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# Mechanistic effects of low-flow hydrology on riverine ecosystems: ecological principles and consequences of alteration

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**Abstract.** Alterations to the natural flow regime affect the structure and function of rivers and wetlands and contribute to loss of biodiversity worldwide. Although the effects of flow regulation have been relatively well studied, a lack of synthesis of the ecological consequences of low flows and droughts impedes research progress and our grasp of the mechanistic effects of human-induced water reductions on riverine ecosystems. We identified 6 ecologically relevant hydrological attributes of low flow (antecedent conditions, duration, magnitude, timing and seasonality, rate of change, and frequency) that act within the temporal hierarchy of the flow regime and a spatial context. We synthesized the literature to propose 4 principles that outline the mechanistic links between these low-flow attributes and the processes and patterns within riverine ecosystems. First, low flows control the extent of physical aquatic habitat, thereby affecting the composition of biota, trophic structure, and carrying capacity. Second, low flows mediate changes in habitat conditions and water quality, which in turn, drive patterns of distribution and recruitment of biota. Third, low flows affect sources and exchange of material and energy in riverine ecosystems, thereby affecting ecosystem production and biotic composition. Last, low flows restrict connectivity and diversity of habitat, thereby increasing the importance of refugia and driving multiscale patterns in biotic diversity. These principles do not operate in isolation, and many of the ecological pathways that are affected by low flows are likely to overlap or occur simultaneously, potentially resulting in synergistic and complex effects. Last, we outlined major human-induced threats to low-flow hydrology and how they act upon the ecologically relevant hydrological attributes of low flow to affect potential changes in riverine ecosystem integrity. The mechanistic links described in this synthesis can be used to develop and test hypotheses of low-flow hydrological-ecological response relationships in a cause-effect framework that will have value for both research and river flow management. Continued experimental research and ongoing consolidation of ecological information will improve our understanding and ability to predict consequences of low-flow alteration on river, floodplain, and estuarine ecosystems.

Key words: flow regime, low flow, drought, habitat, food web, carrying capacity, connectivity, metapopulation, refugia, biodiversity, trophic cascades, biological traits.

The frequency, magnitude, duration, timing, and spatial extent of flow events are universal drivers of ecological integrity in riverine ecosystems and apply to events of both high- and low-flow magnitude. Temporal hierarchy dictates that ecological responses to short-term flow events will be strongly influenced by longer-term patterns within the context of the flow regime (Biggs et al. 2005). As extremes of the flow regime, floods and droughts act as major hydrologic disturbances for lotic ecosystems and exert significant influences on biota, ecosystem conditions, and processes (Lake 2000). Identifying relationships between

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the attributes of such phenomena and ecosystem function and patterns of biodiversity broadens our understanding of the links between hydrology and ecology and helps to inform effective management of water while preserving biodiversity (Arthington et al. 2006).

Alterations to the natural flow regime affect the structure and function of rivers and wetlands and contribute to loss of biodiversity worldwide (Bunn and Arthington 2002). Our understanding of the effects of flow disturbance, and by extension, alteration of flow regime on riverine ecosystems has derived primarily from ecological studies of average and high-flow events (Lake 2000). Empirical research into the effects of low flows and drought is increasing rapidly as management of limited water becomes a

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greater challenge, but a lack of synthesis of the ecological consequences of low flows, including droughts, remains. Therefore, the mechanistic effects of human-induced water reductions on riverine ecosystems are poorly grasped (Boulton and Lake 2008). Given this deficiency and the fact that the low-flow end of the hydrograph is vulnerable to alteration caused by human-driven changes to the flow regime (Smakhtin 2001), rectifying this knowledge gap is imperative.

The aim of our synthesis is to improve our conceptual understanding of human-induced alterations to low-flow hydrology that result in changes to aquatic ecosystems and our ability to predict ecological responses to low-flow events within the context of natural and altered low-flow regimes. We first identify the attributes of low-flow hydrology that are ecologically relevant for riverine biota and ecosystem processes. We then synthesize the global literature to propose 4 principles of low-flow ecohydrology that outline the mechanisms by which these biota and ecosystem processes respond to the hydrological attributes of low flows. These principles act within the context of longer-term flow conditions and the spatial hierarchy of the river network (sensu Frissell et al. 1986, Biggs et al. 2005). Last, we emphasize the human-induced alterations to lowflows that threaten the ecological integrity of riverine ecosystems. Previous authors (Dewson et al. 2007a, Suren and Riis 2010) have reviewed the consequences of decreased flows on in-stream habitat, water quality, and the corresponding changes to specific groups of aquatic taxa. However, we synthesize the literature across multiple taxonomic groups and the physical and chemical processes that influence patterns of biodiversity and ecosystem function.

# **Ecologically Relevant Attributes of** Low-Flow Hydrology

Identifying attributes of the flow regime that are ecologically relevant is a common goal of ecohydrological research. For example, environmental flow methods are used primarily to identify relationships between flow characteristics and patterns or processes in river ecosystems (Arthington et al. 2006). The broad aims of users of such methods are to predict the condition of ecosystems under specific flow scenarios, to identify particular ecological thresholds in the responses to flow, and to evaluate whether flowecology relationships can be modeled in some way. In the context of low flows, such relationships can be defined by the nature of the low-flow disturbance as either a press (constant) or ramp disturbance (sustained and cumulative) in contrast to a pulse disturbance (applied then released) (Lake 2003). However, flow regimes are complex in space and time, so generalizing low-flow ecology relationships through time at large spatial scales is likely to be challenging. Thus, these relationships may be more easily identified at regional or context-specific scales. Through our review of the literature, we identified 6 ecologically relevant hydrological attributes of low flow (antecedent conditions, duration, magnitude, timing and seasonality, rate of change, and frequency) but acknowledge that these act within the temporal hierarchy of the flow regime and a spatial context (Fig. 1A-C).

#### Antecedent conditions

Antecedent conditions constitute the hydrological characteristics to which aquatic biota and their habitats are exposed prior to each hydrological disturbance event. Antecedent flow patterns and long-term characteristics of the flow regime help determine ecological responses to individual flow events (Biggs et al. 2005). For example, Fritz and Dodds (2005) suggested that under typical hydrological conditions in intermittent prairie streams (Kansas, USA), macroinvertebrate assemblage structure is most strongly driven by historical hydrological characteristics. When recent flow events are atypical, such as unusually low flows, ecological responses may be more pronounced. This interplay between antecedent hydrology and ecological response is related to the life-history, behavioral, and morphological adaptations of the biota resident within a particular landscape that have evolved within the context of the natural flow regime (Lytle and Poff 2004). Therefore, historical factors cannot be separated from the responses of aquatic biota to individual lowor high-flow events. When low-flow periods are more extreme than those experienced on average, biota may not possess the adaptations necessary for adequate resistance or resilience. This situation creates potential opportunities for invasions by taxa able to tolerate and persist in the changed conditions. For example, antecedent flow permanence was critical in determining the invertebrate community response to supraseasonal low flows in Derbyshire streams, UK (Stubbington et al. 2009). An invasive crayfish species had greater resistance to drying in streams than a native species, which was less tolerant of desiccation (Larson et al. 2009). Increased flow intermittency can alter the herbaceous species composition in floodplains such that more drought-tolerant, introduced species (e.g., Tamarix) increase in dominance (Stromberg et al. 2007). Furthermore, Rogers et al.

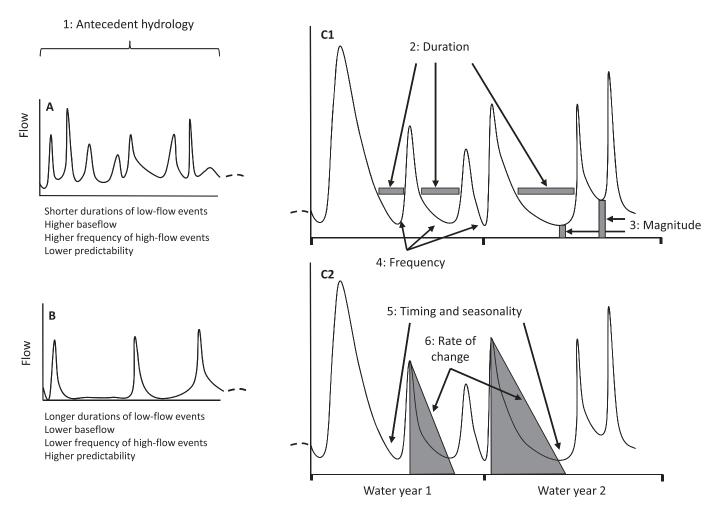


Fig. 1. Schematic hydrographs describing variation within, and interactions between, ecologically important low-flow attributes, and the integration of sequential low-flow events within the flow regime. A.—Antecedent hydrology in a system with short durations of low-flow events interspersed with frequent high-flow events. B.—Antecedent hydrology in a system with longterm low flow and infrequent floods. C1.— Schematic representation of duration, magnitude, and frequency of periods of low flow. C2.—Schematic representation of seasonality and timing of periods of low flow and rate of change in the hydrograph.

(2005) suggested that sequential years of low flows have adverse effects on fish species with short life spans and recruitment strategies that depend on minimum discharge thresholds. However, our understanding of long-term in-stream ecological responses to extreme and sustained low flows, such as supraseasonal droughts in perennial rivers, is incomplete (Wood and Armitage 2004) relative to our understanding of responses to floods (Matthaei and Townsend 2000).

#### Duration

Effects of low-flow events on aquatic ecosystems tend to intensify with increasing duration (ramp disturbances; e.g., droughts), whereby low-flow events with longer duration have more significant ecological impacts than events of shorter duration. Increased duration of low flow or absence of flow has been associated with change and decreased species richness of macroinvertebrate assemblages (Larned et al. 2007, Arscott et al. 2010, Datry 2012) accompanied by colonization and increased biomass of macrophytes (Suren and Riis 2010). Conversely, indicators of richness and diversity of biotic assemblages typically increase with increasing flow permanence, which decreases the cumulative duration of low flow (Feminella 1996). For example, in the Waipara River, New Zealand, increased duration of low flow had significant negative effects on fish abundance, whereas short-term periods of low flow had relatively subtle effects (Jowett et al. 2005). Therefore, increased duration of moderate low flow may have more significant ecological consequences than a short period of severe low flow.

# Magnitude

The magnitude of river discharge (ML/d,  $m^3/s$ ) is widely used to set minimum flows to maintain ecosystem health and function. However, a small % change in low-flow magnitude may cause a disproportionately large change in ecological response. For example, in an experimental flow-diversion study in New Zealand, a reduction in discharge by up to 90% caused relatively few effects on macroinvertebrate abundance and composition (James and Suren 2009), whereas in the USA, discharge reductions of 96% from mean conditions corresponded with a 60 to 67% reduction in brook trout (Salvelinus fontinalis) densities and growth rates (Hakala and Hartman 2004). These results suggest that different thresholds of low-flow discharge (probably interacting with duration) may induce different ecological responses. Change in lowflow magnitude also can interact with river and catchment characteristics to produce different scales of response. For example, reductions in baseflow discharges affected fish species richness and density more severely in smaller (low-order) than in larger (higherorder) streams in Georgia, USA (McCargo and Peterson 2010). Thus, the scales and particulars of ecological responses to changes in low-flow magnitude may depend somewhat on landscape features and other, broad-scale factors, such as biogeographic context.

#### Timing and seasonality

Timing of low-flow events can have significant consequences on recruitment and migration of aquatic biota, particularly if low flows occur during migration periods and restrict dispersal (e.g., McDowall 1995). Thus, differences in the timing and seasonality of low-flow events will produce different effects on patterns and processes in aquatic ecosystems. For example, low flows during winter had little effect on habitat use and movement of juvenile cutthroat trout (Oncorhynchus clarki) and brown trout (Salmo trutta) in the USA (Dare et al. 2002), but low flows during summer growth periods can reduce growth of brook trout and rainbow trout (Oncorhynchus mykiss) (Harvey et al. 2006, Sotiropoulos et al. 2006, see also McCargo and Peterson 2010). Thus, low flows may have little ecological effect in some seasons or times, but riverine ecosystems and biota are likely to be more sensitive to low flows during periods of naturally higher productivity and dispersal.

# Rate of change

The rate (e.g., change in discharge/d) of flow recession promotes rapid shifts in abiotic conditions and critically influences the ability of mobile biota to access refugia. Biota become increasingly vulnerable to stranding or desiccation as the rate of flow recession increases. For example, an increased rate of flow recession (drawdown) was correlated with increased mortality of riverine fish in New Zealand (Davey et al. 2006) and Canada (Bradford 1997). Abrupt flow stoppages during construction and maintenance of dams and weirs can cause rapid dewatering of river channels that result in high fish mortality caused by stranding on bare substrate or in shallow pools (Bishop and Bell 1978). In addition, rivers with high rates of water withdrawal often are characterized by a reduced proportion of fluvial species or biota that feed on riffle benthos (Kanno and Vokoun 2010). These studies suggest that when flow recession is rapid and leads to the sudden onset of low-flow conditions or cease-to-flow events, many riverine biota are likely to be adversely affected.

# Frequency

The frequency of low-flow events forms a key biological filter for the persistence of biota, whereby species that are unable to tolerate or persist after low flows are unlikely to occur in rivers or regions where low flows are relatively frequent (and, in some cases, persistent), such as dryland and ephemeral rivers. For example, in experimental chalk-stream mesocosms in the UK, increasing the frequency of 6-d low-flow events from 99- to 33-d cycles reduced algal cell densities and eliminated desiccation-intolerant taxa after just 1 to 2 drying cycles compared with the low-frequency drying and control treatments (Ledger et al. 2008). Therefore, rivers that experience frequent and regular periods of low flows are likely to support biota that are capable of persisting through low-flow disturbances (of durations typically experienced) and show only subtle or short-term changes in response to each low-flow event. In contrast, rivers that rarely experience ecologically critical low-flow magnitudes are likely to support a greater proportion of taxa with life-history traits that are unsuited to survival under conditions of low flow and show more significant effects of individual low-flow events. For example, macroinvertebrate and fish assemblages in small streams in California showed significant and persistent changes following a single 5-y drought that was similar in magnitude to only 2 other events that occurred over the ~50-y antecedent period (Bêche et al. 2009). Thus, such trends also are linked to antecedent hydrology and the adaptations of biota that allow them to survive low flows and drought.

Spatial context

Low flows occur over a range of spatial scales, from river reaches that are hundreds of meters long to entire river networks. Within networks, low flows may occur in headwaters, mid-reaches, and lowland reaches (Lake 2003), or any possible combination of these regions. The spatial extent of low flows will affect the range and type of ecological responses to

Refugia act as sources for biotic recovery after lowflow events (Magoulick and Kobza 2003, Sheldon et al. 2010). Therefore, the spatial locations of lowflow refugia within the landscape and river network are critical to the recovery of biota from low-flow disturbances. For example, proximity to low-flow refugia in rivers determined the rate of recovery of fish in the Selwyn River, New Zealand (Davey and Kelly 2007). In addition, different fish species presumably moved to refugia in different directions (upstream vs downstream), thereby increasing the risk of species extinction if the refugial habitats were lost. In small rivers, the removal or absence of refugia for benthic algae during drought has been linked to reduced algal densities and slowed growth after resumption of flow (Robson and Matthews 2004). In large rivers, complete drying of river reaches and floodplain water bodies that provide low-flow refugia for aquatic biota threatens regional persistence of both aquatic and terrestrial species (Bunn et al. 2006), particularly those with (or reliant upon those with) low resistance to desiccation (Arthington and Balcombe 2011).

# Mechanistic Links Between Low Flow and **Aquatic Ecosystems**

Having identified the ecologically relevant attributes of low-flow hydrology, we now outline the causal links between low flows and ecological responses within riverine ecosystems. We summarize these links into 4 principles below (Fig. 2).

*Principle 1: Low flows control the extent of physical aquatic* habitat, thereby influencing the composition and diversity of biota, trophic structure, and carrying capacity.

Structural composition and biological traits.—River discharge controls the diversity, availability, and area of habitats, such as riffles, runs, pools, backwaters, and floodplains. Effects of flows on aquatic habitats depend on the local-scale interaction between hydrology and geomorphology, mediated by changes in hydraulic conditions. Low flows reduce volume, area, and depth of aquatic habitat and change the instantaneous velocity of rivers. The spatial dimensions of fastflowing habitats, such as riffles, are most susceptible to changes in low-flow conditions. For example, in headwater streams in West Virginia, USA, a 96% reduction in discharge resulted in a 52% reduction of riffle habitat area but only a 2% reduction in pool habitat area (Hakala and Hartman 2004). Low flows also reduce, limit, or eliminate river-floodplain connectivity (Tockner et al. 1999, van der Nat et al. 2002).

Effects of low flows on aquatic ecosystems are directly or indirectly linked to changes in aquatic habitats and the processes that occur within them. As flow magnitude recedes and habitat area and volume contract, short-term increases in abundance and richness of biota (per unit space) are frequently observed, particularly for invertebrates and fish (Stubbington et al. 2011). During experimental flow abstractions in streams in New Zealand conducted over 1 mo, total benthic macroinvertebrate densities increased by 37 to 59%, whereas densities of individual species increased by up to 851% compared with densities in control reaches (Dewson et al. 2007c). Richness of benthic macroinvertebrates peaks immediately before streams cease to flow (Acuña et al. 2005). In addition, macroinvertebrate densities and richness are often highest in riffles with comparatively slow water velocity because of the combined effects of reduced drag on organisms and increased standing stock of primary producers, which provide both food and shelter (Brooks et al. 2005). Therefore, low flows often induce short-term, positive changes (increases) in population- and assemblage-level structural attributes.

Reduced flow magnitude results in lower instantaneous flow velocity across habitats in streams (Dewson et al. 2007a). Reduced flow velocity has 2 key consequences across streams: 1) it reduces the capacity of the stream to transport silt and fine sediment, and 2) it allows establishment of aquatic plants (algae and macrophytes). Reduced capacity to transport silt and fine sediment leads to increased benthic sedimentation as the duration of stable lowflow events increases (Dewson et al. 2007d, James et al. 2008a). Sedimentation can disrupt hydrological connection between surface and ground waters (Boulton 2007), potentially increasing the diel range in water temperature because of limited thermal buffering by groundwater contributions to flow. Siltation reduces mesohabitat heterogeneity (Kemp et al. 2011), and increases similarities among pool, run, and riffle assemblages (Berkman and Rabeni 1987). Sedimentation can influence recruitment by smothering eggs deposited in substrate, can reduce dissolved O<sub>2</sub> (DO) (e.g., because of reduced hydrological exchange between surface and hyporheic zones), and can

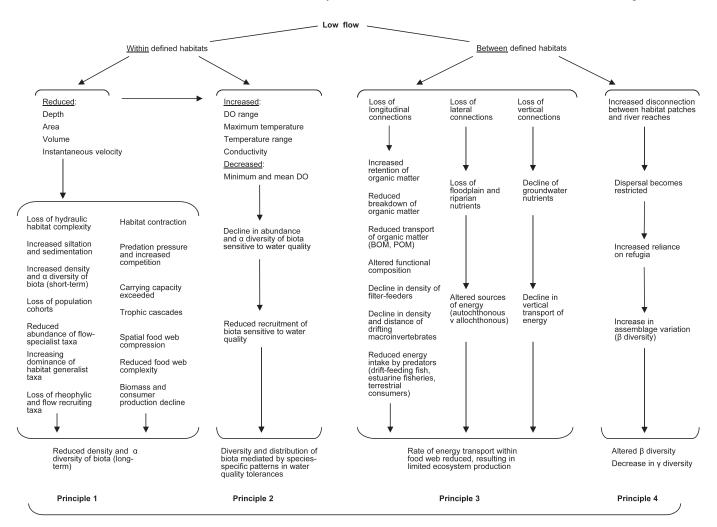


Fig. 2. Four principles outlining the broad mechanistic links between low flow and processes and patterns in riverine ecosystems. These links do not operate in isolation, and many ecological pathways that are affected by low flows are likely to occur simultaneously, potentially resulting in similar or synergistic and complex effects. DO = dissolved O2, BOM = benthic organic matter, POM = particulate organic matter.

reduce stream depth (Owens et al. 2005, Kemp et al. 2011).

Established macrophyte beds may compound the effects of low flows by further reducing flow velocity and increasing sediment accrual. For example, flow velocities within beds of the aquatic macrophyte Ranunculus were <0.1 m/s, whereas velocities were up to 0.8 m/s outside the beds, and fine sediment continued to accumulate within the beds over the course of the annual growing season (Cotton et al. 2006). Thus, a feedback loop can occur such that low flows lead to fine sediment accumulation, which encourages macrophyte establishment and growth, which further slows the flow velocities, which leads to more fine sediment accumulation, and so on. Macrophyte cover is often highest in reaches with low variability of flow and long periods of low flow. In contrast, rivers with a high frequency of high-flow disturbances (floods) or high flow variability tend to be characterized by reduced macrophyte cover (Riis et al. 2008). Algal biomass can be higher during prolonged periods of few floods and increased duration of low flow than during other flow periods (Biggs and Stokseth 1996). This increase may lead to changes in trophic status or can be associated with blooms of harmful cyanobacteria, which have ramifications for water-quality management and ecosystemlevel functioning, e.g., trophic cascades and regime shifts (Scheffer and Carpenter 2003, Baldwin et al. 2010, Paerl et al. 2011).

Extended duration of low-flow events is associated with a progressive loss of total aquatic habitat and, in particular, of fast-flowing habitat. As a consequence, prolonged low flows are associated with reduced densities of flow-dependent taxa (Haxton and Findlay 2008) and can lead to an overall loss of species richness and total assemblage density. These responses are particularly consistent for aquatic macroinvertebrates and fish, and to a lesser extent, algae and macrophytes. Rheophilic taxa are among the most susceptible to changes in low-flow conditions (Haxton and Findlay 2008, Brooks et al. 2011) and show reduced densities and richness in flow-abstracted reaches compared to control reaches. Examples of this phenomenon have been reported from Australia (McKay and King 2006, Finn et al. 2009), New Zealand (Suren et al. 2003a), Europe (Castella et al. 1995), and the USA (Miller et al. 2007). Shifts in fish abundances have been associated specifically with changes in availability of flowing habitat in the Waipara River, New Zealand, where fast-flowing riffle-dwelling species showed the greatest negative change during extended low-flow periods and abundances of species with low-velocity preferences increased (Jowett et al. 2005). Increased frequency of low flows also alters the composition of algal assemblages by favoring desiccation-resistant taxa (Burns and Walker 2000, Ledger et al. 2008). With increasing duration of low flows, biotic diversity consistently declines as flow-dependent taxa are lost from the species pool, resulting in low-diversity assemblages dominated by low-flowresistant taxa (Brooks et al. 2011).

When low flows occur naturally over extended durations such that habitats contract or even disappear, selection processes will favor species capable of maintaining recruitment processes under such conditions. For example, many Australian freshwater fish species spawn and recruit during periods of stable low flows (Pusey et al. 2004) or are able to maintain populations by recruiting during low flows despite their ability to increase recruitment during high flows or floodplain inundation (Humphries et al. 1999). On a continuum of flow permanence (% time for which a river is in flow) and duration (length of time in flow), rivers with lower flow permanence and duration (e.g., many streams and rivers in Mediterranean climates) tend to have higher proportions of taxa with short life spans, small body size, low fecundity, and multiplebatch recruitment (multivoltine reproduction) (Bonada et al. 2007, Arscott et al. 2010). For example, smallerbodied macroinvertebrates with multivoltine recruitment patterns were more abundant in ephemeral streams that flowed only during and immediately after irregular rain events than in intermittent streams that flowed for much of the year but receded into isolated pools during the dry season (Chakona et al. 2008).

Extended low flows also have been associated with loss of juvenile or adult cohorts of fish because of loss

of preferred habitat. In some cases, young-of-the-year cohorts show the greatest reduction in abundance during low flows (e.g., Hakala and Hartman 2004, Riley et al. 2009), whereas in others, larger size classes show the greatest decline, possibly because of loss of habitat or reduced growth resulting from a decline in food sources (Elliott et al. 1997).

Effects of low flows extend beyond in-stream biota. Densities and recruitment of water birds are often driven by patterns in hydrology. For example, mean numbers of water birds declined by 23 to 98% over 24 y in eastern Australia, a decline associated with increased water abstraction that caused a reduction of available aquatic habitat despite no significant change in rainfall (Nebel et al. 2008). Greater diversity and abundances of riparian arthropods in arid regions occur in river reaches with flowing water than in reaches that are completely dry (e.g., McCluney and Sabo 2012).

Trophic structure and carrying capacity.—As habitat dimensions decrease during low flow, the concentration of mobile aquatic biota increases, resulting in increased competition and predation pressure. Therefore, low flows exert a strong effect on trophic carrying capacity (i.e., maximum potential biomass that can be supported by available energy). During stream drying in the USA, fish densities were negatively associated with maximum pool area and depth (Dekar and Magoulick 2007), indicating that density of consumers and, therefore, predation pressure increased during at least the initial phases of habitat contraction. However, under persistent low flows caused by 75 y of flow regulation in France, biomass and numerical densities of brown trout were positively related to the depth and area of habitat, and carrying capacity of fish was reduced during low flow (Baran et al. 1995). Thus, densities of biota are often increased by habitat contraction during initial periods of low flow with subsequent decline in densities over protracted low-flow periods.

Increased concentration of consumers or predators caused by reduced habitat volume under low flow can lead to increased competition for energy sources. Therefore, carrying capacity of biota during low flows is controlled by both physical-habitat effects and by biotic effects mediated through habitat effects. Fish consumed a lower diversity and biomass of prey in intermittent than in permanent rivers in Spain and preferentially selected prey with low relative abundances (Mas-Martí et al. 2010). Limited food resources have been attributed as the cause of reduced densities of brook trout during low flows (Hakala and Hartman 2004). The richness of benthic-feeding fish species is more sensitive to water abstraction than richness of

pelagic feeders in Mediterranean streams (Benejam et al. 2010), indicating that the carrying capacity in rivers is limited during periods of low flow.

Foodweb structure and trophic linkages are driven by flow regime in riverine ecosystems. As habitat area and volume decline with reduced flow, food webs become spatially compressed and the number of trophic levels that can be sustained is predicted to decrease (McCann et al. 2005). Trophic complexity also is influenced by flow regime and particularly by high frequency of low flows. Rivers with highly intermittent flow regimes can be characterized by reduced foodweb complexity during and after periods of low flow (Closs and Lake 1994). Low flows also contribute to reduced trophic complexity in estuaries (Livingston 1997, Vinagre et al. 2011).

Trophic cascades in rivers are most apparent during stable baseflow conditions. For example, during base flow in streams in the USA, predators reduced the direct grazing pressure of primary consumers on benthic algae, resulting in high algal biomass (Power et al. 1985, 2008, Power 1990). Topdown and bottom-up trophic cascades occur in rivers under low flow. For example, the presence of predatory fish negatively affected macroinvertebrate densities during low flows in Zimbabwe, which led to increased densities of zooplankton (a top-down trophic cascade; Nhiwatiwa et al. 2009). Conversely, reduced densities of macroinvertebrates caused by reduced habitat, poor water quality, or both can contribute to declines in fish biomass (bottom-up control; Livingston 1997, McIntosh et al. 2003).

Principle 2: Low flows mediate changes in habitat conditions, which in turn, drive patterns in the distribution and recruitment of biota.

River discharge interacts with seasonal patterns to cause significant changes in water-quality variables (Sheldon and Fellows 2010). The distribution, abundance, and recruitment of aquatic biota are, in turn, affected by differences in physical and chemical water-quality tolerance thresholds, which result in spatial and temporal patterns of biotic distributions. Low flows typically are associated with reduced DO, high diel maxima and ranges in water temperature, and high electrical conductivity. In addition, multiple changes in water-quality variables occur simultaneously during low flows.

Lowest DO levels often occur when flow ceases (Cowx et al. 1984, Boulton and Lake 1990, van Vliet and Zwolsman 2008), and DO declines in river reaches subject to low-flow water abstraction (McKay and King 2006, Dewson et al. 2007c). When lowest

flows are associated with highest air temperatures and are compounded by reduced water volumes, reduced thermal buffering and high water temperatures often result. For example, summer means of maximum and minimum water temperatures in pools in Wilfin Beck, UK, were both ~10°C higher in drought years than in nondrought years (Elliott 2000). Discharge also is negatively correlated with electrical conductivity and salinity in rivers and estuaries because of the diluting effect of freshwater inputs (although this effect may depend on the biogeochemistry of source waters). In the Umatilla River, USA, electrical conductivity increased with flow extraction when flow extraction was >85% of available discharge (Miller et al. 2007). Conductivity can increase 5 to 11× between sites upstream and downstream of flow diversion points (Rader and Belish 1999). Some macroinvertebrate taxa are sensitive to high conductivity, but whether this intolerance is to conductivity per se or to pollutants and increased nutrient concentrations, which produce the high conductivities, is unclear (Miller et al. 2007). Such multiple and often simultaneous changes in water quality can make identifying the specifics of water-quality-mediated changes in aquatic ecosystems difficult because often little information on the tolerance thresholds of individual species is available.

Biota that are sensitive to changes in water quality (e.g., Ephemeroptera, Plecoptera, and Trichoptera [EPT] macroinvertebrates) are often particularly affected by low flows. For example, in an undisturbed stream in New Zealand, density of EPT taxa decreased in response to declines in DO and increased conductivity associated with experimental low-flow extraction when compared with control sites (Dewson et al. 2007b). EPT richness declined in river reaches in the USA where low flows contributed to reduced water quality (Miller et al. 2007). Reproduction and recruitment of water-quality-sensitive taxa also can be affected by low-flow events. In temperate streams of Victoria, Australia, reduced recruitment of Plecoptera and Trichoptera was detected in the year following a 6-mo drought (Boulton and Lake 1992b). Similar patterns have been observed elsewhere; e.g., in the Afon Dulas, UK, reduced recruitment of Plecoptera (and Coleoptera) and loss of young-of-the-year Atlantic salmon (Salmo salar) was detected in the year following a 3-mo drought (Cowx et al. 1984). These effects were attributed to sustained elevated water temperatures.

Thermal stratification, long residence times, and reduced vertical mixing of the water column in the main channel of rivers during low flows can drive production of phytoplankton and cyanobacteria, thereby contributing to significant and widespread occurrences of phytoplankton blooms associated with eutrophication during low flow (e.g., as measured by nutrient and chlorophyll a concentrations; Alpine and Cloern 1992, van Vliet and Zwolsman 2008). Severe cyanobacterial blooms during low flow coupled with high P and low O2 concentrations affected almost 1000 km of the Barwon-Darling River, Murray-Darling Basin, Australia during the 1991-1992 summer. The bloom was so substantial that it remained for ~2 mo until it was flushed from the river by increases in flow (Bowling and Baker 1996). Low water temperatures tend to restrict the magnitude of algal production in rivers, whereas blooms are typically greatest under low-to-moderate flow velocities during periods of higher water temperatures and light availability (Paerl and Huisman 2008, Salmaso and Braioni 2008). However, biomass of benthic and planktonic algae during low flows also may be limited by nutrient availability (Suren et al. 2003b, Townsend and Padovan 2005, Townsend et al. 2012).

Patterns in the distribution of freshwater and estuarine fishes often reflect water-quality tolerances of individual taxa, and the effects of low flows on biota often are attributed to patterns in water quality. For example, high water temperatures affect the distributions of particular fish species. Mortality of salmonids has been associated with elevated temperatures during summer (Connor et al. 2003, Gunn and Snucins 2010), and fish survival increases with stream flow (Connor et al. 2003, Xu et al. 2010). Low flows are thought to minimize the effect of alien brown trout on several Galaxias species in Australia and New Zealand. Brown trout are unable to tolerate water temperatures as high as those that native galaxiids can tolerate, so low-flow areas create trout-free refugia for Galaxias (Closs and Lake 1996, Leprieur et al. 2006).

Low flow in intermittent or temporary streams occasionally results in hypoxic, or near-hypoxic, conditions (Chessman and Robinson 1987, Closs and Lake 1996), which may be associated with other factors besides lowered velocities and turbulence, including die off of algal blooms. The effects of these events can be long lasting because many taxa are unable to tolerate low DO for sustained periods and, thus, may be lost from the assemblage for some time. Freshwater fish have a minimum threshold for DO, often at  $\sim$ 1 to 2 mg O<sub>2</sub>/L (Dean and Richardson 1999, McNeil and Closs 2007). For example, fish in floodplain backwaters of the upper Mississippi River, USA, avoid habitats with DO  $\leq$  2 mg/L (Knights et al. 1995). The distribution of blue crabs (Callinectes sapidus) in estuaries in the USA is restricted to regions with DO > 2.4 mg/L (Selberg et al. 2001). These findings suggest that persistence and distribution of riverine species during low-flow periods can be predicted based on water-quality tolerances when they are known (e.g., Crook et al. 2010). However, some species can persist under hypoxic conditions by means of water-surface respiration (McNeil and Closs 2007).

Principle 3: Low flows affect the sources and exchange of energy in riverine ecosystems, thereby affecting ecosystem production and biotic composition.

Transport of energy to, from, and within surfacewater ecosystems provides nutrients necessary to sustain aquatic ecosystems and ecosystem production. Flow mediates the transport of nutrients laterally from riparian and floodplain habitats, vertically between substrates and fluid habitats, and longitudinally between habitats and reaches.

Longitudinal connections.—Discharge of water influences longitudinal transport of energy and organic matter in streams. Low flows retard the rate of longitudinal energy flow. In particular, low flows increase the retention of benthic organic matter (BOM), such as leaves and small woody debris (Dewson et al. 2007b) because the low flows are not capable of suspending the material and moving it downstream. Changes in the proportions of macroinvertebrate functional feeding groups often reflect patterns in resource availability (Boulton and Lake 1992a). Densities of detritivores, shredders, and scrapers may increase because of increased retention and accumulation of allochthonous organic matter during prolonged low flows. For example, the relative abundance of shredders was ~3.5× greater during a drought year than during a normal flow year in the USA (Canton et al. 1984).

Proportional changes within assemblages are not necessarily consistent, but the total biomass of benthic invertebrates usually is reduced during low-flow events (Walters and Post 2011) and may be linked with the reduced breakdown of BOM. For example, leaf breakdown by shredders over a 6-wk period became significantly lower as experimental drought stress increased (Leberfinger et al. 2010), a result suggesting that low flows reduce energy input to streams despite increased organic matter retention. Indeed, increased duration and magnitude of lowflow events decreases leaf-litter breakdown and microbial conditioning of BOM and leads to a reduction in allochthonous C entering stream food webs during low flows (Ylla et al. 2010). Assessments of C respiration also show that increased frequency of low-flow events reduces consumer production (Acuña 2010).

The distance travelled by and biomass of suspended fine particulate organic matter (FPOM) decreases as a function of stream-flow magnitude and velocity (Jones and Smock 1991). Reduced longitudinal delivery of FPOM has been attributed to shortto-medium-term variability in the density and occurrence of filter-feeding macroinvertebrates in drift. In flow-diversion experiments representative of lowflow water abstraction in Canada and New Zealand, filter-feeding macroinvertebrates increased significantly immediately after rapid drawdown, then returned to predisturbance levels after a period of 3 d to 2 mo (James et al. 2008b, Death et al. 2009). Low flows also reduce the densities and distances traveled by drifting macroinvertebrates as a whole, although short-term increases in drift may occur as dispersal increases. In upland streams in the USA, average drift rates and densities decreased ~82 and ~92%, respectively, as summer discharge decreased  $\sim$ 88% over a 2-mo period (Sotiropoulos et al. 2006).

Reduced total biomass and distance traveled by drifting macroinvertebrates during low flows has significant consequences for predatory consumers (see also the discussion on trophic cascades in Principle 1 above). Two important consequences of reduced availability of food resources are limited individual growth rates and limited population production (biomass). Under low flows, net energy intake by drift-feeding fish declines as a consequence of reduced densities of drifting prey (Closs 1994, Hayes et al. 2007). Reduced energy intake by fish during low flows causes reduced individual and population-level growth. For example, growth of rainbow trout was 8.5× greater in control reaches than in experimental reaches that experienced a 75 to 80% reduction in flow over 6 wk (Harvey et al. 2006). Significant positive relationships between stream discharge and both individual growth rates and population size have been demonstrated in experimental flow diversions resulting in gradients of low flow (Blanchet et al. 2008, Walters and Post 2008, Teichert et al. 2010). Reduced discharges are associated consistently with declines in estuarine fishery production across the globe (Drinkwater and Frank 1994). These reductions may be caused by reduced delivery of terrestrial- or river-derived energy sources for higher trophic levels (Loneragan and Bunn 1999). Densities of important species in commercial and recreational fisheries can be positively associated with freshwater discharges into estuaries. As discharge decreases, so does fish density (Staunton-Smith et al. 2004, Vorwerk et al. 2009).

Lateral connections.—Loss of hydrological connectivity because of low flow reduces lateral energy transfer between river channels and riparian and floodplain zones (Baldwin and Mitchell 2000). Reduced lateral connections in intermittent streams can contribute to changes in the dominant sources of energy (autochthonous vs allochthonous) fuelling aquatic food webs. In many cases, production by autotrophic sources is high or increases because of the increasing disconnection between the floodplain and channel zones (Bunn et al. 2003, Preiner et al. 2008, but see Reid et al. 2008), and autotrophs may become an important source of organic C for higher trophic levels (Bunn et al. 2003, Leigh et al. 2010a, cf. Reid et al. 2012). Fish stranding, death, and the release of nutrients on floodplains during the hydrological disconnection phase of a highly intermittent river in arid zone Australia subsequently fuelled in-channel benthic algal production after hydrological reconnection of the floodplain and channel network (Burford et al. 2008). However, these patterns are highly variable and depend on seasonal, spatial, and local habitat factors (e.g., Dekar et al. 2009).

Reduced production within aquatic ecosystems as a consequence of low (or reduced) flow (see longitudinal connections) also has consequences for production of riparian and terrestrial ecosystems and biota. For example, water diversion in the Murray-Darling Basin, Australia, has reduced the volume of water reaching the Lowbidgee floodplain by up to 60% and has contributed to a reduction in the production of aquatic biota—a food source for wetland waterbirds, which have declined in abundance by 90% (Kingsford and Thomas 1995, 2004). Reduced aquatic invertebrate biomass during low flows also controls the biomass and distribution of riparian consumers (e.g., predatory spiders; Greenwood and McIntosh 2010).

Vertical connections.—Connections between benthic substrate, ground water, and surface waters control vertical nutrient exchange (Boulton 2007) and provide a mechanism by which surface-water invertebrates can take refuge in hyporheic sediments during times of low-flow stress (Cooling and Boulton 1993), although use of the hyporheic zone to avoid lowflow stress is not universal across all river types or circumstances (e.g., Wood et al. 2010). Microbial activity in benthic substrates also is positively associated with groundwater-surface water exchange (Battin 2000). Increased deposition and retention of and clogging of substrate by fine sediments during low-flow events (see Principle 1 above) restrict nutrient transport from benthic substrates, groundwater, and hyporheic zones to surface waters and food webs. In conjunction, groundwater levels decrease during prolonged low flow (Dahm et al. 2003). For example, low flows typically reduce inputs and

availability of dissolved organic C (DOC) from benthic zones, and reduced flow restricts DOC (i.e., energy) inputs from ground water and terrestrial zones to streams (Dahm et al. 2003). Increased sedimentation decreases benthic macroinvertebrate biomass and increases dominance by burrowing taxa. This shift leads to the disappearance of food sources into the substrate and is associated with a reduction in individual growth and population density of juvenile salmonids (Suttle et al. 2004).

Principle 4: Low flow restricts connectivity and diversity of habitat, increases the importance of refugia, and drives multiscale patterns in biotic diversity.

Low flow increases disconnection between river reaches and between river and floodplain habitats, and thereby restricts movement and dispersal among habitat patches, river reaches, rivers, and estuaries. Dispersal and refuge habitats are necessary for persistence of metapopulations in regions vulnerable to prolonged low flows. Dispersal of migratory fish, such as Australian bass (Maquaria novemaculeata), is restricted by contraction of riffles during low flows into shallow, (temporarily) fast-velocity zones that exceed swimming abilities (Reinfelds et al. 2010). However, low flows trigger many aquatic biota to disperse and seek refuge (Magoulick and Kobza 2003), despite the physical restrictions imposed by their decreasing aquatic habitat. Therefore, the persistence of biota in rivers where low flows are natural phenomena depends on the ability of species to access refugia and on the viability of source populations. For example, during riffle dewatering caused by experimental low flows, upland bullies (Gobiomorphus breviceps) moved downstream to flowing run habitats, whereas Canterbury galaxias (Galaxias vulgaris) burrowed into coarse substrata and were susceptible to surface stranding (Davey et al. 2006). Low-flow water abstraction in Austrian streams can increase habitat fragmentation and restrict movement contributing to reduced persistence of bullhead (Cottus gobio) (Fischer and Kummer 2000). Rate of flow recession may determine the ability of individuals to move to refugia. For example, slow rates of flow recession may allow individuals to reach refugia successfully but rapid rates may restrict dispersal opportunities. Both empirical and experimental studies indicate that rapid rate of change in flow explains the lack of migration by benthos into the hyporheic zone (Stubbington 2012). Thus, low flows can simultaneously stimulate and restrict dispersal of biota.

Refugia provide habitat for biota to persist and maintain source populations during disturbance, which includes low flows and drought (Sedell et al. 1990, Magoulick and Kobza 2003). Access to multiple refugia increases resilience of metapopulations to disturbances and increases in importance as duration of low flow increases (Labbe and Fausch 2000). Use of and movement to refugia by biota is linked to the duration of low-flow stress in aquatic ecosystems. For example, experimental flow diversions that mimicked water abstraction in New Zealand rivers over a 1mo period resulted in no detectable impact on macroinvertebrate assemblages because local and multiple refuge habitats (e.g., pools, hyporheic zones) remained available (James et al. 2008a). However, use of the hyporheic zone by macroinvertebrates as refugia during supraseasonal low flows indicates that use of refugia increases with increasing low-flow stress as surface habitats dry (Wood et al. 2010). During stream drying in an intermittent river in the USA, freshwater crayfish (Orconectes williamsi and Orconectes meeki meeki) used hyporheic substrates as refugia rather than migrating downstream to surface-water refugia (DiStefano et al. 2009), a result indicating that species capable of aestivation can persist in habitats where low flows occur. Refuge size also can affect the persistence of local populations and broader metapopulations. For example, local extinctions of the endangered tidewater goby (Eucyclogobius newberryi) decreased with increasing refugia size during multiyear drought in coastal wetlands in the USA (Lafferty et al. 1999). Species-specific differences in dispersal capabilities can assist in predicting which biota are most susceptible to the loss of refugia during low flows (Crook et al. 2010). Even after flow resumes, loss of refugia can have long-term impacts on biotic composition and species persistence (Rayner et al.

Dispersal traits and the availability of refugia have broad implications for multiscale patterns in biotic diversity during and after low-flow events (Chester and Robson 2011). Flow-mediated connection of habitats affects spatial variation in composition of biota in rivers (i.e., β diversity; Thomaz et al. 2007, Larned et al. 2010). β diversity is broadly defined as the variation in species composition among samples (e.g., habitats or reaches) in a given area (e.g., a river basin) (Anderson et al. 2011). β diversity is predicted to peak during intermediate connectivity and to decline with further increases or decreases in connectivity (e.g., Leigh and Sheldon 2009, Larned et al. 2010). When compared to average flow conditions, prolonged low flows usually increase β diversity, primarily because of differential losses of sensitive taxa, effects of local driving forces (Thomaz et al. 2007), and decreased connectivity. For example,

decline of sensitive taxa as flow and water receded increased spatial variation in macroinvertebrate assemblage composition and richness across intermittent streams in the USA (Bonada et al. 2006), Australia (Lind et al. 2006), and Brazil (Macedo-Sores et al. 2010). As sensitive species are lost from assemblages during prolonged low-flow events, separate refugia support increasingly distinct assemblages until only generalist, tolerant species persist and the assemblages become homogenous across refugia (Magalhães et al. 2002, Sheldon et al. 2010).

# **Human-Induced Alterations to Low-Flow Hydrology**

Natural and anthropogenic disturbances can alter the ecologically relevant attributes of low-flow hydrology. The specific hydrological change depends on the nature of the disturbance and antecedent low-flow regime (Table 1). Patterns in low-flow hydrology are particularly sensitive to changes in climate patterns, land use in the surrounding catchment, and human impacts on stream flow (Smakhtin 2001). These factors, in turn, influence aquatic and terrestrial ecosystems by altering the mechanistic relationships that determine patterns and processes within and among ecosystems. With the 4 principles outlined above in mind, we discuss 6 major human-induced threats to low-flow hydrology and how they act upon the ecologically relevant hydrological attributes of low flow identified above.

#### Flow regulation

Research on the hydrological and ecological consequences of flow regulation has generally been focused on the effects of floods and restoring high-flow events (Maheshwari et al. 1995, Poff et al. 1997). However, flow regulation also alters the low-flow hydrology of rivers. Many flow-regulation schemes are used to provide water for irrigation and agriculture during dry periods and times of peak demand. Flows are often artificially elevated above natural conditions during these times and natural periods of low flow are eliminated to create 'anti-droughts' (McMahon and Finlayson 2003, Bunn et al. 2006). Seasonality of flow is often altered despite little change in the total annual discharge, and, in some cases, is reversed entirely (Humphries et al. 2008) such that the lowflow period no longer co-occurs with the usual seasonal cues for biotic responses and ecosystem processes.

Effects of flow regulation on low-flow hydrology vary across rivers and regions. For instance, flow regulation in the Murray River, Australia, has changed large floods only slightly (recurrence time of 20 y), but low-flow magnitudes have increased relative to natural (unregulated) conditions (Maheshwari et al. 1995). In contrast, water-resource-development models for tropical Australia, which included flow regulation, predicted reductions in minimum and average daily flows across multiple river basins (Leigh and Sheldon 2008). In general, flow regulation reduces the severity (i.e., increases the magnitude and decreases the frequency and duration) of low-flow events (McMahon and Finlayson 2003).

# Surface-water abstraction

Abstraction of surface water from unregulated rivers produces artificial drought (Boulton 2003), with reduced magnitude and increasing frequency and duration of low-flow events. Floodplain harvesting of water in dryland rivers is expected to increase the duration of low-flow periods (Bunn et al. 2006), and upstream water withdrawals are likely to reduce downstream flow magnitudes and the longitudinal distance travelled by water downstream, resulting in wetland drying and disrupted cycles of biotic production (Leigh et al. 2010b). Surface-water abstraction in the USA, Spain, and Australia has increased the frequency of low flows, particularly during naturally drier periods (Deitch et al. 2009b, Finn et al. 2009, Benejam et al. 2010). In some cases, surfacewater abstraction from small streams to protect vineyard crops during periods of extreme air temperatures (e.g., frost) has caused abrupt changes to flows, with up to a 97% decline in discharge lasting almost 5 d (Deitch et al. 2009a). Under a hypothetical scenario of water abstraction and impoundment, flow regimes of rivers in tropical Australia that have comparatively low-flow magnitudes (i.e., low and no flows are natural features of the hydrographs of these rivers) showed an increase in interannual flow variability and intensification of the extremes of magnitude in their flow regimes (e.g., the lowest flow magnitudes became even lower) (Leigh and Sheldon 2008). Therefore, surface abstraction may change the amount of natural variation in the flow regimes of rivers characterized by low flows.

# Interbasin transfers

Interbasin transfers affect the hydrology of source and receiving rivers. The consequences of interbasin transfers for source rivers are similar to those of surface-water abstraction (see Surface-water abstraction above and Table 1), whereas consequences for receiving rivers generally are elevated discharge and reduced frequency and duration of natural low flows (anti-drought; see Flow regulation above). Reduced

Table 1. Consequences of human-induced disturbances to ecologically relevant attributes of low-flow hydrology. Question marks (?) indicate predictions when no case studies were found to confirm the nature of impact.

		T.	Hydrological attribute			0.101
Disturbance	Duration	Magnitude	Frequency	Timing	Rate of change	(examples)
Flow regulation	Decreases	Increases	Decreases	Alters; reversed seasonality	? Alters	Maheshwari et al. 1995, Humphries et al. 2008, McMahon and Finlaren, 2003
Surface abstraction	Increases	Decreases	Increases	Alters	Increases	Deitch et al. 2009a, b, Finn et al. 2009, Reneism et al. 2010
Interbasin transfer (source system)	Increases	Decreases	Increases	? Alters	? Increases	Davies et al. 1992, Hughes 2005, Brooks et al. 2007
Interbasin transfer (receiving system)	Decreases	Increases	Decreases	? Alters	? Alters	As above
Groundwater extraction	Increases	Decreases	Increases	? Alters	? Increases	Bickerton et al. 1993, Acreman et al. 2000, Kustu et al. 2010
Land use (timber harvesting and clear cutting)	Increases, decreases, or does not change	Increases, decreases, or does not change	Increases, decreases, or does not change	Unknown (potentially alters)	? Alters	Johnson 1998, Swank et al. 2001, Komatsu et al. 2009, 2010
Land use (conversion of woodlands to grasslands, e.g., for agriculture)	Decreases	? Increases	Decreases	Unknown (potentially alters)	? Alters	Siriwardena et al. 2006, Delgado et al. 2010
Land use (urbanization)	Increases	Increases, decreases, or does not change	Increases	Unknown (potentially alters)	Increases	Poff et al. 1997, Groffman et al. 2003, Walsh et al. 2005
Climate change (continental-global)	Increases, decreases or does not change	Increases, decreases or does not change	Increases, decreases or does not change	? Alters	? Alters	Arnell 1999, Chiew and McMahon 2002, Milly et al. 2005, Preston and Jones 2008
Climate change (mid-latitude regions)	Increases	Decreases	Increases	Alters	? Alters	Poff et al. 1996, Gibson et al. 2005, Chessman 2009, Suen 2010

flow variability occurs for both source and receiving rivers, and this alteration is particularly significant in ephemeral rivers (Hughes 2005). The Snowy Mountain Scheme in Australia is one example of an interbasin transfer (Davies et al. 1992). This scheme diverted 96% of total annual flow from the Snowy River in coastal, southeast Australia, to the more inland River Murray and Murrumbidgee Irrigation Area. The results were increased duration and frequency of low flow and floods of smaller magnitude and reduced frequency in the Snowy River (Brooks et al. 2007). In South Africa, an interbasin transfer increased the flow magnitude in the receiving river by 830 to 4500% in 1994, depending on seasonal demands, and the artificially elevated discharges in summer were equal to the naturally high discharges in winter (Snaddon and Davies 1998).

#### Groundwater extraction

Worldwide, groundwater extraction has increased dramatically since the mid-1900s (Acreman et al. 2000). Many surface-flowing rivers are tightly linked with groundwater via the contribution of groundwater to base flow (Boulton and Hancock 2006), and changes to groundwater aquifers affect low-flow hydrology in such systems. The hydrological consequences of groundwater extraction on surface low flows are often similar to those of surface water abstraction (Table 1). Groundwater extraction can severely affect natural groundwater levels and aquifer recharge rates and has the potential to decrease the magnitude and increase the frequency and duration of low-flow events in surface-flowing rivers, reduce base flows, and shorten persistence of refugial waterholes. Groundwater extraction has been associated with increased duration and frequency of low flows in the UK and USA (Acreman et al. 2000, Bickerton et al. 1993, Kustu et al. 2010). Furthermore, conservative future scenarios for groundwater extraction in the Great Plains, USA, predict that groundwater extraction will eliminate 43% of refuge pools and will reduce the remaining pools in discrete reaches, thereby eliminating longitudinal surface connectivity, which may have severe impacts on biota unable to cope with stranding and desiccation of surface waters (e.g., fish; Falke et al. 2011).

# Land use

Urbanization, agriculture, and forestry are the primary drivers of flow-regime change in many rivers (Poff et al. 1997) and have direct effects on low-flow hydrology. These effects can be inconsistent and are likely to depend on the specific nature of the landuse

change (Table 1). In the context of low flows, urbanization can cause reduced baseflow conditions by decreasing infiltration as a consequence of increased impervious surface cover in catchments. However, changes to the magnitude of base flow are not consistent across all urban systems (Poff et al. 1997, Walsh et al. 2005). Instead, urban streams typically experience greater flashiness of flow, and stormwater often is piped directly into streams such that the rate of change from low to high flow (and vice versa) is greater than that of the natural flow regime (Walsh et al. 2005).

Low-flow hydrology also is affected by anthropogenic conversion of plant cover for agriculture, plantations, and forestry and specific activities associated with these land uses (Johnson 1998). For example, Siriwardena et al. (2006) reported a 40% increase in annual runoff (after accounting for changes in rainfall) associated with the conversion of Acacia harpophylla woodlands to grasslands for cropping and cattle grazing in the Comet River of central Queensland, Australia. In Pyrenean headwater catchments in northeastern Spain, low flows have decreased in magnitude as land use has shifted from agriculture to natural shrub and forest cover (Delgado et al. 2010). However, conversion of coniferous forest plantations to broadleaf forests in Japan resulted in no change to the frequency or magnitude of low flows (Komatsu et al. 2009). Following large-scale commercial timber harvesting in the Appalachians of North Carolina, USA, river discharge increased by 28% in the first year, then declined constantly over the next 4 y before returning to preharvest conditions (Swank et al. 2001). Deforestation (forest clear-cutting) increased the severity of low-flow conditions in 5 catchments in Japan, but changes were minor when compared to the consequences and management of 45 dams throughout the country (Komatsu et al. 2010).

#### Climate change and variability

At the catchment-scale, elevated temperatures and decreased rainfall will result in decreased average runoff, thereby decreasing magnitude and increasing the frequency and duration of low-flow periods (Poff et al. 1996). Increased frequency and duration of low flows have occurred or are predicted to occur under climate change scenarios in tropical and temperate regions (Chiew and McMahon 2002, Gibson et al. 2005, Suen 2010). Temperate regions of New Zealand's eastern South Island are experiencing more extreme hydrology under recent climate variability (McKerchar and Henderson 2003), and in New South Wales, Australia, mean rainfall and river flows have declined and temperatures increased over a recent 13-y period (1994 to 2007; Chessman 2009). Where high levels of consumptive water use exist, decreased water availability caused by climate change is likely to have a disproportionate impact on the environmental share of surface water, such that low flows are likely to decrease in magnitude and increase in duration and frequency.

Climate change is also predicted to alter seasonality of flow, particularly in snowmelt-dominated catchments. For example, climate change in Europe is predicted to reduce or eliminate winter snow, which usually results in spring run-off during snow melt. Spring flows will be replaced by higher winter flows as rainfall replaces snowfall (Arnell and Reynard 1996). Indeed, much of the northern region of the northern hemisphere is predicted to experience greater runoff in the 21<sup>st</sup> century (Milly et al. 2005), and changes to snowfall are predicted to be detectable on a decadal basis because of strong interannual variability (Arnell 1999). Reduced snowfall also is predicted in other temperate regions, such as southern Australia (Hughes 2003).

Climate-change effects are likely to affect freshwater biodiversity via direct changes to river hydrology (including low-flow hydrology) and other pathways. For example, projected changes to the Australian climate are associated with increased variability and severity of extreme hydrological events (i.e., floods and droughts) and with higher water temperatures and loss of lowland freshwater habitats from saline intrusion as a result of rising sea level and more extreme storm surges (Hughes 2003). Global analysis of future climatechange scenarios indicates that in rivers with predicted reduction in flow, up to 75% of local fish biodiversity is at risk of extinction by 2070 because of the direct effects of climate change and increased water demand by humans (Xenopoulos et al. 2005).

# Synthesis: Mechanistic Links Between Low Flow and Aquatic Ecosystems, the Complexity of Low Flow as a Disturbance, and Applications for Research and Management

We have proposed 4 principles that outline the mechanistic links between low flows and processes and patterns in riverine ecosystems. These principles explain and may be observed as ecological responses to hydrological attributes of low flow. First, low flows control the extent of physical aquatic habitat and, thereby, influence the composition and diversity of biota, trophic structure, and carrying capacity. Second, low flows mediate changes in habitat conditions and water quality, which in turn drive patterns in the distribution and recruitment of biota. Third, low flows affect sources and exchange of energy in riverine ecosystems and, thus, affect ecosystem production and biotic composition. Fourth, low flows restrict connectivity and diversity of habitat, increase the importance of refugia, and drive multiscale patterns in biotic diversity.

These principles do not operate in isolation (Fig. 2), and many ecological effects of low flows are likely to occur simultaneously, potentially resulting in similar or complex effects (e.g., synergisms or antagonisms; Folt et al. 1999). Therefore, the links between low-flow hydrology and riverine ecosystems and biodiversity cannot be oversimplified and, to some extent, are difficult to generalize. Moreover, the nature of ecological responses to low-flow hydrology depends on the hierarchical nature of the flow regime and river habitats (sensu Frissell et al. 1986, Biggs et al. 2005). Many of the ecological responses to individual lowflow events appear to occur only after a particular magnitude, duration, or frequency of events has been reached. Therefore, other than changes in river flow, few early warning indicators of ecological stress from low flows and their alteration are likely to exist. To define ecologically relevant and critical shifts in lowflow hydrology, the nature and breadth of ecological responses must be identified.

Low flows can exacerbate or mask the effects of other environmental stressors on aquatic ecosystems. Human impacts on river ecosystems, such as sedimentation, increased nutrients, poor water quality, landuse change, and water extraction, often have the strongest influence during low flows. For example, effects of sedimentation on macroinvertebrate assemblages in experimental streams in New Zealand were greater in flow conditions mimicking water abstraction than natural flows (Matthaei et al. 2010). Human impacts on rivers (e.g., poor water quality, salinity) can compound the effects of low flows in rivers by creating conditions that exceed the tolerance thresholds of many biota (Lind et al. 2006). Low flow also exacerbates the effects of barriers to movement of stream biota (Burford et al. 2009), and protracted lowflow conditions can override efforts to reduce or predict the impacts of anthropogenic disturbance. For example, prolonged periods of below-average rainfall (and hence, stream flow) have been associated with the limited response of fish to in-stream habitat restoration (Bond and Lake 2005, Howson et al. 2009) and can eliminate the predicted effects of urbanization on estuarine nutrients and water quality (Elsdon et al. 2009). Such complexities create challenges and opportunities to understand the effects of low flows and their alteration on aquatic ecosystems.

Low flows have been used as natural phenomena to study and understand ecological responses to disturbances (often in terms of resistance and resilience; Reice et al. 1990, Lake 2000, Chester and Robson 2011). However, low flows are a complex form of disturbance in aquatic ecosystems. Low flows can be a severe disturbance (e.g., drought), but in some contexts they can constitute an absence of disturbance (e.g., loss of large floods from the flow regime). Rates of silt and sediment deposition increase and cover and richness of macrophytes and benthic algae decrease with increasing frequency of high-flow disturbances (Biggs 1996, Riis and Biggs 2003, Riis et al. 2008), and strong trophic links can form in the absence of floods in streams (Wootton et al. 1996). Therefore, some ecological responses to low-flow hydrology may be caused as much by the absence of flood disturbance as by the occurrence of stable low

We have synthesized the findings of a broad range of studies to improve our understanding of low-flow ecohydrology and the mechanisms by which low flows and their alteration may affect riverine ecosystems. Identifying and quantifying causal mechanisms is critical to identifying the effects of stressors so that the most appropriate predictors and response variables can be defined and sampled (Downes 2010). However, many of the authors of the studies reviewed in our synthesis did not specifically test causal hypotheses about the ecological consequences of low flow, but rather, used exploratory or descriptive approaches to infer ecological responses to low flow. Studies in which causal hypotheses are specified and tested are particularly informative (e.g., Osmundson et al. 2002, Suttle et al. 2004) because they can be used to determine whether a particular stressor mediated by flow is causing a change in ecosystem structure and function. Therefore, the mechanistic links described in our synthesis should be used to develop and test hypotheses about ecological responses to low-flow hydrology in a cause-effect framework that will have value for ecohydrological scientists and river flow managers. For example, a fundamental tenet of the Ecological Limits of Hydrologic Alteration (ELOHA) framework (Poff et al. 2010) is to develop mechanistic or process-based relationships between patterns in ecosystem structure or function and variation in the flow regime that can be applied to the development of environmental flow regimes.

Detecting initial ecological effects of low flow is likely to be difficult because the disturbance occurs in the form of a press or ramp (Lake 2003). The ecological mechanisms affected by low flows occur over multiple time scales and, therefore, responses to

low-flow disturbances are likely to be delayed (i.e., show lag effects). Ecological research on low flows has tended to be focused on relatively short-term effects of single low-flow events or experimental manipulations, and findings are often inconsistent (Miller et al. 2010). One hypothesis is that ecosystems show no response to low-flow events until a distinct point or "threshold" of duration or magnitude is passed. An alternative theory is that individual lowflow events do not necessarily change the long-term flow regime by which ecosystems are predominantly structured (sensu Biggs et al. 2005). The long-term flow regime acts as a form of biological filter (Bonada et al. 2007), whereby biota that are unable to recover from sustained disturbances do not exist in the regional species pool (Lake et al. 2007). The composition of aquatic biota often responds more obviously to changes in flow regimes as they move from perennial to intermittent flow over (at least) multiple years rather than to single, short-term events (Sponseller et al. 2010, Bogan and Lytle 2011). However, relatively few of the case studies used in our synthesis provided much detail on the antecedent conditions or flow regimes of the rivers in which the effects of individual low-flow events were studied. Instead, many flow regimes were described simply as perennial, intermittent, or temporary. This lack of detail presents difficulties when deciding whether single flow events are within the natural variation of the long-term flow regime and the conditions through which aquatic biota are expected to persist, or whether the events represent a change in the flow regime that would initiate significant ecological responses and a persistent trajectory of change.

The processes and mechanisms by which low flows affect aquatic ecosystems identified in our paper are driven both by the hydrological characteristics of individual flow events (e.g., duration, timing, frequency) and by the context of events within the temporal hierarchy of the long-term low-flow regime. Disentangling the relative importance of these different flow disturbance attributes (described above) is necessary to isolate their individual and interacting ecological effects (sensu Fraterrigo and Rusak 2008). Rules for environmental flows defined at particular flow percentiles or magnitudes that fail to recognize the temporal hierarchy of the low-flow regime will place biota and ecological processes in streams at risk and could contribute to altered trajectories of ecosystem structure and aquatic biodiversity. If threats to low-flow hydrology (e.g., water abstraction from unregulated streams) alter the duration, frequency, and potentially, the timing of low-flow events that are expected to induce an ecological shift, then setting only magnitude-based environmental flow rules significantly risks altering ecosystem structure, function, and biodiversity by contributing to potentially undesirable changes in the flow regime. One solution could be to apply more dynamic water extraction rules that account for antecedent flow conditions (Finn et al. 2009) and provide scope to acknowledge the temporal hierarchy of each river's flow regime.

Low flows and drought are useful model phenomena for studying and understanding the effects of disturbance on ecosystems. Such understanding helps scientists to develop ecological theory of disturbance ecology (Death 2010) and to apply it to conservation and restoration actions (Lake et al. 2007) in the context of river flow management and adaptation to human demands and climate variability (Poff et al. 2010). Continued experimental research and ongoing consolidation of ecological information will improve our understanding and ability to predict consequences of low-flow alteration on river, floodplain, and estuarine ecosystems.

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