mature individuals.
- **(c)** Extreme fluctuations in any of: **(i)** extent of occurrence; **(ii)** area of occupancy; **(iii)** number of locations or subpopulations; **(iv)** number of mature individuals.

C. Small population size and decline

	Critically Endangered	Endangered	Vulnerable
Number of mature individuals	< 250	< 2,500	< 10,000

AND either C1 or C2:

	Critically Endangered	Endangered	Vulnerable
C1. An estimated continuing decline of at least: (up to a max. of 100 years in future)	25% in 3 years or 1 generation	20% in 5 years or 2 generations	10% in 10 years or 3 generations

C2. A continuing decline **AND** (a) and/or (b):

	Critically Endangered	Endangered	Vulnerable
(a i) Number of mature individuals in each subpopulation:	< 50	< 250	< 1,000
or			
(a ii) % individuals in one subpopulation =	90–100%	95–100%	100%
(b) Extreme fluctuations in the number of mature individuals.			

D. Very small or restricted population

Either:

	Critically Endangered	Endangered	Vulnerable
Number of mature individuals	< 50	< 250	**D1.** < 1,000
			AND/OR
Restricted area of occupancy			**D2.** typically: AOO < 20 km² or number of locations ≤ 5

E. Quantitative Analysis

	Critically Endangered	Endangered	Vulnerable
Indicating the probability of extinction in the wild to be:	≥ 50% in 10 years or 3 generations (100 years max.)	≥ 20% in 20 years or 5 generations (100 years max.)	≥ 10% in 100 years