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Synthesis Paper

State-and-Transition Models for Heterogeneous Landscapes: A Strategy for Development and Application

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Abstract

Interpretation of assessment and monitoring data requires information about how reference conditions and ecological resilience vary in space and time. Reference conditions used as benchmarks are often specified via potential-based land classifications (e.g., ecological sites) that describe the plant communities potentially observed in an area based on soil and climate. State-and-transition models (STMs) coupled to ecological sites specify indicators of ecological resilience and thresholds. Although general concepts surrounding STMs and ecological sites have received increasing attention, strategies to apply and quantify these concepts have not. In this paper, we outline concepts and a practical approach to potential-based land classification and STM development. Quantification emphasizes inventory techniques readily available to natural resource professionals that reveal processes interacting across spatial scales. We recommend a sequence of eight steps for the co-development of ecological sites and STMs, including 1) creation of initial concepts based on literature and workshops; 2) extensive, low-intensity traverses to refine initial concepts and to plan inventory; 3) development of a spatial hierarchy for sampling based on climate, geomorphology, and soils; 4) stratified medium-intensity inventory of plant communities and soils across a broad extent and with large sample sizes; 5) storage of plant and soil data in a single database; 6) model-building and analysis of inventory data to test initial concepts; 7) support and/or refinement of concepts; and 8) high-intensity characterization and monitoring of states. We offer a simple example of how data assembled via our sequence are used to refine ecological site classes and STMs. The linkage of inventory to expert knowledge and site-based mechanistic experiments and monitoring provides a powerful means for specifying management hypotheses and, ultimately, promoting resilience in grassland, shrubland, savanna, and forest ecosystems.

Resumen

La interpretación de la evaluación y el monitoreo de datos, exigen que haya información de cómo las condiciones de referencia y resistencia ecológica varían en espacio y tiempo. Las condiciones de referencia que se usan como puntos de comparación se describen a menudo mediante las clasificaciones de terrenos basándose en su potencial (por ejemplo, sitio ecológico) de comunidades de plantas presentes en un área, en base a suelo y clima. Los Modelos de Estado y Transición (METs) relacionados a sitios ecológicos, son indicadores específicos de los límites de resistencia ecológica. Aunque se ha dado mucha atención a los conceptos generales que rodean los METs y los sitios ecológicos, no ha sido así con las estrategias para aplicar y calificar esos conceptos. En este trabajo, damos una idea general de los conceptos y un enfoque práctico para la clasificación de terrenos en base a su potencial, así como para el desarrollo de METs. La calificación enfatiza técnicas de inventario fácilmente accesibles a los profesionales en recursos naturales presentando un proceso de interacción a través de escalas espaciales. Nosotros recomendamos una secuencia de ocho pasos para el desarrollo de sitios ecológicos y METs, como son: 1) creación de conceptos iniciales basados en literatura y talleres; 2) extensivos viajes de reconocimiento para el refinamiento de los conceptos iniciales y planear el inventario; 3) desarrollo de una escala espacial para muestreo, basándose en clima, forma del terreno, y suelos; 4) estratificación de inventario de media intensidad de las comunidades de plantas y suelos a través de una gran cantidad de muestras de tamaño grande; 5) almacenamiento de datos de plantas y suelos en una sola base de datos; 6) construcción del modelo y análisis de los datos inventariados para evaluar los conceptos iniciales; 7) apoyo y/o refinamiento de conceptos; y 8) intensa caracterización y monitoreo de las situaciones. Ofrecemos, además, un ejemplo sencillo de cómo coleccionar los datos, mediante el uso de nuestra secuencia para refinar la clase de sitio ecológico y los METs. El enlace del inventario con el conocimiento experto y la mecánica de

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experimentos y monitoreo basados en el potencial de sitio, proporciona un medio efectivo para especificar hipótesis de manejo y finalmente para promover la recuperación de pastizales, matorrales, sabanas, y ecosistemas forestales.

Key Words: climate, dynamic soil properties, ecological sites, inventory, monitoring, quantile regression, soils, state-and-transition models, threshold

INTRODUCTION

Assessment, monitoring, and management efforts require information about both the ecosystem conditions targeted by management and the relationship of indicators to ecosystem change. In natural resources management, two interrelated tools have emerged to provide this information: potential-based land classification and state-and-transition models (Herrick et al. 2006). Land classifications account for spatial variation in reference (i.e., potential, properly-functioning, healthy, or desirable) conditions and the processes driving changes to ecosystems (e.g., Hobbs and McIntyre 2005; Kunst et al. 2006; Wessels et al. 2008; Gallant et al. in press). Without spatially-explicit expectations, “one-size-fits-all” and partisan thinking often circumvent sound management decisions (Swetnam et al. 1999). State-and-transition models (hereafter STMs), including similar conceptual models, identify patterns and mechanisms of ecosystem response to natural and anthropogenic drivers. The models feature the possibility of threshold behavior in which transitions to alternative ecosystem states occur that are difficult to reverse. STMs are a widely-used means to interpret assessment and monitoring data and to design management actions (Carpenter and Brock 2006; Forbis et al. 2006; King and Hobbs 2006; Kunst et al. 2006; Barbour et al. 2007; Fancy et al. in press). Coupling land classification to STMs allows managers to adjust expectations, measurements, interpretations, and management responses to variation within landscapes and regions.

Land units called “ecological sites” in the United States and similar potential-based land classification systems provide a basis for linking spatial data to specific reference conditions and STMs (Christian 1958; Dyksterhuis 1958; Shiflet 1973; Cregue et al. 1999; Winthers et al. 2005). Ecological site classifications are based on the fundamental idea that differences in potential plant communities and their resilience are governed by subtle differences in soil, geomorphology, and climate (e.g., McAuliffe 1994; Fuhlendorf and Smeins 1998; Bestelmeyer et al. 2006; Hughes et al. 2006; Buxbaum and Vanderbilt 2007; McAuliffe et al. 2007). Ecological site classes are distinguished by significant differences in potential vegetation composition or production between soils or by differences in the process by which the reference states of those soils change to alternative states (US Department of Agriculture–Natural Resources Conservation Service [USDA–NRCS] 2003). Due to the importance of soil variability, ecological sites are finer-grained than most existing land classifications. Ecological sites are nested within climate-based classes called Land Resource Units or Major Land Resource Areas (MLRAs; similar to ecoregions). In contrast to classifications based on existing vegetation, ecological sites are distinguished by climate, geomorphic setting, and soil, alongside vegetation. Each ecological site features a distinctive STM that can contain several alternative plant communities (Bestelmeyer et al. 2004).

STMs serve three primary functions. First, STMs contrast the properties of reference and alternative states (Friedel 1991;

Scheffer and Carpenter 2003). Second, STMs describe the mechanisms by which transitions among states occur (Westoby et al. 1989). In doing so, the models identify particular patterns, such as levels of vegetation cover in the reference state, that indicate the risk of a transition to an alternative state (Bestelmeyer et al. 2003; Briske et al. 2008). Triggers (such as drought) act on low-resilience systems to initiate transitions. Third, STMs describe the point at which soil or plant community changes preclude autogenic recovery and require energy-intensive measures to reverse (i.e., thresholds; Stringham et al. 2003). It is hoped that assessment and monitoring data compared with information in STMs can be used to prevent persistent degradation and target restoration actions where benefits are most likely to be realized.

Management areas often encompass landscapes featuring large differences in reference states and ecological resilience. Soil and climate maps connected to ecological site classifications reflect information represented in several STMs pertaining to a given landscape. In the United States, National Cooperative Soil Survey and the USDA–NRCS have connected soil mapping with the development of ecological site classes and STMs. Data and interpretations pertaining to an ecological site, including the STM, are summarized in a report called an Ecological Site Description (ESD). Ecological sites and associated interpretations are represented spatially via soil map units. The map units can feature one or more soil map unit components—often more than one when distinct soil types are finely intermingled and not resolvable with a given mapping effort. Functionally-distinct components are associated with distinct ecological sites. When made spatially explicit in maps and then identified in the field, ecological sites and alternative states provide a logical basis for the design of assessment, monitoring, and management efforts in heterogeneous landscapes.

In spite of efforts to refine the conceptual elements of STMs and ecological sites (see Table 1 for elements and their definitions), there are often few data assembled to provide a quantitative basis for them. One reason is the historical emphasis on documentation of reference conditions using intensive measurements at a few locations, but not on the systematic production of large datasets suitable for statistical analysis. Furthermore, the concepts and tools we use to interpret ecosystem change have improved in the last decade, especially with respect to the linkage of plants and soil function (Tugel et al. 2005). Consequently, existing data often are not sufficient to distinguish key ecological site attributes, states, or functions. Data limitations have precluded evaluation, refinement, and (in some cases) acceptance of ecological sites and STMs by the science and management communities.

In this paper, we offer a general strategy to overcome limitations in the codevelopment of ecological sites and STMs. Our strategy emphasizes the integration of vegetation and soil inventory with expert knowledge, long-term studies, and experimentation. We emphasize inventory as a primary source of data because long-term studies and experiments vary in

Table 1. A description of key elements of ecological sites and state-and-transition models (STMs) discussed in this paper. Definitions for some ecological site elements adapted from US Department of Agriculture–Natural Resources Conservation Service (2007). Definitions for STM elements adapted from Briske et al. (2008).

Ecological site concepts	
<i>Major Land Resource Area</i>	An area of similar climate, physiography, dominant soil taxa, and consequently, land use and vegetation. Defines the extent of a set of related ecological sites unless Land Resource Units are recognized.
<i>Land Resource Unit</i>	A subdivision of a Major Land Resource Area based on geographic differences in climate, water resources, or land use. If this level is specified, it defines the extent of a set of related ecological sites.
<i>Ecological site</i>	A class of land based on recurring soil, landform, geological, and climate characteristics that differs from other such classes in 1) the production and composition of plant species under the disturbance regime of reference conditions, associated dynamic soil property levels, and ecosystem services provided and 2) responses to management and the processes of degradation and restoration. Classes recur on similar soil components within either a Major Land Resource Area or Land Resource Unit. Each ecological site can be observed in one or more states.
<i>Diagnostic features</i>	Soil/landform/hydrological features that distinguish an ecological site from others.
<i>Soil taxonomic group(s)</i>	Provides rapid communication of the general soil characteristics of the site. Groups are often specified at subgroup or family levels following US soil taxonomy.
<i>Soil map unit components</i>	Soil series/phases found in a specific mapping unit where the scale of mapping requires the mixing of distinct soils in mapping units. Ecological sites are directly correlated to components (rather than series).
<i>Landscape features</i>	Landscape context(s) of the ecological site and associated landscape processes (e.g., climatic, hydrological, eolian, fire) that influence its characteristics. The features can be defined based on the soil–geomorphic systems within which it occurs.
STM concepts	
<i>State</i>	A suite of temporally-related plant communities and associated dynamic soil properties that produce persistent, characteristic structural and functional ecosystem attributes.
<i>Reference state</i>	The state supporting the largest array of potential ecosystem services and from which all other states and phases can be derived; often considered to represent a historical or natural range of variability or the set of conditions most preferred by a society.
<i>Community phases</i>	Distinctive plant communities and associated dynamic soil property levels that can occur over time within a state.
<i>Reference phase</i>	The phase of the reference state exhibiting the structural and functional properties that impart resilience to this state.
<i>At-risk phase</i>	The community phase that is most vulnerable to transition to an alternative state (i.e., least resilient).
<i>Transition</i>	The mechanisms by which one state is transformed into another state.
<i>Trigger</i>	Events, processes, and drivers that initiate a transition to an alternative state. Triggers can be indicated by changes in plant community patterns that result in altered feedbacks or increased risk of sudden transition from the at-risk phase.
<i>Threshold</i>	Conditions defined by vegetation/soil characteristics and related processes that distinguish alternative states and that preclude autogenic (unassisted) recovery of the former state.

availability and are difficult to complete quickly. First, we describe steps in a framework that link regional inventory to expert knowledge and available data. A key component of the framework is a hierarchical stratification of a region according to the cross-scale processes that govern transitions among states. Second, we provide an example analysis of inventory data that relates the occurrence of alternative states to soil variables. We discuss how inferences drawn from such an analysis are linked to expert knowledge, site-based mechanistic experiments, and monitoring data to refine STMs.

STEPS IN A REGIONAL SAMPLING AND SYNTHESIS STRATEGY

The codevelopment of STMs and ecological sites seeks to link multiple sources of data and expert knowledge with soil and climate properties. The strategy we describe here is general and iterative; the steps should not be regarded as rigid. We start with informal ecological site and state concepts coupled to mapping. Concepts and maps are refined as information accumulates. Below, we outline the steps of a practical, but

data-driven, approach with this idea in mind (summarized in Table 2).

1) Creation of Initial Ecological Site Concepts and State-and-Transition Models

Ecological Site Concepts. The development of ecological site concepts (i.e., specifying the identity and distinctive properties of ecological sites) occurs in tandem with development of STMs because each representative of an ecological site might be observed in a different state. Ecological site concepts, within an area of similar climate, are based on soil–geomorphic properties that affect the production, composition, or resilience of vegetation (e.g., Table 3). For example, Loamy Upland and Loamy Slopes have similar total production but differ in the dominant plant species in their respective reference states. These differences are related to differences in landscape position, slope, and hydrology. The STMs of both sites contain an eroded state characterized by partial loss of the A horizon, triggered by grass loss. The Gravelly Slopes site, on the other hand, does not feature an eroded state because the gravelly soil surface resists erosion. In general, distinct ecological sites are

Table 2. A summary of steps for developing ecological site classes and state-and-transition models (STMs) within a project region.

Step	Tasks and issues to consider
1. Develop initial ecological site concepts and STMs	a) Review general ecosystem models, conduct literature review, hold expert workshops/interviews b) Specify functionally-important soil properties c) Specify transient vs. persistent changes in vegetation d) Consider scale and spatial context in transitions
2. Complete low-intensity survey	a) Explore relationships among states, landforms, land uses, and soils across the project area b) Refine strata for medium-intensity inventory
3. Hierarchically stratify the region	a) Assemble digital maps and remotely sensed imagery; link to low-intensity data b) Delineate or recognize climate zones, soil–geomorphic systems, soil units (where soil maps absent or not of sufficient resolution), states, and key differences in patch structure
4. Complete medium-intensity survey	Sample vegetation, soils, and indicators across soil–geomorphic systems, ecological sites, and state strata/gradients and at many points.
5. House data in database	Database allows soil, landform, and vegetation data to be related to one another
6. Conduct exploratory analyses and tests of relationships	Use scatterplots, model building, quantile regression, and multivariate analysis to explore data and to test specific propositions derived from ecological sites and STMs
7. Refine ecological site and STM concepts	Based on analyses and synthesis of literature, modify ecological site classes, modify initial STMs, quantify characteristics of ecological sites and states
8. Complete high-intensity characterization and initiate monitoring	a) Generate statistical samples, especially for reference states, and collect precise information on vegetation and dynamic soil properties to establish characteristic values b) Monitor points to document dynamics of the state

justified when there are management-relevant differences in properties of the reference state or in the state's possible trajectories. The structural/functional distinctions among ecological sites should then be linked mechanistically to specific differences in soil–geomorphic properties. Thus, within a climate zone, ecological sites should be recognizable based solely on soil–geomorphic criteria (Table 3).

State-and-Transition Model Concepts. Concepts for STMs address three elements. First, they specify plant community properties, including composition, cover, and production of reference states that are chosen to best reflect the soil and climate-determined potential of the site (Sala et al. 1988). Increasingly, dynamic soil properties that affect plant resources and other functions (e.g., soil organic matter, soil structure, bulk density, infiltration rate, and water/nutrient-holding capacity) are described alongside plant attributes (see Tugel et al. 2005). Although the concept of a reference state is controversial because ecological potential is difficult to specify (Swetnam et al. 1999), we operationally define it as the state from which all other possible states (and associated functions) can be derived via low-intensity management. A “state” is conceived here as a dynamic regime (cf. Scheffer and Carpenter 2003), encompassing spatiotemporal variability denoted by multiple “community phases” occurring within the state (Stringham et al. 2003; Table 1). The general properties of the reference state are described (see below; Table 4), including the natural range of variation reflected in community phases (Landres et al. 1999). A reference community phase is designated that exhibits the structural and functional properties that are believed to impart resilience to the reference state. This phase provides a measurable, real-world benchmark for assessment and monitoring.

Variation in potential vegetation and dynamic soil properties cannot yet be modeled at landscape scales for a number of reasons (Peters et al. 2006). Thus, properties of the reference state or phase have been estimated from historically-observed

conditions, extrapolations from similar ecological systems, and via measurements from areas deemed to be in a well-managed condition. Long-term climate change complicates the use of historical observations at a point in time to infer present-day potential (Brown and Bestelmeyer 2008). Unknown historical anthropogenic effects, such as extensive use of fire and agriculture by early Americans, further complicate interpretation of historical conditions (Mann 2006). Using existing site conditions to describe a set of reference conditions avoids these problems and allows direct measurement, even if current conditions deviate slightly from what might be regarded as potential. Existing sites also allow measurement of dynamic soil properties; they were not measured in historical efforts. Causes of deviation between existing and historical-based reference conditions can be described, when known.

Second, reference states are contrasted with alternative states and should specify distinct structure-function (or pattern-process) feedbacks (Scheffer and Carpenter 2003). For example, the transition from shrub savanna to a shrub-dominated state involves a change in two correlated feedbacks: reduced resource retention by grasses that allows resource transfer to shrubs and increasing resource heterogeneity (Schlesinger et al. 1990) and reduced fuel connectivity and fire frequencies that also favor shrubs (Table 4). Each state is defined based on a distinct structure-function feedback; these distinctions are usually of societal relevance. Concepts for states should also define the appropriate spatial scale for recognizing important structure-function feedbacks.

Third, STMs describe the triggers, drivers, and mechanisms of transition among states (see Westoby et al. 1989; Yates and Hobbs 1997; Bestelmeyer et al. 2003; Briske et al. 2008; Table 1). For example, one trigger for the transition from a shrub savanna to a shrub-dominated state is a high loss of grass basal cover due to mismanaged grazing during drought. Periods of high wind velocity and drought serve as important interacting drivers that are external to the local management

Table 3. A draft ecological site key for the western limit of the Chihuahuan Desert ecoregion (US Department of Agriculture–Natural Resources Conservation Service [USDA–NRCS] major land resource area 41-1) in a zone of 400–500 mm mean annual precipitation, featuring aridic-ustic, thermic soils, in southeastern Arizona. Developed by the USDA–NRCS, Arizona. Terminology follows Soil Survey Staff 2006. See the USDA–NRCS Ecological Site Information System website to view particular ecological site descriptions (<http://esis.sc.egov.usda.gov/Welcome/pgReportLocation.aspx?type=ESD>).

I. Flooded (bottom position, flooded from the valley-side or over-bank)	
A. Soils with a perennial high water table (0–4.5 m)	
1. Soils sandy and gravelly/cobbly without redoximorphic features	1. Sandy Bottom
2. Soils loamy to clayey with redoximorphic features	2. Cienega (wetland)
B. Soils with seasonal (summer) water table at 1.5–6 m deep	3. Loamy Bottom
C. Soils without a high water table (rely only on floodwater)	
1. Soils sandy	4. Sandy Wash
2. Soils sandy loam to clay loam	5. Loamy Swales
3. Soils clayey (vertic)	6. Clayey Swales
II. Not flooded (upland position, receives only precipitation)	
A. Slopes less than 15%	
1. Soils calcareous throughout	
a. Soils shallow (less than 50 cm deep)	
1. Soils with a carbonate-cemented hardpan	7. Limy Upland
b. Soils moderately deep to deep (75–150 cm)	
2. Soils with an argillic horizon	8. Loamy Upland, limy
2. Soils noncalcareous in upper 25 cm	
a. Soils shallow (less than 50 cm deep)	
1. Soils underlain by granite, schist, rhyolite, sandstone bedrock	9. Granitic Upland
2. Soils underlain by basalt, andesite, shale bedrock	10. Volcanic Upland
b. Soils moderately deep to deep (75–150 cm)	
1. Soils without an argillic horizon	
a. Soils loamy fine sand to sandy loam	11. Sandy Loam Upland, deep
2. Soils with an argillic horizon	
a. Soils with sandy loam surface 10 cm or thicker	12. Sandy Loam Upland
b. Soils with sandy loam surface less than 10 cm	13. Loamy Upland
c. Soils with a loam surface	13. Loamy Upland
d. Soils with a clay loam surface (not vertic)	14. Clay Loam Upland
e. Soils with a clayey surface (vertic)	15. Clayey Upland
B. Slopes greater than 15%	
1. Soils shallow (less than 50 cm deep)	
a. Soils calcareous throughout	
1. Soils over limestone parent materials	16. Limestone Hills
2. Soils over limy conglomerate/fanglomerate	17. Conglomerate Hills
b. Soils noncalcareous	
1. Soils over granite, schist, gneiss, rhyolite (acid igneous)	17. Granitic Hills
2. Soils over basalt, andesite, welded tuff (basic igneous)	18. Volcanic Hills
2. Soils moderately deep and deep (75–150 cm)	
a. Soils calcareous throughout	
1. Soils dark colored (10YR, 4/2) in the top 12 cm	19. Limy Slopes
b. Soils noncalcareous in the upper 25 cm	
1. Soils sandy loam to clay loam	20. Loamy Slopes
2. Soils clayey	21. Clayey Slopes

system. The “at-risk” community phase within the reference and other states represents the consequences of triggers and drivers and an increased vulnerability to transition (Briske et al. 2008). For example, an at-risk phase for the scenario above would be characterized by a reduction of grass cover to a certain level and bare patches reaching a certain size. Autogenic recovery is still possible from this phase; therefore it is included

in the reference state. Detailed description of the relationships between the at-risk phase, triggers, drivers, and feedbacks is a necessary precursor to communicate and evaluate model assumptions as well as to select useful indicators of thresholds.

General Ecosystem Models as a Starting Point. General ecosystem models (e.g., Miller 2005) developed for broad

Table 4. Concepts for states and generic state names within Major Land Resource Area 42 in southern New Mexico. States are for ecological sites that have a significant woody plant component (> 10%) within a grassland matrix in the reference state (including Deep sand, Gravelly, Gravelly Loam, Gravelly Sand, Hills, Limestone Hills, Gyp Hills, Malpais). States are generally recognizable at scales of 0.1–1 ha. The structure of the state-and-transition model, however, might differ among these ecological sites and not all states are represented in each site.

Concept for state (<i>italics</i>) followed by structural and feedback characteristics	State name
1. <i>Site near maximum productivity with full complement of historically-dominant species.</i> Perennial grass cover dominant, woody plants largely scattered within a grass matrix or intermixed with grasses; some high-density shrub clusters. Grass cover facilitates infiltration, improves soil water availability, and stabilizes other resources, sustaining grass reproduction. Grass cover might also facilitate fire that limits shrub and tree populations.	Shrub/tree savanna (reference state)
2. <i>Perennial grasses dominant, but historically-dominant species unlikely to recover without restoration.</i> Historically-dominant grass species are absent or fragmented (< 10%), grazing-tolerant or disturbance-associated species might be dominant, productivity often patchy and low. Evidence of soil erosion. Low grass cover limits grass reproduction, resource retention, and/or stabilization of soil, limiting recovery of formerly dominant grasses.	Altered savanna
3. <i>Expanding woody plants are dominant but the distribution of remnant grass in woody plant interspaces suggests that competitive exclusion is incomplete and/or soil degradation is infrequent.</i> Woody plants are dominant and dominant perennial grass species are restricted to discrete patches in shrub interspaces (> 10% cover of grass, with > 1% occurring in interspaces). Low grass cover allows preemption of resources by shrubs and redistribution of soil resources from interspaces to shrub patches. Reduced grass connectivity reduces likelihood of fire occurrence.	Shrub/tree-dominated
4. <i>Biological activity is centered beneath expanding woody plants, perennial grasses away from woody plants are insignificant.</i> Dominant perennial grass species entirely absent or as isolated relict patches (< 10% cover). 95–100% of existing grass cover occurs under shrubs. Evidence of interspace erosion/soil degradation is extensive, resource retention is low. Facilitation between shrubs and grasses sustains remaining grasses.	Expansion shrubland/woodland
5. <i>Perennial species absent or occur as isolated relict plants.</i> Erosion extensive. Resource retention is very low.	Bare/annuals
6. <i>The distribution of exotic species suggests that they can fill in the site over time, but do not govern ecosystem function of site.</i> Exotic species (e.g., <i>Eragrostis lehmaniana</i> Nees) present or common (> 5% cover). Fire and/or livestock grazing preferences favor growth and reproduction of exotic species relative to natives.	Exotic-invaded
7. <i>Exotic species are dominant and govern ecosystem function of site.</i> Resources for growth and reproduction preempted by exotic species, fire can contribute to sustained dominance.	Exotic-dominated

ecosystem types are useful starting points because they highlight dominant processes governing transitions. General models draw upon groups of mechanistic studies and quantitative modeling efforts. Specific examples include the effects of wind erosion and soil surface condition on arid, sandy soils (Okin et al. 2001) or fire-grazing interactions in piñon-juniper communities (Romme et al. 2008). General models sometimes indicate variation in soil and climate that should be captured in ecological site distinctions (e.g., piñon-juniper dynamics differ in shallow vs. deep soils) as well as the appropriate scale for recognizing states. For example, an STM might best apply to groups of intimately-linked soils and landscape features rather than individual soils (e.g., riparian zones and adjacent meadows; T. Stringham, personal communication, 2007; and see Pringle et al. 2006). Plant patches (i.e., discrete groupings of plants) that change rapidly in shape or spatial position (e.g., banded semiarid vegetation; Tongway et al. 2001) could be considered as components of a phase or state.

Next, initial STMs linked to preliminary ecological site concepts are developed based on literature that is combined with the local knowledge of land managers and scientists during group interviews at workshops (Bestelmeyer et al. 2003). In the United States, initial ecological site classifications might already exist within the project area or in similar areas to help focus discussions on particular soils. The general ecosystem models focus participants on ecological processes. In this way, STMs become holistic models that link site-specific details, often obtained from local knowledge, to general quantitative studies.

Synthesis of Multiple Data Sources and the Logic of Inventory.

Transitions in rangelands have not been sufficiently documented; they take decades to unfold, and relevant processes have been missed where long-term data exist at all. We feel that an effective response to this problem is to assess 1) what changes have occurred in particular ecological sites, 2) whether assumptions about management and restorability are supported in studies of management actions, and 3) if contemporary patterns in vegetation and soils are consistent with explanations for ecosystem changes. With regard to 1), historical and long-term changes have been studied via repeat ground (Turner 1990; Hart and Laycock 1996) and aerial photography (e.g., Briggs et al. 2002) as well as spatially-referenced historical records such as General Land Office surveys (Andersen and Baker 2005). In some cases, repeat photography can be associated with recorded events, such as a fire or abrupt change in management, that provide additional information. With regard to 2), experimental investigations of degradation and restoration processes (e.g., Drewa et al. 2006) matched to ecological sites can be examined for consistency with presumed causes or constraints to change. Simulation models can also be used to link processes and ecological site properties to STMs (e.g., Peters 2002). With regard to 3), we argue that tests based on inventory sampling of current vegetation and soils provide a robust and practical means to evaluate STMs and ecological site concepts. Because inventory sampling does not depend on the availability of historical data and yields rapid results, we emphasize this avenue in the remainder of the paper.

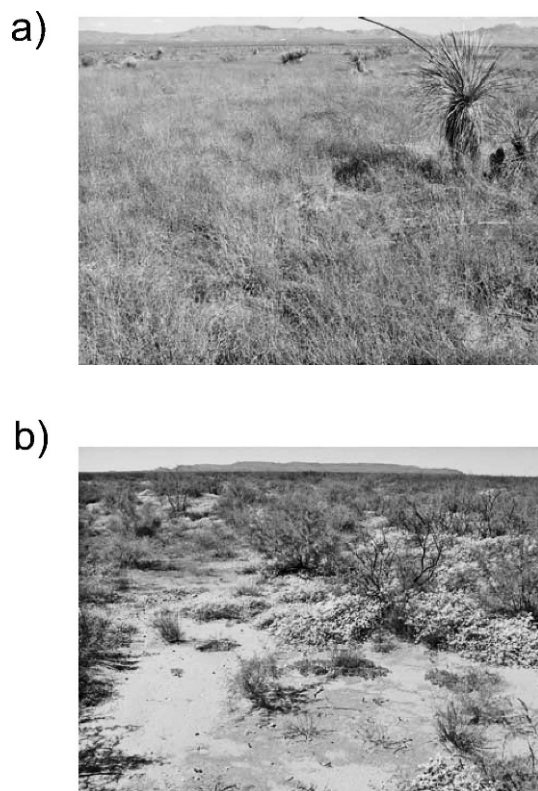


Figure 1. Alternative states of the same ecological site observed in different locations during a high-rainfall year. **a.** A grassland state on a coarse-loamy, mixed, superactive, thermic Typic Petroargid in south-central New Mexico. The grass is black grama (*Bouteloua eriopoda* Torr.). **b.** A shrubland state with a similar soil profile dominated by mesquite (*Prosopis glandulosa* Torr.) and featuring eroded soils. Both soil profiles are correlated to the Shallow Sandy ecological site (Major Land resource Area 42.2), featuring loamy sand to sandy loam soils including an indurated calcic (caliche) horizon within 50 cm.

Inventory data stratified to preliminary ecological sites and states allow us to test whether the presumed alternative states are observed on the same soil profiles and landscape positions (supporting their designation as true alternative states; see Fig. 1 for an example) or if they tend to be associated with distinct soil-geomorphic properties. If the latter is observed, we must ask if 1) the differences in vegetation reflect differences in ecological potential and therefore distinct reference conditions, or 2) the differences in vegetation reflect distinct trajectories of change for different ecological sites that historically shared the same vegetation. Distinguishing among these alternatives must involve other kinds of evidence, such as historical reconstructions and restoration experiments. Furthermore, we must be aware that a failure to measure relevant soil-landscape properties will produce misleading results. Nonetheless, inventory data provides an initial test of the validity of STMs.

2) Three-Tier Sampling, Part 1: Low-Intensity Traverses

With initial concepts for ecological sites and states established, the next objective is to produce an inventory dataset that couples plant and soil data in order to test the concepts. Based on practical considerations, we propose that this can be accomplished using three tiers of sampling that vary in intensity

and that serve distinct purposes. The tiers generally parallel soil survey and mapping strategies of the US National Cooperative Soil Survey and include 1) *low-intensity traverses* used in initial, extensive reconnaissance to understand landform-soil-vegetation relationships and refine initial ecological site and state concepts; 2) *medium-intensity inventory* that is used to examine quantitative relationships between vegetation, soil horizon, and climate properties based on ecological site and state concepts; and 3) *high-intensity characterization* of soil and vegetation properties from a statistical sample of states within ecological sites. Dataforms we have used for each tier are available at the Ecological Site Description Resources webpage of the USDA-Agricultural Research Service Jornada Experimental Range website (<http://usda-ars.nmsu.edu>).

In this second step, low-intensity traverses are used to cover broad areas within a climate zone (e.g., Land Resource Unit) over which a set of ecological sites will apply. Traverses can occur in concert with Step 1, but are usefully undertaken after initial literature synthesis and workshops to guide traverses. The primary objective of this step is to cover as much land as possible in order to maximize the generality of concepts. Sampling occurs across the extent of the area, stratified by variation in climate gradients, geomorphology, and soils using digital maps (see Step 3 below). Randomly selected points are observed. Areas suspected to represent reference states, such as vegetation preserved in exclosures or cemeteries, are of particular interest and are targeted. Ecotones between states (due to soils or contrasting land uses) are also targeted. At sampling points, soil augers or shallow pits are dug to examine soil diagnostic horizons (e.g., depth to argillic, calcic, or root-restrictive horizons) and classify the soil pedon to the series or higher taxonomic level (USDA-NRCS 2007). Soil samples generally are not collected. Vegetation states and community types within preliminary STMs are evaluated and additional states and communities might be discovered. Data are collected rapidly in order to obtain many samples. Although data sometimes are recorded informally in field notebooks, we recommend the collection of soil profile, state, and GPS data with a dataform. By recording data in rigorously-defined classes, traverse data can be used to evaluate relationships among vegetation and soil classes. Tentative soil map units (if they do not yet exist) and refined ecological site and state classes are generated.

3) Development of a Spatial Hierarchy for Sampling

Low-intensity traverses combined with digital data are used to create hierarchical stratification for medium-intensity inventory. The use of spatial hierarchies to structure inventory is not new (e.g., Cleland et al. 1997), but here we extend this idea to identify levels reflecting the cross-scale interactions driving transitions (Peters et al. 2006). As an example, management areas are nested within or cross climate zones that vary in precipitation. The geomorphology of the landscape determines how water is redistributed as well as the spatial arrangement of soils receiving that water. At a finer scale, the area encompasses mosaics of ecological sites that vary in water-holding capacity, nutrient availability, and plant-rooting environments. Plant communities vary within and among ecological sites due to the interaction of management history, plant traits, resource

aspects). Samples should be distributed across these variations to test for their effects. Spatially-explicit climate data, especially the PRISM model within the United States (Daly et al. 2002) or CMORPH for the world (Joyce et al. 2004) can be used to delineate climate variations at coarse scales.

Second, areas within a climate zone are divided into distinct *soil-geomorphic systems* (SGSs) that describe the landscape context of ecological sites and states (Fig. 2, panel II). An SGS is a discrete land area with a characteristic spatial arrangement of ecological sites (and often states) that are linked by fluxes of materials, organisms and disturbances, soil-forming processes, and/or ecological processes. For example, along the valley border of the Rio Grande in southcentral New Mexico, mosaics of gravelly soil types with varying argillic and calcic horizon development are intermingled on relict piedmont landforms. Piedmont landforms are interspersed by gravelly washes, and this basic arrangement repeats throughout the SGS (Fig. 2, panel III). Thus, SGSs capture soil-landform relationships across multiple associated landforms (Birkeland 1999) and are similar to the concepts of the “soil-geomorphic template” (Monger and Bestelmeyer 2006), “landscape” in the sense of Zonneveld (1989) and Cleland et al. (1997), and the “ecosystem cluster” of Forman (1995). In the Basin and Range physiographic province of North America, for example, SGSs can be delineated as half-basins (see Peterson 1981; Gile and Grossman 1997; and especially West et al. 2005) that span an area bounded by north-south mountain crests and the midline of the basin floors and, perpendicular to this dimension, a region of similar combinations of parent materials. In this case, samples could be stratified based on landforms and slope components to understand the consequences of hydrological linkages. In an SGS defined by wind erosion and deposition, one might locate samples to characterize both sediment source areas and downwind depositional areas. Overall, it is important to recognize that representatives of an ecological site can vary in their landscape context across a region. SGSs highlight the varying context of sampling points and allow local measurements to be interpreted with respect to landscape linkages as well as local ecological site and state properties.

Third, *ecological sites*, considered as a spatial unit, occupy distinct soils and topographic positions within an SGS (similar to the land units of Cleland et al. 1997). Because ecological sites are linked to soil map unit components in the United States, ecological sites are sometimes combined in soil mapping units featuring associations, consociations, or complexes. Nonetheless, for the purposes of initial stratification, many soil map units can usefully be classified according to the spatially-dominant ecological site within them (Fig. 2, panel III) while recognizing that they are not necessarily homogeneous. Visual cues obtained in the field (e.g., surface soil color, gravel, slope) are used to stratify samples more precisely. Where soil surveys (e.g., <http://soildatamart.nrcs.usda.gov/>) do not exist, areas occurring on different landform components are likely to feature different ecological sites and can be recognized prior to inventory via digital elevation models, satellite imagery, or topographic maps. Spatially-dominant or otherwise important ecological sites (so-called “benchmark ecological sites”) within a region can be used to prioritize sampling efforts.

Fourth, *states or phases* are treated as spatial units by recognizing that different ecological site delineations, or even

portions of individual delineations (Fig. 2, panel III), can occur in alternative states/phases. Vegetation maps based on standardized vegetation classifications available through some Gap analysis programs and other detailed mapping efforts (<http://www.natureserve.org/prodServices/ecomapping.jsp>) can be used to infer possible states and phases. Patchiness, texture, and spectral differences related to differences in both ecological sites and alternative states can be recognized in globally-available high-resolution imagery (<http://earth.google.com/>) and Landsat imagery (<http://worldwind.arc.nasa.gov>). Automated image classification methods or manual mapping turn these data into map polygons reflecting preliminary ecological sites and states within them. Stratification can be made less explicit by simply specifying sampling points in patches that are suspected to reflect distinct sites and states.

Fifth, *patches* within a state should help define the size of the plot within which data are gathered. Patches can be expressed at different scales, depending on the processes of interest, ranging from fire effects at the scale of hectares (e.g., Fuhlendorf and Engle 2004) to mosaics of plants and bare soil caused by facilitation, erosion, and hydrological processes operating at the scale of meters (Bisigato and Bertiller 1997; Ares et al. 2003; Rietkerk et al. 2004; see Fig. 2, panel IV). Patchiness also helps to distinguish states and specify “at-risk” community phases within the reference state. Plots should be sized so that differences in patch structure related to differences in transition processes can be detected. In some ecological sites or SGSs, ground plots might be an impractical means to assess patchiness, and remotely sensed imagery is needed.

4) Three-Tier Sampling, Part 2: Medium-Intensity Inventory

In this step, samples are stratified by variations of interest recognized via traverses and geospatial data in order to test concepts or hypotheses. For example, one might ask whether the distribution of a state throughout a Land Resource Unit, or across a sharp ecotone at a local scale, is related to land-use history, soil variation, or climate. Samples can be carefully stratified across multiple gradients in order to disentangle the effects of moderately correlated variables. In general, sampling strategies are designed to investigate the interrelationships of ecological sites, states, and community phases (e.g., Miller et al. 2001; Bestelmeyer et al. 2006). These data constitute the bulk of quantitative data used to test ecological site and state classes (see Example below) as well as to define mapping units.

Simple soil pedon descriptions should be sufficient to distinguish key soil characteristics used to identify ecological sites. Sampling with soil augers or shallow (e.g., 1 m) soil pits (Schoeneberger et al. 2002) is usually appropriate. The depth of sampling needed to distinguish important soil attributes depends on soil and climate. Soils that require deeper observations are those in more humid regions as well as coarser and deeper soils (see Gile et al. 1998). Ecologically important soil attributes are observed in situ (e.g., soil horizons, soil structure, rock fragments) and samples of horizons are gathered for possible laboratory analysis (e.g., soil texture, calcium carbonate content, salinity/sodicity). Vegetation and soil surface data are gathered using a rapid protocol featuring ocular estimation of vegetation cover and nominal indicators. Alternatively, high intensity characteriza-

tion methods (see Step 8 below) might be preferred if ocular estimation is deemed incapable of capturing relevant information about states or phases, but this option increases the time spent per sample. It is useful if the combined vegetation and soil protocol maintains a similar pace between soil and vegetation sampling (if performed by different personnel), thereby increasing efficiency and sample size.

In the northern Chihuahuan Desert and Colorado Plateau, the protocol has been performed in 20×20 m square plots where the center of the plot is defined by the soil sample. This plot size was selected to be large enough to represent patchiness in the plant communities and soil surface properties (e.g., rare grass species and bare ground patterns) in the study areas we tested, but small enough to link the soil characterized in the center of the plot to the surrounding plant community. Plot sizes can be adjusted depending on variations in vegetation and soil pattern.

Ocular estimation provides a complete census of species in the plot and provides rough, relative cover estimates. Both can be useful in defining states based on remnant vegetation (e.g., Briske et al. 2006). Simple pattern-based indicators assist in rapidly characterizing soil surface conditions (see the Ecological Site Description Resources webpage of the Jornada Experimental Range [Pedoderm Indicators] and also Tongway and Hindley 2004).

5) Database Development

A practical limitation to relating vegetation and soil data has been the lack of a database to house plot data on vegetation and soil properties from identical, georeferenced locations. For example, the National Cooperative Soil Survey and the USDA–NRCS have separate databases for point soil data and ecological site inventory data (i.e., the National Soil Information System and the Ecological Site Information System, respectively). Currently there is no mechanism to link these databases. A personal database was developed in Microsoft Access that links plant and soil inventory and monitoring data and is freely available (see Monitoring and Assessment webpage, Rangeland database; <http://usda-ars.nmsu.edu>). Queries generate tables that link vegetation and soil variables at points for quantitative analysis. Although the data underlying currently-available ESD reports are generally unavailable, publically-accessible point databases would enable broadened participation by the science and management communities in improving ecological sites and STMs.

6) Data Analysis

In examining the medium-intensity inventory data, it is useful to view the occurrence of alternative states as a combined function of inherent differences in soils and variation in land-use effects. The magnitude of these two effects often cannot be easily disentangled, but we can ask how plant species relate to one another and how soil and climate affect plant distributions (e.g., Jacobs et al. 2008). Researchers usually take widely varying approaches to these kinds of questions with different statistical tools and varying levels of sophistication. The data produced by the sampling approaches described here most easily lend themselves to simple scatterplots in two or three dimensions. Such plots can be used to evaluate the strength of correlations or to look for discontinuities across gradients. The

multimodel inference approach of Burnham and Anderson (2002) allows a rigorous evaluation of the evidence for alternative statistical models and the importance of particular factors. The development of STMs is especially well-suited to specifying and testing alternative models about the existence and origins of alternative states.

A drawback of traditional linear models, however, is their emphasis on mean responses. Alternatively, quantile regression offers the ability to examine relationships at other parts of the response distribution, most notably at the extreme quantiles (i.e., 5–10% and 90–95%; Cade and Noon 2003). The distribution of a response variable in a cloud of data points in x–y space is a useful basis for ecological site development because ecological sites explicitly acknowledge that the mean relationship between a predictor variable (e.g., soil depth) and a response variable (e.g., grass cover) at ecological potential might be obscured by local land use or climate history and transitions to alternative states.

Thus, relationships at the upper and lower boundaries of a data cloud might reveal fundamental, ecologically limiting factors (Scharf et al. 1998; Cade and Noon 2003). The distribution of points within these boundaries reflects a variety of other (often unmeasured) effects, such as disturbance history. Thus, for example, we can assert that those points near the upper boundary of a native vegetation productivity–environment relationship reflect, as best we can measure it in inventory, reference conditions. All else being equal, data points falling far below this upper boundary represent extreme departures from the reference and could represent post-threshold states. If the points were from a broad-scale inventory, the distribution of points in various quantiles below the upper limit could reflect the dominant processes at work in a landscape (e.g., Cade and Noon 2003). Although a number of ideas and observations must be included to support such assertions, we suggest that quantile regression is an especially useful tool to develop ecological sites.

7) Refine State-and-Transition Model and Ecological Site Concepts

At this stage, analysis and interpretation of medium-intensity data are used to revisit the initial concepts. Additional ecological sites might be recognized or two sites may be fused together. STMs might be modified to recognize that, for example, some plant communities formerly considered as alternative states actually reflect reference conditions of another ecological site (Bestelmeyer et al. 2003). Medium-intensity data can be used to distinguish quantitatively ecological sites and states in ESDs, considering the range of variation observed in the samples. Statistical patterns in inventory data should suggest hypotheses regarding the temporal relationships among states and mechanisms of transition that can be tested experimentally.

8) Three-Tier Sampling, Part 3: High-Intensity Characterization and Monitoring

Once concepts of ecological sites and states are well-established, a statistical random sample of states are characterized in detail and monitored. Intensive measurements can be used to understand how states function by quantifying (and testing) the relationships among properties involved in key feedbacks

asserted in STMs, such as plant cover and soil surface properties. Comparisons of the properties of alternative states based on a statistical sample are used to communicate unambiguously the criteria for states, especially for the reference state. Monitoring is used to characterize temporal processes and to test statements about autogenic recovery that are asserted in STMs.

Recommended function-based measurements include line-point intercept transects for soil surface cover, basal cover, and canopy cover, as well as transects for basal gap intercept (Herrick et al. 2005). These measurements provide precise information about both plant composition and the influence of plants on soils and surface hydrology. A census of important rare species not recorded on the line-point intercept transects should be performed. Belt transects (or similar technique) should be used for systems threatened by colonization of woody or invasive species. Dynamic soil property measurements are made to a depth of 30–60 cm and can include soil aggregate stability, bulk density, and percentage of soil organic matter (SOM) or individual SOM fractions in the upper soil horizons (see Tugel et al. 2005). Finally, production estimates are gathered from within the plot following common techniques (Herrick et al. 2005). Irrespective of these general recommendations, it is important to match the measurements gathered to the structures and processes believed to govern states and transitions.

Once ecological sites, states, and phases are clearly defined, data from a variety of sources can be linked directly to them. In this way, ecological sites and STMs can be used as data libraries that link information about ecological properties and dynamics to land units. This information can include biodiversity, carbon sequestration potential, long-term trends in net primary productivity, and livestock grazing uses. Once this information is linked to STMs in databases, maps of states can serve as a means to represent spatially the existing and possible levels of multiple ecosystem services.

EXAMPLE: TESTING AND REFINING STATE AND ECOLOGICAL SITE CONCEPTS

We provide a simplified example to illustrate how inferences can be drawn from inventory data collected following our approach. First, we used existing soil surveys, ESDs, and low-intensity traverses to delineate a “valley-border ballena” soil-geomorphic system (ca. 4 500 km²) for focused study within the Chihuahuan Desert ecoregion, in south-central New Mexico, USA (Fig. 2, panel II). The characteristic landforms of the area are relict fan piedmonts, ballenas, and inset fans (sensu Peterson 1981). Dominant, mapped soils on relict landforms in this area are currently associated with a single ecological site (Gravelly; see USDA–NRCS 2002). Second, we used the conceptual state-and-transition model developed via interviews and literature to consider hypotheses regarding the encroachment of creosotebush (*Larrea tridentata* [DC.] Coville) into former perennial shrub-savannas (grasses are mostly black grama, *Bouteloua eriopoda* [Torr.] Torr. and bush muhly, *Muhlenbergia porteri* Scribn. ex Beal.). The low-intensity field surveys revealed that many areas currently exist in the historical (reference) shrub-savanna state, whereas

others are in a degraded, shrubland state within the same ecological site, on similar landscape positions, and sometimes within the same management unit. Because the area is located on relict landforms that are hydrologically disconnected from adjacent landforms, the effects of landscape context need not be considered. So we asked, does the occurrence of different states relate consistently to a soil horizon or climate property (suggesting that the putative states might in fact occur on different ecological sites) or are the states unrelated to soil/landform properties (suggesting that they are true alternative states reflecting spatial variation in historical events)?

We tested these alternatives by sampling vegetation and soils in 78 plots located across the valley-border ballena SGS. Sampling followed a combination of medium- and high-intensity procedures described earlier (including line-point intercept transects for vegetation cover in 20 × 20 m plots, 10 lines/plot, 2 m between lines, 1 m between points, and soil pits to 75 cm to characterize soil horizons). We used quantile regression (BLOSSOM software; Cade and Richards 2005) to describe relationships between response variables and key explanatory variables. Significance is based on nonparametric quantile rankscore tests with 10 000 permutations.

Our assessment of dominant explanatory variables revealed that perennial grass canopy cover had a negative exponential relationship with *Larrea* canopy cover at the 50th (conditional median) quantile (asymptotic rank score test = 8.72; $P = 0.003$), and increasing *Larrea* placed a significant, decreasing upper bound on cover of perennial grasses at the 90th quantile (asymptotic rank score test = 7.86; $P = 0.005$; Fig. 3a). Beyond ca. 12% *Larrea* cover, grass cover is never observed to be higher than ca. 15%. This observation is consistent with alternative states. Points falling far below the upper bound at low *Larrea* cover values might represent plant communities at risk of persistent degradation, following the idea that management-induced low grass cover facilitates shrub encroachment and soil degradation. These would be ideal points for long-term monitoring. The points that define the upper bound, on the other hand, might represent the current potential for perennial grass cover in the SGS.

The question remains, what governs *Larrea* cover? We found a significant and strong relationship between *Larrea* cover and CaCO₃ (% by volume of the soil fraction) in the first B soil horizon (see Bestelmeyer et al. 2006 for laboratory technique) at the 50th quantile (asymptotic rank score test = 29.3; $P < 0.0001$; Fig 3b). Furthermore, increasing CaCO₃ placed a significant, increasing lower limit to *Larrea* cover at the 10th quantile (asymptotic rank score test = 5.99; $P = 0.01$). Thus, when CaCO₃ content of the upper B horizon was high (e.g., >20%) *Larrea* cover was never low. Although we currently have not tested any mechanism that explains this relationship, we conclude that *Larrea* cover is governed by CaCO₃ content, or a soil property that is strongly correlated with CaCO₃ content, such as phosphorus availability. Because minimum *Larrea* cover is strongly correlated with a soil property that is independent of land-use history (Gile 1961), there is support for recognizing an additional ecological site, “calcareous gravelly,” that would feature a greater propensity for shrub dominance and low grass cover.

Additionally, we ocularly estimated a simple indicator of vegetation patch spatial pattern during the inventory: the

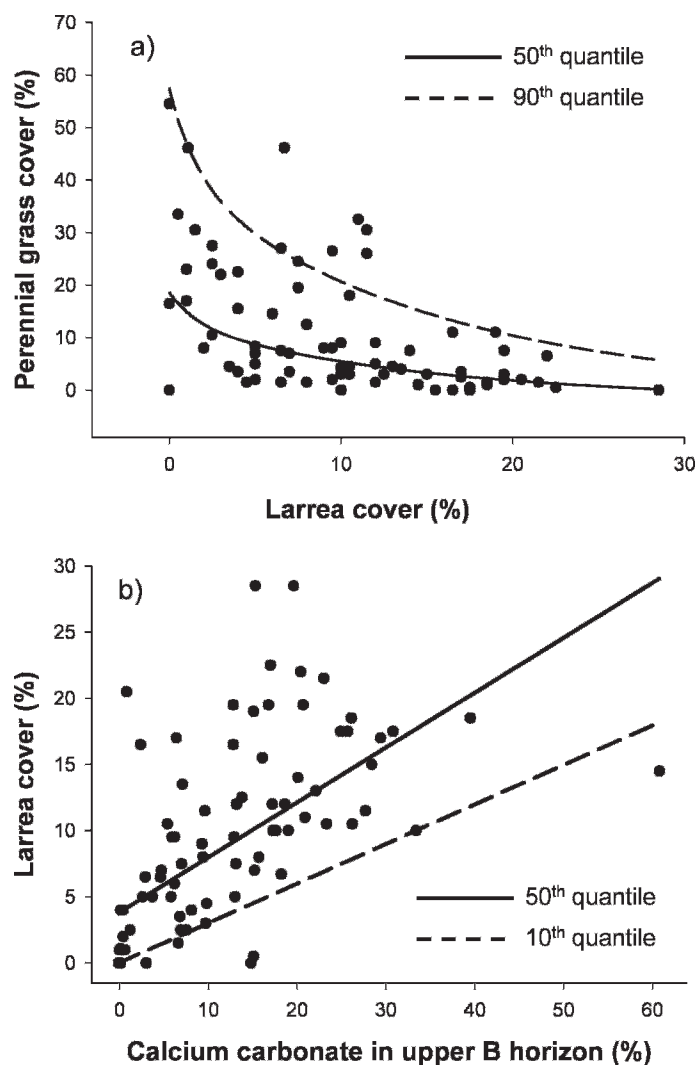


Figure 3. **a**, Relationship between percent canopy cover of *Larrea tridentata* and perennial grass cover in the valley border ballena soil-geomorphic system. The solid line is fit to a negative binomial function for the 50th quantile and the dashed line to the 90th quantile. See text. **b**, Relationship between percent calcium carbonate in the shallowest B soil horizon (e.g., Btk1) and percent canopy cover of *Larrea tridentata*. The solid line is fit to a linear function for the 50th quantile and the dashed line to the 10th quantile.

percent of the perennial grass cover that was restricted to soil mounds beneath the *Larrea* shrubs. Values range from 100% (all grasses present occupy shrub mounds) to zero (grasses are not found on shrub mounds). High values of this indicator suggest that soil interspaces between shrubs might be degraded and that the site will not recover much grass cover were the shrubs to be removed via intensive manipulations. At low cover values of perennial grasses, an even distribution of those grasses (low to moderate indicator values) raises hope that the shrub interspaces are capable of supporting additional grasses with favorable management. The inventory data indicate that both high and low indicator values occur at similar levels of perennial grass cover (Fig. 4). These observations suggest that patchiness indicators might be useful for defining alternative states and that cover values alone might not be sufficient to

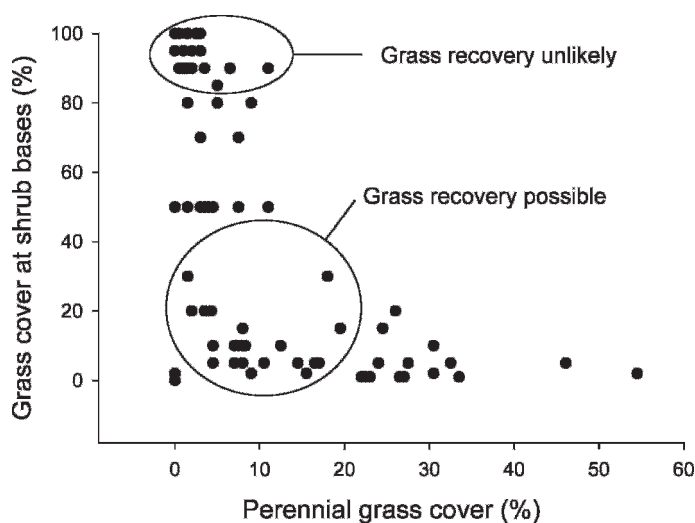


Figure 4. The relationship between the visually estimated percent grass cover in a 400 m² plot that was under shrub canopies and percent canopy cover of grasses recorded in the valley border ballena soil-geomorphic system.

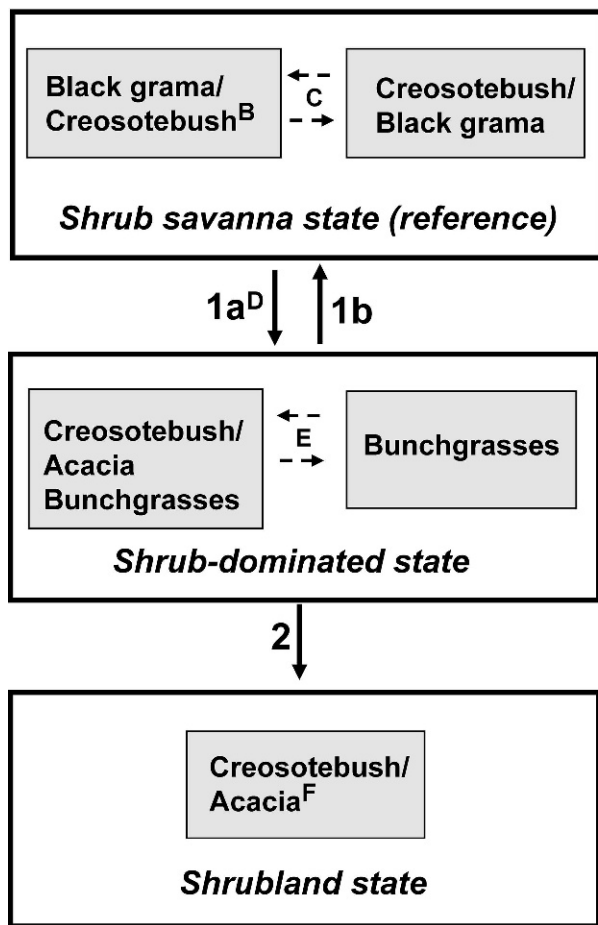
distinguish states. The predictive value of the indicator is being tested in restoration experiments.

The STM for the Gravelly ecological site now draws its structure from a variety of information sources (Fig. 5). First, inventory data suggest that at least two ecological sites should be recognized; sites with high levels of calcium carbonate in the upper B horizon are more prone to shrub dominance. General Land Office Surveys (from 1858) establish that areas currently occupied by shrubland states (on both high- and low-carbonate soils) were once grasslands or savannas (Gibbens et al. 2005); inventory indicates that these reference conditions are preserved in some locations. Repeat photography before and after reduction of stocking densities documents resilience of the reference state over 28 yr when it was heavily grazed (but when grasses remained in interspaces). Repeat photography also documents transitions to a shrub-dominated state although the causes are unknown and novel shrub species (*Acacia neovernicosa* Isely) are involved. Long-term monitoring of shrub-control experiments in shrub-dominated states of Gravelly soils (Havstad et al. 1999) indicates that black grama recolonization of shrub interspaces can take many decades; the reference state might never be recovered (and see Perkins et al. 2006). Monitoring and repeat photography suggest that the shrubland state can be persistent and not experience periodic recruitment of bunchgrasses, in contrast with the shrub-dominated state. This example illustrates the value in combining inventory, historical documentation, expert knowledge, and monitoring experiments to provide evidence for the structure of STMs and to illustrate where additional data are needed. Any individual data source can address only specific elements of model structure.

MANAGEMENT IMPLICATIONS

Although admirable progress has been made to uncover general factors regulating ecosystem function (e.g., Reynolds et al.

MLRA 42.2; Gravelly ecological site (loamy-skeletal/gravelly Haplocalcids, Petrocalcids^A)



Sources of data for elements of ESD and model

- A. Inventory of vegetation/soils suggests carbonatic soils and shallow petrocalcids belong to a different ecological site.
- B. Georeferenced General Land Office Survey data indicate a savanna reference state; inventory confirms existence.
- C. Repeat photography of a site with low grazing intensity since 1970 indicates recovery of reference phase in 28 y.
- D. Repeat photography of two sites suggests that *Acacia* spp. are important invaders since 1970.
- E. Shrub control experiment suggests that black grama does not reestablish dominance in 56 y, but does increase in cover.
- F. Monitoring indicates stability of this phase; inventory data indicate that shrubland state can be found on similar soils as reference state.

Figure 5. A simplified state-and-transition model for the Gravelly ecological site in MLRA 42.2 of southern New Mexico. Sources of data used to support specific elements of the model are referenced to superscripts in the diagram. Transition **1a**, Episodes of heavy grazing and drought associated with shrub expansion; **1b**, Shrub control or fire alongside prescribed grazing; **2**, Persistent reduction in grasses, erosion, soil degradation.

2004), we often have little understanding of how landscape heterogeneity mediates ecological function and resilience. The scientific void is reflected to varying degrees in many land classifications and STMs. Thus, much of the scientific basis for these tools will need to be built from the ground up. The strategy we outlined provides a practical approach to science support by integrating spatial data and expert knowledge with new and existing field data.

The strategy begins with a clear articulation of concepts based on expert knowledge, literature, and rapid field observations across the focal climate zone. Field observations are combined with geospatial data to stratify the region at multiple scales. Medium-intensity inventory based on the stratification is used to test alternative hypotheses about the properties of ecological sites and STMs. The data are housed in a database designed to relate vegetation, soils, and indicators. Analysis of inventory data asks, for example, whether there is evidence for alternative states and whether putative states should be viewed as alternatives for a particular ecological site. Based on the analysis, concepts for ecological sites and STMs are reconsidered. High-intensity sampling and monitoring is then used to characterize the ecological functions of states. The

development of quantitative support for an STM and ecological site should not simply be used to legitimize the informal concepts of the researcher. Inventory and other data that are collected as part of our strategy can be used to discover previously unrecognized relationships and fundamentally change our perception of landscape function.

Implementation of our strategy would benefit from new training opportunities and collaborative activities that bridge rangeland and forest ecology to pedology, geomorphology, and landscape ecology. Ecologists who are well-versed in soil science are rare and often learn the relationship between plant ecology and soil science informally and "on the job" with their mentors. Improved interdisciplinary collaboration would also have clear benefits. Ecological site development works best if there is iterative feedback between ecologists and soil scientists in creating ecological site concepts and designing maps. New university curricula in natural resources and university-interagency institutes, coupled to funding opportunities, could provide improved training and coordination activities. Societies already invest substantial resources in monitoring, regulation, and restoration activities; a similar investment in land classification and STM concepts would help ensure that these resources are used effectively.

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