# Supplemental material for

"Nature and People in the Andes, East African Mountains, European Alps, and Hindu Kush Himalaya: Current Research and Future Directions", by Davnah Payne, Mark Snethlage, Jonas Geschke, Eva M. Spehn, and Markus Fischer, published in *Mountain Research and Development* 40(2), 2020. (See <a href="https://bioone.org/toc/mred/40/2">https://bioone.org/toc/mred/40/2</a>)

**TABLE S1**Ecosystem services (Nature's Contributions to People) details.

Explicit wording	Wording used in the text and figures	
Habitat creation and maintenance	habitat	
Regulation of air quality	air & climate	
Regulation of climate		
Regulation of ocean acidification		
Regulation of freshwater quantity, location and timing	water & ocean	
Regulation of freshwater and coastal water quality		
Formation, protection and decontamination of soils, and sediments	soil & hazards	
Regulation of hazards and extreme events		
Pollination and dispersal of seeds and other propagules	pest & pollination	
Regulation of organisms detrimental to humans		
Food and feed	food & medicine	
Medicinal, biochemical and genetic resources		
Materials and assistance	energy & materials	
Energy		
Learning and inspiration		
Physical and psychological experiences	cultural	
Supporting identities		
Maintenance of options		

TABLE S2	Search strings used for the literature selection.
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Nature and biodiversity	biodiversity OR ecosystem OR habitat OR "natural capital" OR "natural asset" OR "Mother Earth" OR "system of life"
Geographic scope	Andes: Andes OR Andean
	East African Mountains: kilimanjaro OR virunga OR mitumba OR rwenzori OR ruwenzori OR "mount kenya" OR "mt kenya" OR "mt. kenya" OR "mount elgon" OR "mt. elgon" OR "mt elgon" OR aberdare OR itombwe OR "cherangani hills" OR "mount meru" OR "mt. meru" OR "eastern arc mountains" OR "imatong mountains" OR "lenkiyio hills" OR "cherangani hills" OR "marungu highlands" OR "kipengere range" OR "rungwe mountains" OR "makutu mountains" OR "vipya mountains" OR (("east africa" OR "eastern africa") AND (afromontane OR mountain OR alpine)) European Alps: "European Alps" OR (Europe AND Alps)
	Hindu Kush Himalaya: Himalaya OR "Hindu Kush" OR "Hindukush"
State of and trends in	"conservation state" OR "conservation status" OR "ecological condition" OR trend
biodiversity	
Direct drivers	"direct driver" OR "anthropogenic driver" OR "natural driver" OR "land use change" OR "climate change" OR pollution OR
	"invasive* species" OR overexploitation
Indirect drivers	"indirect driver" OR "economic driver" OR "institutional driver" OR "cultural driver" OR "religious driver" OR "demographic driver"
	OR "scientific driver" OR "technological driver"
Ecosystem services	"ecosystem service" OR "nature's benefit" OR "nature's contribution" OR "material service" OR "non-material service" OR
-	"cultural service" OR "regulating service" OR "supporting service" OR "provisioning service"
Human wellbeing	"human wellbeing" OR "human well-being" OR livelihood OR "quality of life" OR "Living in harmony with nature"
Responses	governance OR institution OR institutional

# **TABLE S3**Values used in coding abstracts.

DIMENSIONS	VALUES
Species	Bacteria / algae; plants; invertebrates; vertebrates; agrobiodiversity
Ecosystems	above the treeline; forest; grassland; freshwater; agricultural land, highly modified (urban)
Ecosystem services (general)	regulating, material, non-material
Ecosystem services (details)	see Table S1
Human wellbeing	Livelihoods; material wellbeing; physical wellbeing; social wellbeing; security; freedom of choice
Interactions	State; trend; trade-off; synergy; teleconnections
Direct drivers	land use change; climate change; pollution; invasive species; overexploitation; other
Indirect drivers	Institutional; demographic; scientific and technological; economic; cultural and religious
Responses	Legal, regulatory and policy instruments; economic and financial instruments; social and information based instruments; rights based instruments and customary norms; research and monitoring; education, training and capacity building; planning; ecosystem and species management; climate change adaptation and mitigation; other
Sustainable	SDG 1: No Poverty; SDG 2: Zero Hunger; SDG 3: Good Health and Well-being; SDG 4: Quality Education; SDG 5: Gender Equality; SDG 6:
Development Goals (SDGs)	Clean Water and Sanitation; SDG 7: Affordable and Clean Energy; SDG 8: Decent Work and Economic Growth; SDG 9: Industry, Innovation and Infrastructure; SDG 10: Reduced Inequality; SDG 11: Sustainable Cities and Communities; SDG 12: Responsible Consumption and Production; SDG 13: Climate Action; SDG 14: Life Below Water; SDG 15: Life on Land; SDG 16: Peace and Justice Strong Institutions; SDG 17: Partnerships to achieve the Goal
Aichi targets	1. People more aware of biodiversity values; 2. Biodiversity integrated into development; 3. Perverse incentives and subsidies removed; 4. Sustainable production and consumption; 5. Rate of loss of natural habitats halved; 6. Sustainable harvests of fisheries; 7. Sustainable agriculture, aquaculture and forestry; 8. Pollution brought to safe levels; 9. Invasive species control; 10. Climate impacts on biodiversity minimized; 11. Protected areas cover 17% of terrestrial and inland water, 10% of coastal and marine; 12. Extinction of threatened species prevented; 13. Genetic diversity of cultivated biota maintained; 14. Ecosystem services restored and safeguarded; 15. Ecosystems resilience and contribution to carbon enhanced; 16. Nagoya Protocol enforced; 17. National biodiversity action plans implemented; 18. Traditional knowledge respected, full participation of indigenous and local communities; 19. Science and knowledge shared; 20. Sufficient finances mobilized

**APPENDIX S1** Literature-based assessment for the article "Nature and People in the Andes, East African Mountains, European Alps, and Hindu Kush Himalaya: Current Research and Future Directions", by Davnah Payne, Mark Snethlage, Jonas Geschke, Eva M. Spehn, and Markus Fischer, published in *Mountain Research and Development* 40(2), 2020. (See <a href="https://bioone.org/toc/mred/40/2">https://bioone.org/toc/mred/40/2</a>)

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## 1. Biodiversity and ecosystems

### 1.1 The Andes

The Andes follow the entire west coast of South America across a wide range of latitudes, and is thereby the longest mountain range on Earth (Campetella & Vera, 2002). On the highest elevations, even at tropical (lower) latitudes, glaciers represent some of the most distinctive feature. Ecosystems surrounding the glaciers above the treeline consist primarily of fragile grassland and tundra. These so-called *páramo* and *puna* occur along the full length of the Andes (Arroyo and Cavieres 2013; Peyre et al. 2015; Benavides et al. 2018; Calderón-Hernández and Pérez-Martínez 2018; Rodríguez et al. 2018). They often co-occur with high altitude wetlands (peat bogs and mires), locally known as *bofedales*, which accumulate high levels of soil organic carbon. Lower down the altitudinal gradients, between the mountains and the Amazon, forests such as the *yungas* in Bolivia (Estado Plurinacional de Bolivia 2015) prevail.

Species diversity and levels of endemism are very high. This is likely due to the Andes' geological history, including mountain-building events (Sanín et al. 2016), as well as their diversity in elevations, past and present climates, past colonization events (Bacon et al. 2018), and in their soils (Ministerio del Ambiente 2015; Ministerio del Ambiente del Ecuador 2015; Benham and Witt 2016; Muñoz-Mendoza et al. 2017). Both species diversity, including lizards, birds, pollinating insects, and large mammals (e.g. the threatened Andean Condor (Vultur gryphus), Mountain Tapir (Tapirus pinchaque), and Andean Bear (Tremarctos ornatus)) (IPBES 2018a), and levels of endemism are particularly high in the páramo and the puna, which are supposedly also home to the world's richest alpine flora (Sklenář et al. 2014; Padilla-González et al. 2017). Despite a noticeable tendency towards the conversion of the páramo and puna into forest plantations and agricultural fields in certain parts of the northern Andes, these high elevation habitats remain the least transformed of the American biomes (IPBES 2018a). However, the local reduction in the extent of the páramo can be significant, especially where human population is high and depends on the páramos' ecosystem services. Other regions of high endemism include the much smaller Tucuman-Bolivian forest, which is in need of urgent conservation (Perger and Guerra 2016), whereas species richness is also very high in the mountain forests. Forests occupying the altitudinal gradient towards the Amazon as well as in the Chocó forests on the Northwestern Coast are particularly rich in species (Palomino-Ángel et al. 2019). However, many native mountain forests have sharply declined in extent, such as in Chile (Ministerio del Medio Ambiente 2014) and in in the lower reaches and intra-montane valleys of Colombia (de Luna and Link 2018; Murillo-Sandoval et al. 2018). In Ecuador, changes are more pronounced in the number of plant species in forests, with high extinction rates in patches of Andean forests characterized by high biodiversity and endemism (Pitman et al. 2002). High Andean peatlands are also considered oases of biodiversity (Izquierdo et al. 2015; Cochi Machaca et al. 2018), with notably a high diversity of (wetland) bird species in locations where hydrological conditions (water levels) are good (Tellería et al. 2006).

Water quality of the Andean rivers is generally highly variable and tends to worsen during droughts (Ministerio del Medio Ambiente 2014). This represents a considerable environmental issue, in particular for so-called "white waters", such as the western Orinoco tributaries, that are nutrient rich and support rivers rich in fish and fertile floodplains (Lasso et al. 2016).

#### 1.2 The East African Mountains

The East African Mountains consists of isolated mountain massifs, of which three (Mount Kilimanjaro, Mount Kenya, and the Rwenzori Massif) are the highest mountains of the African continent (Alweny et al. 2014). These massifs are embedded within the dry lowland savannas, cloud forest remnants typically cover their peaks and ridges (Habel, Cox, et al. 2013), and many of the major rivers in the region, such as the Nile, originate there. In spite of their location around the Equator, many of the mountains and highland areas in the region experience frost during part of the year (Kotikot and Onywere 2015). The region is part of the Eastern Afromontane biodiversity hotspot (Myers et al. 2000) and home to two major mountain areas of biological rich highland forest and moorland habitats: the Albertine Rift and the Eastern Arc Mountains (IPBES 2018b). Further mountains include the volcanoes of the Kenyan and Tanzanian Highlands (e.g., Mount Kilimanjaro, Mount Meru, Mount Kenya, Mount Elgon, and the Aberdares Range). Yet, unlike these younger mountains typically support less biodiversity than their more ancient counterparts (IPBES 2018b). The East African Mountains are rich in species, many of which are endemic, with about 500 mammal (including 100 endemics), 1300 bird (including 157 endemics), 350 reptile as well as 323 amphibian species (Mittermeier et al. 2004). Species richness is particularly high in the mountain cloud forests, which are surrounded by heavily encroached lowland savannas (e.g., Habel et al. 2013; Musila et al. 2019). These species-rich forests are particularly at risk, with rapid declines in both area and condition (Marije Schaafsma et al., 2014; Mairal et al., 2017). Between 1908 and 2000, forest cover declined by 74% in the Eastern Arc Mountains (Willcock et al. 2016), reaching 98% at lower altitudes in some areas (Burgess et al. 2017). Accordingly, recent literature estimates the current forest cover in the Eastern Arc Mountain to represent only 20% of the original one (IPBES 2018b). With the designation of most of the remaining forests as protected in 2000, much smaller declines have been observed than when intensive logging was happening in the mid-19<sup>th</sup> (Hall et al. 2009) and even indications of recovery exist. However, while these protected areas play an important role for forest recovery, their network does not adequately cover the distribution areas of many species (Meng et al. 2016). In addition, protected area downgrading (e.g., Virunga National Park for oil and gas exploration, Qin et al. 2019), downsizing, and degazettement as well as illegal activities within designated protected areas remain a threat to these East African Mountain ecosystems, with extensive and severe degradation, such as in the Volcanoes National Park (Derhé et al. 2019).

Besides natural ecosystems such as protected mountain forests, various land use types ranging from agroforestry to fallows are also important habitats for plants and vertebrates of conservation value (Vihemäki et al. 2013). Ecosystems above the treeline play an important role as well but are relatively rare, as in the Eastern Afromontane region only a few mountains have a treeline. Such ecosystems can be found at altitudes of 3400 m.a.s.l. and above (McGinley 2009) on the highest mountains such as Mount Kenya, Mount Kilimanjaro, and the Ruwenzori, which all have glaciers (NEMA 2014). With their strong altitudinal gradients, these mountains are particularly species richness (Mairal et al. 2017; Zhou et al. 2018; Capitani et al. 2019) and have even witnessed the arrival of new plants colonizing the space left open by retreating glaciers (Detsch et al. 2016; Courtney Mustaphi et al. 2017). Although still largely intact due to their inaccessibility (Republic of Kenya 2015), ecosystems above the treeline have undergone significant changes over the last decades. Further habitats of importance are freshwater habitats, such as those found in the Rwenzori and Albertine Rift area of Southwest Uganda. To date, these habitats are still in good condition in the higher reaches of the uplands but rapidly deteriorating on the mountain lower slopes (Musonge et al. 2019).

The East African Mountains already offer several refugia associated with long term climate stability in the quaternary and current mild climate (Barratt et al. 2017) and are likely to gain in importance as climatic refuge in a warmer future (Marshall et al. 2012).

## 1.3 The European Alps

The European Alps have a long history of cultural landscapes, including pastures as well as hay and wooded meadows. These cultural landscapes, which result from an extended process of socialecological coevolution (Schwörer et al. 2015; Gretter et al. 2018), have significantly changed over time, together with their associated high cultural and biological diversity (lanni et al. 2015). Over millennia, human agro-silvo-pastoral activities have lowered the treeline, altered grasslands (Pansu et al. 2015), and modified the composition of forests notably in the Northern Swiss Alps, where Norway Spruce (*Picea abies*) has gradually replaced the European Silver Fir (*Abies alba*) (Schwörer et al. 2015). Accordingly, landscapes are increasingly homogeneous at the local scale (Lavorel et al. 2017) and polarized at the larger scale (Ringler and Grabherr 2017). Declines in trends and condition are observed across all landscapes, including many wooded pastures (Kiebacher et al. 2017), species rich semi-natural grasslands (Umweltbundesamt 2014; Fedrigotti et al. 2016; Fondevilla et al. 2016; Barros et al. 2017), as well as traditional mixed-use (multifunctional) landscapes. These latter landscapes are typical of the Alps and include Larch grasslands (Nagler et al. 2015) and grassland patches in forested areas (Orlandi et al. 2016).

The richness of vascular plant, fungus, mosses, lichen, and insect species (particularly butterflies) is high in the Alps (Direction de l'Eau et de la Biodiversité 2014). It is particularly high at the transitions between mountain ecosystems (around the treeline, forest shrub ecotone), which makes them particularly important for species conservation (Jähnig et al. 2018). The high climatic and topographical heterogeneity is important in explaining high species diversity. Additional factors include centuries old land use patterns, such as wooded pastures (Kiebacher et al. 2017). However, as landscapes change, many species and functional groups are threatened. The emblematic Mountain Hare (Lepus timidus) for example is projected to experience a contraction of its distribution range, but trends of suitable habitat differ, with the greatest losses occurring at the Northern and Southern edges of the Alps (Bisi et al. 2015; Rehnus et al. 2018). In the case of birds, threats levels are particularly high in the Northern and Western Alps. Yet, breeding bird species inhabiting open and treeline habitats are threatened across all the Alps (Chamberlain et al. 2016) and high alpine birds such as the Black Grouse (Tetra tetrix, Viterbi et al. 2015; Marti 2018) and the Rock Ptarmigan (Lagopus mutus, Furrer et al. 2016; Ferrarini et al. 2017) show different trends across species and regions. Typical farmland birds have shown signs of decline, although later in the Alps than in large parts of Western Europe. Hedge breeders and southern European species, on the other hand, have shown signs of increase. This increase correlates with widespread changes in the vegetation (Korner et al. 2018). These changes include the greening of the vegetation in high alpine habitats (Carlson et al. 2017), which is indicative of longer and warmer growing season, increased biomass, and colonization of previously bare habitats. They also include the increase in vegetation cover (Rogora et al. 2018) and in forested areas (FOEN 2014; lanni et al. 2015) at the expense of grasslands on mountain slopes (Guidi et al. 2015), and changes in the treeline position (Thöle et al. 2016). Forest cover is projected to continue expanding under various land-use change scenarios, for instance in the Polish Carpathians and Swiss Alps (Price et al. 2017). The treeline in turn, which is largely conditioned by human use and has shifted downwards from its natural elevation (Körner 2012), is predicted to shift upwards under various future scenarios, thereby reducing the area of lower alpine grasslands by about 20% and the high alpine and nival zone by more than 50% (Pellissier et al. 2013).

In alpine ecosystems, diversity of vascular plant species (Lamprecht et al. 2018) has been found to increase over the last decade. However, plant species diversity and distribution are predicted to decline in the medium-term. For example, in the French Alps, declines of 10-23% in the beta taxonomic diversity of plant species are expected by 2050 (Thuiller et al. 2014) and as much as 150 high mountain plant species might see their range contract by as much as 44-50%, including various endemics (Dullinger et al. 2012). Several of these species that are predicted to be particularly affected in the future (Guerrina et al. 2016) are already declining (Stanisci et al. 2016). However, local conditions such as nutrient availability and timing of snowmelt may enable certain species to persist (Little et al. 2016). For example, in the short term, debris covered glaciers may persist below the treeline and support cold adapted plant life (Tampucci et al. 2016). Topographic complexity might also provide ectothermic insects such as alpine butterflies (Kleckova and Klecka 2016) and plants (Gentili et al. 2015) with microclimates in which to survive under warming climates. For certain species, (e.g., Crested Porcupine (Hystrix cristata) in Italy, Mori et al. 2018), current observations indicate range extensions from lower areas into the mountains, which is in line with predictions of an increase in species turnover preceding a possible extinction (extinction debt) (Engler et al. 2009). Forest composition in turn is predicted to change towards thermophilous species (Thöle et al. 2016).

Above the treeline, glaciers lost almost 50% of their total area between 1850 and 2000 (Zemp et al. 2006). Concomitantly, alterations have also happened to the hydro-morphological regimes of mountain rivers and to freshwater systems (Simoni et al. 2017; Mazzorana et al. 2018), including important changes to water quality in alpine lakes over the past millennia (Bajard et al. 2018). These changes are accelerating because of increased human pressure, from the western Alps in France (Bajard et al. 2018) to the Eastern Alps in Slovenia (Ravnikar et al. 2016). The expected impact of glacier retreat on ecosystems and species are numerous, ranging from the opening up of vast areas for vegetation establishment and growth (Tampucci et al. 2015), soil formation (D'Amico et al. 2015) and carbon accumulation in the soil, to effects on cold freshwater ecosystems species such as diatoms (Fell et al. 2018).

## 1.4 The Hindu Kush Himalaya

The Hindu Kush Himalaya region is the world's largest and most diverse mountain region. It notably harbours extensive cold deserts above the treeline and in the rain shadow (IPBES 2018c) but also a diversity of ecosystems, including alluvial grasslands and subtropical broadleaf forests in the foothills, temperate broadleaf forests in the middle elevations, mixed conifer and conifer forests higher up, and alpine meadows above the treeline (Ministry of Environment and Forests 2014). It is the region of all records, with the highest peaks in the world (IPBES 2018c) and the largest volume of ice and snow outside the Arctic and Antarctica. Because of the high ecosystems diversity, levels of biological diversity are extremely high, notably in the Himalayas biodiversity hotspot. Species diversity is also particularly high due to Pliocene and Pleistocene geological and glacial histories (Lei et al. 2015) and a great heterogeneity in environmental conditions (Paudel and Heinen 2015).

The Eastern Himalaya has approximately 9000 plant species, of which 39% (3500) are endemic (Ministry of Environment and Forests 2014). Of all ecosystems, alpine grasslands are particularly rich in (endemic) species (Barthlott et al. 2005). The Eastern Himalaya is also home to species-rich forests, including dry deciduous and cloud forests, which are among the most threatened high biodiversity terrestrial ecosystems (IPBES 2018c). Large predators such as Grey Wolf (*Canis lupus*), the Asian Black Bear (*Ursus thibetanus*) or the Snow Leopard (*Panthera uncia*) have been decreasing in the Himalayas although in certain protected areas and following the introduction of a livestock insurance scheme

local recoveries have been observed (Subba et al. 2017). To date, many alpine plant species in the Nepalese Himalayas are found to increase in frequency and relative abundance, yet with an unexpected overall downhill shift of species assemblages (Bhatta et al. 2018).

Given the extent of the Himalayas, large variations are observed in the status of and trends in biodiversity and ecosystems. Patterns of greening for example are complex, with differences between lower and higher elevation and between the Western and the Eastern Himalaya (Mishra & Chaudhuri, 2015). Large variations across the Hindu Kush Himalaya region occur also in the status of and trends in forest condition and extent, although forest loss from 2000 to 2010 has been generally high up to an altitude of 2400 m a.s.l. (Das et al. 2017). Indications for an upward shift of the treeline by about 300 m since the 1980ies also exist across most of the Himalayas (Prakash Singh et al. 2018). However, limited losses in forest cover (7.4% since 1976) are reported in the Indian Western Himalaya as well as in Bhutan, where cover is about 60% and long term trends are stable to positive (Bruggeman et al. 2016). Yet, while losses might be low (e.g., Western Himalaya, Chakraborty et al. 2017) to moderate (e.g., 9% decrease between 1990 and 2009 in the Nepalese part of the Kailash Sacred Landscape), fragmentation can be high (Uddin et al. 2015; Prakash Singh et al. 2018). In the Sikkim, large undisturbed forest cores have been diminishing in size, while open forests changed to dense forests and alpine meadows changed to alpine shrub (M. Sharma et al. 2016).

The Himalayas have a wide range of traditional agro-pastoral systems and of traditional agroforestry land-use practices. These cultural landscapes are important for biodiversity conservation (Singh et al. 2017) and have consistently more species than adjacent forest in the Central Himalaya (Sharma and Vetaas 2015). Yet, the condition of many rangelands in the subalpine zones has been declining with losses in grasslands productivity (Qamer et al. 2016). The condition of freshwater habitats is deteriorating as well, notably due to eutrophication (Pandit et al. 2016). This is true also for the approximately 17% of the Hindu Kush Himalaya covered by high altitude wetlands (Gupta and Shukla 2016).

## 2. Ecosystem services

## 2.1 The Andes

In the Andes, the highly diverse mountain ecosystems provide a wide range of ecosystem services (Ministerio del Ambiente 2015) that support about 105 million people in and around the mountains (FAO 2012; Ministerio del Ambiente 2015; Mills-Novoa et al. 2017). This is particularly the case for the páramos, puna, and associated peat bogs (Izquierdo et al. 2015) due to their high soil organic content (Valderrama et al. 2017). This organic content confers them exceptional hydrological properties that regulate water flows and increase their resilience against droughts (Iñiguez et al. 2015). This in turn determines their capacity for water regulation and supply (Gil Morales and Tobón Marín 2016; Guio Blanco et al. 2018; Quiroz Dahik et al. 2018). The carbon they store is also a sink that helps mitigate climate change (Forero Ulloa et al. 2015; Valderrama et al. 2017). In addition to water regulation and grazing land for livestock (Farley and Bremer 2017), other ecosystem services include recreation and tourism, cultural services, pollination, as well as seed dispersal (Ministerio del Ambiente 2015). Mountain ecosystems are also an important source of plants used for medicine, food, firewood, and domestic tools (Rodríguez et al. 2018). The use of medicinal plants in Southern Ecuador (e.g., Rios et al. 2017) and the wider Andes region is still prevalent among people of all socioeconomic levels, in urban as well as in rural areas (Tinitana et al. 2016). Besides the high elevation ones, other ecosystems that provide an exceptional range of important services are the Andean forests (Ministerio del Ambiente 2015), glaciers, and freshwater habitats. Services provided by forests include carbon sequestration (Jumbo-Salazar et al. 2017), pollination (Tinoco et al. 2018), and especially water regulation and provision (IPBES 2018a). Glaciers in turn also fulfil important ecological and socioeconomic functions (Young 2015), notably for the water resources they represent, and their disappearance bears multiple risks (Drenkhan et al. 2018). Freshwater habitats, including wetlands, lakes, and rivers are a source of protein for many Andean communities such as in Colombia (Olaya Rodríguez et al. 2017) but fulfil a much wider range of ecosystem services including pastures, water supply, recreation, and cultural services (Gandarillas R. et al. 2016). The central Andes (Bolivia, Peru) is also one of the seven key areas for the preservation of genetic diversity of crops (about 4300 known varieties of potato) and their wild relatives (Estado Plurinacional de Bolivia 2015).

#### 2.2 The East African Mountains

From a continental perspective, the East African Mountains make the biggest relative contribution to the wellbeing, livelihoods, and socio-economic development of populations in and beyond mountains (IPBES, 2018a) by providing ecosystem services to millions of people (Vice President's office 2014; IPBES 2018b; Capitani et al. 2019). They are especially important for water regulation (Shaban et al. 2016), which in turn affects the hydrology of the lowland wetlands upon which many communities depend (Näschen et al. 2018), ground water recharge, soil conservation, climate regulation, as a resource for tourism, and for their cultural values (Republic of Kenya 2015). In Tanzania, for example, more than 600 sacred groves exist in the North Pare Mountains (IPBES 2018b). Due to their key role in water regulation, management of the mountains water resources must be considered in a holistic way that integrats the needs of communities and sectors far beyond the mountain regions alone (Baker et al. 2015; Nyongesa et al. 2016). Although the East African region contributes moderately to global greenhouse gas emissions (Omambia et al. 2017), forest carbon stocks - and in particular above-ground stocks (Ensslin et al. 2015) - in East African Mountains are essential to mitigate climate change (Adhikari et al. 2017). Agroforestry systems at mid elevations on mountains such as Mount Kilimanjaro also store significantly higher amounts of soil organic carbon and above ground biomass than the surrounding lowland savannas (Mathew et al. 2016). At a regional scale, the Eastern Arc Mountains provide a wide range of regulating and material ecosystem services including water regulation and provision, energy - including hydroelectric power (Omambia et al. 2017), agricultural products (in particular vegetables, spices, and fruits), and non-timber forest products (NTFPs) (Vice President's office 2014). Its natural forests and woodlands specifically are an important source of hardwood, which contributes to national revenue. However, declining plank size and shifts to lower quality timber suggests unsustainable hardwood harvesting (Schaafsma et al. 2014), which might be caused by the fact that the benefits from hardwood trade go to people who do not depend on other (regulating) ecosystem services provided by these forests. Besides the hardwood of mountain forests, many ecosystem goods and services are paramount to individual regions' and countries' economic development. For example, Uganda's mountains are the water towers feeding the nation's energy supply, fisheries, irrigation agriculture, and industry. In the Albertine Rift, rich volcanic soils support a thriving agriculture, mainly tea and coffee, of which much flows into the international market (IPBES 2018b). Also NTPFs play an important role in the East African Mountains, as construction material, as well as for consumption, health, and as fuel. On Mount Kilimanjaro alone, the total number of useful plants is estimated at 563 (Mollel et al. 2017). Traditional agroforestry systems typical of the lower reaches of the East African Mountains (such as the Chagga homegardens on Mount Kilimanjaro) promote soil fertility and are thus more appropriate for agriculture than mono-cropping systems such as maize (Mganga et al. 2016; Pabst et al. 2016). Their promotion around mountain protected areas (e.g., Virunga National Park) can considerably lower the pressures on ecosystems and promote biodiversity (Dumont et al. 2019). Coffee agroforestry systems in the Aberdare ranges in turn provide a range of ecosystem services, and increase resilience against climate change as they can incorporate a wide variety of native tree species (Gram et al. 2018; Rahn et al. 2018; Lamond et al. 2019). Under business as usual, projected climate change and overexploitation of natural resources will severely affect the provision of these ecosystem services (Omambia et al. 2017; Capitani et al. 2019).

#### 2.3 The European Alps

In the European Alps, ecosystems have evolved over many centuries as a product of the interplay between human and ecological processes, and have resulted in heterogeneous cultural landscapes (BMU 2014) delivering multiple ecosystem services to mountain communities (Cantiani et al. 2016; Kohler et al. 2017; Lavorel et al. 2017). These resilient and complex social-ecological systems and the ecosystem services they deliver are nowadays under pressure from intense socio-economic changes coupled with changes in land use and climate (Fedrigotti et al. 2016). Changes in the growth conditions at the ecotones for instance have important consequences for the provision of ecosystem services in mountain regions with high population densities such as the European Alps (Jochner et al. 2017). As a result, over the course of the last century, the type of ecosystem services delivered by Alpine landscapes has changed from primarily material services to mainly regulating services (Egarter Vigl et al. 2016) and changes are also expected in the future, notably in response to climate change (Schirpke et al. 2017). However, different regions have had specific trajectories: some regions developed from single to multifunctional ecosystem service provision, some reduced the variety of services, and other remained rather stable. These dynamics reveal trade-offs between regulating and cultural services within the provisioning bundle. A significant change in the type of ecosystem services also comes from societal evolution and an increasing demand for cultural services, such as long vistas, with glaciers or lakes and open landscape, and options for tourism and recreational activities, of which the demand is particularly strong in urbanized areas (Schirpke et al. 2018). For example, the value of the Swiss landscape (mainly the Alps) for tourism is of approximately CHF 70 billion per year (FOEN 2014). Accordingly, this value is taken into consideration in land use planning, in particular in areas where reforestation for instance decreases the aesthetic perception of visitors (Schirpke et al. 2016). This value and the natural value of biodiversity hotspots also represents an important factor in the planning of renewable energy infrastructures such as for hydropower production, which are a key measure in climate change mitigation in the Alps. Preserving natures whilst intensifying the production of renewable energies is expected to increase conflicts over land use (Hastik et al. 2015). Iconic species present another important symbolic cultural ecosystem service across the Alps, with clear spatial patterns associated with high elevations, slopes that are steep, open land cover, and naturalness (Schirpke et al. 2018). Whereas these species are typically wild, local livestock breeds also offer an untapped potential for marketing and branding local products (Marsoner et al. 2018), and are the subject of targeted policies in some countries such as Germany (BMU 2014). Interestingly, different types of cultural ecosystem services are occasionally located in different parts of the landscapes: for example in South Tyrol (Italy) areas of aesthetic beauty, spirituality, or leisure are mainly located in traditionally managed landscapes between 100 and 2200 m a.s.l whereas cultural heritage values are concentrated in the valley (Zoderer et al. 2016).

Despite ongoing changes, many traditional alpine landscapes such as Larch grasslands still provide a variety of ecosystem services including timber, forage, but also space for recreation, biodiversity

conservation, as well as carbon storage (Nagler et al. 2015). Timber otherwise comes mostly from alpine forests (Maroschek et al. 2015), which hold stocks that are among the largest in Central and Western Europe (IPBES 2018d). Additional services provided by these alpine forests (Irauschek et al. 2017), especially uneven-aged ones (Lafond et al. 2017) with high biodiversity and structural heterogeneity (Dupire et al. 2016a) include non-timber services such as the protection against natural hazards (Vacchiano et al. 2015; Winter et al. 2015; Dupire et al. 2016b; Lega et al. 2018). The vulnerability of these ecosystem services and of their provision to climate change is largely unknown (Irauschek et al. 2017) but their relative provision is likely to depend on the disturbance regime affecting the forests (e.g., fire, bark beetle infestations or avalanches), and the subsequent regeneration stages through which the forest has to go (Vacchiano et al. 2015). Protections against soil erosion and natural hazards is an important ecosystem services. As an example, half of Switzerland's forests serve to protect inhabitants and infrastructure from natural hazards (FOEN 2014). Yet, measurements in the Swiss Alps show that the current rates of soil erosion exceed the rates of soil formation, putting the future capacity of soils for protection, but also food production, at risk (Meusburger et al. 2018). Managing these ecosystem services under ongoing and future change is a challenge that will require taking into consideration the valuation of services and the trade-offs between them. For example, as the productivity of protection forests increases, protecting against natural hazards, carbon sequestration and timber extraction have to be weighed against each other in making management decisions (Irauschek et al. 2017; Jandl et al. 2018).

#### 2.4 The Hindu Kush Himalaya

In the Hindu Kush Himalaya, the natural systems provide a wide range of ecosystem services to approximately one fifth of the world's total population (Das et al. 2017). Important regulating services include the regulation of water flow (Jana et al. 2017) and the regulation of climate through carbon storage. Carbon storage is particularly important in the high altitude dry temperate land use systems of the Indian Himalaya and in forest pastures, which store a particularly high amount of total biomass (Chisanga et al. 2018). While such higher altitudes land cover types can be considered a sink for soil organic carbon, improved management practices are needed at lower altitudes to sequester and stabilize more carbon in soils (Dinakaran et al. 2018). Possible improvements include the restoration of degraded barren and cultivated land to grasslands and forests and a decrease in land use intensity, which both have served to increase carbon and nitrogen storage and support climate change mitigation in the Indian Himalayas (Meena et al. 2018). For example, community-managed forests in the Gharwal Himalaya and Sikkim can participate in REDD+ mechanisms to control forest degradation as a measure to capture carbon and mitigate climate change (Chettri et al. 2015; Mahato et al. 2016). Similar to climate, the importance of water regulation extends way beyond the Himalayas. For example, the crucial inland fisheries in Bangladesh depend on the freshwater provided by the Ganges, Brahmaputra, and Meghna, which all come from the Himalayas (Department of Environment 2015).

Besides regulating services, the Himalayas also provide a great diversity of key provisioning ecosystem services to the communities (M. Sharma et al. 2016). These services include fodder, firewood, as well as timber and NTFPs. Fodder for livestock production is provided by various ecosystems, including protected (Thapa et al. 2016) and non-protected forests, such as in Jammu and Kashmir (Ahmad et al. 2015). Timber (for example *Pinus gerardiana*) is a particularly important provisioning ecosystem service for local communities, but in many places in North-Western Indian Himalaya for example, valuable trees are overexploited and cannot regenerate fast enough for the harvesting to be sustainable (Kumar et al. 2016). Timber is also important in Bhutan, where about

60% of the energy provision in rural areas comes from firewood (Royal Government of Bhutan 2014). NTFPs are important provisioning services supporting rural livelihoods in the Himalayas (Aryal et al. 2018). They are used for more than 20 different purposes, the most important being medicine and food (Singh et al. 2015; Rawat and Nagar 2017). In the Kailash Sacred Landscape (China, India and Nepal) for example, 85% of households depend on the wild plants they collect for their nutrition for at least one month a year (Aryal et al. 2018). However, the level of reliance on forest products depends to a certain degree on forest type, with oak forests providing more resources than pine forests (Chakraborty et al. 2017; Naudiyal and Schmerbeck 2018). A strong reliance on forest products (e.g., the tasat tree (Arenga obtusifolia Griff) to prepare traditional food and beverages in case of drought (Singh et al. 2015) or the Seabuckthorn (Hippophae salicifolia) (Chettri et al. 2018) is an important coping mechanism in the event of climate related adversities such as droughts, floods, and landslides (Rawat et al. 2018). Other coping mechanisms to face environmental uncertainties include the reliance on traditional agroforestry systems (Yadav et al. 2019). Agroforestry, including Pecan Nut (Carya illinoinensis), is widespread in the Indian Himalaya and provides a wide range of ecosystem services of local significance (such as food, timber, firewood) in addition to carbon sequestration for climate change mitigation (Yadav et al. 2017). Pecan Nuts are one of many agroforestry and crop-wild relatives that contribute to people's livelihoods and resilience as well as to the economy (Pandey et al. 2017). For example, in Bhutan alone, more than 100 species of agricultural crops are found, and the variety of grown races (e.g., 384 races of rice and 32 races of barley) is an important resource for climate change adaptation (Royal Government of Bhutan 2014). The use of NTFPs is also widespread in medicine and as fodder and food, for example (Kanwal and Joshi 2015). However, much of the knowledge about the use of NTFPs in medicine has gradually been lost and is now mainly limited to the older generations. Medicinal and aromatic plants (MAPs) often represent an important source of income and livelihoods. The very renown Chinese Caterpillar Fungus (Ophiocordyceps sinensis) for example provides up to 65% of the income of poor households in Nepalese mountain villages (Shrestha et al. 2019) and its harvest represents a key livelihood strategies for Gharwal communities in Northern India, regardless of the villagers economic standing and social status (Caplins et al. 2018). This is true despite ongoing declines in response to overharvesting and climate change (Hopping et al. 2018). Encouraging the cultivation of MAPs represents a development tool for rural communities in the Indian Himalaya for instance, and simultaneously contributes to their conservation (Phondani et al. 2016). Fodder in turn is important in supporting traditional nomadic livelihoods and livestock-based livelihoods throughout the extensive rangelands of the Hindu Kush Himalaya region, including the Indian Himalaya (Thakur et al. 2017).

Cultural services, such as landscapes and ecosystems that attract tourists (e.g. Rhododendron forests in Sikkim), can provide alternative income sources for local communities (Chettri et al. 2018) if they are well managed (Badola et al. 2018). In the natural landscapes of the Himalaya region for instance (e.g., the Kailash Sacred Landscape of India and Nepal), many worshippers visit the numerous cultural and religious sites, thereby contributing to the local economy (Nepal et al. 2018). In Bhutan in turn, the health of two main sectors of the economy - hydropower and "high value, low impact" tourism - is attributed to the sustained provision of ecosystem services (water regulation and scenic pristine landscapes). There, forests, and in particular the community forests, also play an important role in the socio-economic development and good governance (Sears et al. 2018). However, the equitable sharing of the tourism related benefits among the different stakeholders is a constant challenge (Badola et al. 2018).

Ecosystem services often come in so-called bundles. Such bundles, including provisioning (food) and regulating (e.g. conservation and water regulation) services are for instance provided by traditional agroforestry landscapes based on land sharing principles. These landscapes typically contribute to biodiversity conservation, complementing the protected area network (Sharma and Vetaas 2015) by providing alternative habitats, refugia, and landscape connectivity for different species of plants and animals. Stages of natural succession from grasslands to forests are associated with particular bundles of ecosystem services, which typically increase in number towards later successional stages. They include ecosystem services of both local (fuelwood, food and fodder) and global value (climate mitigation and biodiversity conservation), whose prioritization often results in trade-offs that need to be included in local management plans (Naudiyal and Schmerbeck 2017). However, examples exists where conservation and local economic development can go hand in hand, such as in the tea plantations of Darjeeling, which support important and diverse bird communities (Cettri et al. 2018). In large-scale development projects, the ecosystem services delivered by the affected habitats are rarely considered during decision-making (Murali et al. 2017). The concept of ecosystem services could be part of a framework for sustainable development in the Himalayas, where conservation efforts and improvement of livelihoods (including poverty reduction) are often still considered as irreconcilable objectives (Sandhu and Sandhu 2015).

While ecosystems are mostly described for providing beneficial services, ecosystem disservices are reported as well. These disservices take primarily the form of human wildlife conflicts resulting from the damage caused by wildlife to crops around protected areas (e.g., Shivapuri Nagarjun National Park of Nepal), and which require adapted management strategies for people and protected species to live together (Pandey et al. 2016).

## 3. Direct drivers of change

#### 3.1 The Andes

3.1.1 Land-use change

In the Andes, land-use change plays the most important role in driving environmental change (Ministerio del Ambiente 2015), in particular through deforestation (Bendix and Beck 2015), changes in agricultural practices (grazing and conversion to pastures, cultivation, and to a lesser extent conversion to cropland (Iñiguez-Armijos et al. 2018)), and rapid urbanization (Ministerio del Medio Ambiente 2014). Inappropriate forestry practices, such as the planting of exotic species, is another form of land-use change with negative effects. Oil palm (Elaeis sp.) is one example of species that represents a major threat, in particular because the most suitable areas for its expansion do not necessarily coincide with the areas of highest conservation priority (Ocampo-Peñuela et al. 2018). In parts of the Andes, these changes in land use result in land degradation (soil erosion and salinization) and desertification (Ministerio de Ambiente y Desarrollo Sostenible 2014). Afforestation and agriculture are forms of land-use change that particularly affect the high mountain grasslands (páramo and puna) and their capacity to deliver ecosystem services (Benavides et al. 2018; Rolando et al. 2018). In Bolivia as in other Andean countries, a clear correlation exists between the rate of deforestation and the distance to the nearest road (Fernández-Llamazares et al. 2018). Where forests are cleared, the impact on the hydrological cycle reduces the base flow of rivers (Buytaert and Breuer 2013) and increases the likelihood of landslides (Vanacker et al. 2003). Forest clearing for urban extension also affects carbon storage capacities, in particular in the tropical Andes where periurban forests have a high storage potential (Clerici et al. 2016). Water extraction for the growing mining industry is also a strong driver of change, specifically for Andean wetlands (Ministerio del Medio Ambiente 2014; Aitken et al. 2016). Other land-use related threats to mountain wetlands and aquatic ecosystems include the conversion to cropland and drainage, and the construction of roads and dams, which severely disrupts their hydrology (Salvador, F. et al. 2014; Matthews-Bird et al. 2017). Infrastructure construction such as hydroelectric dams also affects mountain rivers by causing severe losses in river connectivity (Finer and Jenkins 2012; Anderson et al. 2018). River sediment loads in turn are affected by changes in catchment areas, with downstream effects reaching as far as the Caribbean coral reef ecosystems (Restrepo et al. 2016).

#### 3.1.2 Climate change

Climate change is another important driver, which interacts in complex ways with biodiversity because of altitudinal, latitudinal, and humidity gradients (Herzog et al. 2012). It represents a threat for all ecosystems, and not the least for the Andean cloud forests, which depend on specific atmospheric conditions (Herzog et al. 2012), and for small patches of relict forests (Ministerio del Ambiente 2015). Indications of climate change come primarily from increasing temperatures (Vuille et al. 2015). Increasing temperatures particularly affect the glaciers (Quenta et al. 2016) and páramos and the dynamics of freshwater ecosystems that depend on them (Barros et al. 2015; Labaj et al. 2018). Yet, while putting at risk the long-term provision of freshwater, glacier retreat also offers some short-term opportunities and options for human livelihoods (Drenkhan et al. 2018). These opportunities include transient increases in water flow and the opening up of new grasslands or wetland habitats for colonization by species such as amphibians (Dangles et al. 2017; Seimon et al. 2017; Young et al. 2017). However, projected temperature increases are also expected to cause faster soil carbon oxidation, in particular in the páramos, puna, and associated wetlands, which in turn would lead to an increase in the release of greenhouse gases (Buytaert et al. 2011) and a deterioration of the wetlands' favourable hydrological properties. Further temperature-related effects include a decrease in the extent of the páramos due to the climate-induced upward movement of the treeline. Patterns and trends in precipitations are less clear and predictions differ between the North (expected increase) and the South (expected decrease) (Sklenář et al. 2014). Decreases in rainfall are likely to mostly affect high mountain wetlands (Otto and Gibbons 2017) and freshwater fauna species through changes in the flow regimes of rivers as well as in the water renewal of mountain lakes and increasing risks of hypoxia (e.g., Yusseppone et al. 2018 in the Patagonian Andes).

Beyond their effects on ecosystems, changes in climate also affect species distribution (Crespo-Pérez et al. 2016), with a predicted upward shift from the Amazonian foot slopes to the Andes under different climate change scenarios (Sales et al. 2017). Individual examples of range modifications include that of the Shiny Cowbird (*Molothrus bonariensis*), which recently expanded its range from about 2000 to 2800m in the Ecuadorian Andes (Crespo-Pérez et al. 2016). Global warming and changes in precipitation also interact with ecological processes such as pollination (Arroyo et al. 2017), and the functioning of agroecosystems, forcing famers to adopt adaptation strategies such as return to traditional practices, technical advice from state agencies, and farmers associations (Barrucand et al. 2017).

The effects of climate on forests and the *yungas* (Carón et al. 2018) or on the *páramos* (Buytaert et al. 2011) rarely happen alone. Observed trends often result from interactions between climate - and land-use change.

#### 3.1.3 Invasive species

Invasive species are an increasing problem in at least parts of the Andes. They are currently mostly encountered in ecosystems below the treeline (with some exceptions such as *Ulex europaeus* in the Colombian *páramo*), including forests, which are increasingly exposed to the propagules of planted exotic trees (such as *Pseudotsuga menziesii* and *Fraxinus uhdei*) (Saavedra-Ramírez et al. 2018; Salgado Salomón et al. 2018). A typical example is that of the tropical ash (*Fraxinus uhdei*). This species, which is native to México, has been largely introduced to Colombia and other countries for various usages including timber, live fences, and urban greening. It is now common in various tropical mountain areas and is expanding into native forests, notably in Colombia (Saavedra-Ramírez et al. 2018). Introduced species are also an increasing problem in Andean freshwater ecosystems (Ministerio del Ambiente 2015) and in agricultural areas, with invasions of the Argentine Ant (*Linepithema humile*) in the vineyards of Cafayate for instance (Schulze-Sylvester et al. 2018). In freshwater ecosystems, the combined effect of trout introductions and more invasive fishing techniques has resulted in declines in endemic native fish species (Vila et al. 2007).

#### 3.1.4 Pollution

Pollution remains essentially a local issue affecting freshwater ecosystems, mainly around settlements, but the expanding mining sector represents an increasing threat (Ministerio del Medio Ambiente 2014; Ministerio del Ambiente 2015) together with the runoff of pesticides and fertilizers in agricultural areas, such as the Colombian Andes (Ruiz et al. 2017).

#### 3.2 The East African Mountains

#### 3.2.1 Land-use change

In the East African Mountains, land-use change happens at an accelerating rate (Winowiecki et al. 2016). Deforestation and the degradation of forests and woodlands, including protected forests (Finch et al. 2017), represents a particularly important threat, which causes noticeable declines in forest area and condition (Schaafsma et al. 2014; Burgess et al. 2017). Deforestation is systematically reported notably in the Eastern Arc Mountains (Schaafsma et al. 2014), on Mount Kilimanjaro, and in the Albertine Rift Mountains, where it has already destroyed about 38% of the habitat of 162 endemic plant and vertebrate species (Ayebare et al. 2018). Deforestation is often accompanied by, associated with, or the result of a number of other anthropogenic disturbances, which all affect mountain ecosystems, and lower mountain forests (Malonza 2015; Molina-Venegas et al. 2019) and grasslands in particular. These include the expansion of intensive crop cultivation and commercial plantations (e.g., coffee and tea), overgrazing, fire (Downing et al. 2017), mineral exploitation, large-scale commercial investments (e.g., Eckert et al. 2017 for Mount Kenya), the expansion of settlements, and renewable energy infrastructure, such as hydroelectric power (Musonge et al. 2019) and wind-based infrastructure of high potential in African mountains (Mukasa et al. 2013).

Consequences of anthropogenic disturbances are numerous, including logging-induced landslides on Mount Kilimanjaro (Vice President's office 2014) and negative impacts on the community size and relative abundance of large mammal species (Rovero et al. 2017). In Eastern DRC, increasing bushmeat hunting associated with small-scale mining in mountain forests threatens the survival of critically endangered species such as Grauers Gorilla (*Gorilla beringei graueri*) and Eastern Chimpanzee (*Pan troglodytes schweinfurthii*) inside the national parks (Spira et al. 2019). Around Mount Kilimanjaro, the loss of wildlife habitat to agriculture increases the incidence of human wildlife conflicts, in particular with elephant (Mmbaga et al. 2017). Additional consequences of land-use change and changes in land-use intensity (cultivated versus semi natural) include high levels of fragmentation, such as in the remaining areas of the Eastern African montane forest (Ojoyi et al. 2016), decreases in soil organic carbon and carbon stabilization (e.g., Becker and Kuzyakov 2018 on Mount Kilimanjaro), accelerated ecosystem cycles (Becker et al. 2015) and increasing water-related conflicts (Eckert et al. 2017). Increased fragmentation of lowland habitats between individual mountain ranges in turn significantly reduces ecological connectivity and isolates formerly connected mountain wildlife populations (Mbane et al. 2019), thereby reducing their chances of survival (Newmark et al. 2017).

Yet, although changes in land use have an overall negative effect on ecosystems and their biodiversity, different species groups respond either differently to land use or more readily to changes in land-use intensity. For example, on Mount Kilimanjaro and in the Eastern Arc Mountains, land-use change primarily affects vertebrate forest specialists, while forest generalists are often able to thrive in modified environments such as agroforestry (Helbig-Bonitz et al. 2015; Norfolk et al. 2017). Orthoptera on the lower slopes of Mount Kilimanjaro in turn respond to land-use intensity with a higher biodiversity of grasshopper in mosaics of agricultural landscape, areas of savanna, and extensively managed ruderal areas (Kuppler et al. 2015). Similarly, lightly to moderately grazed montane forests on Mount Kilimanjaro show higher plant species diversity than ungrazed and heavily grazed areas (Kikoti and Mligo 2015).

### 3.2.2 Climate change

Climate change increasingly affects the coupled socio-ecological systems of the Eastern African Mountains, because of steep environmental gradients, demographic growth, and geographical isolation (Capitani et al. 2019). With the predicted increase in the frequency of severe droughts in arid and semi-arid mountain areas (Munishi et al. 2015), their vulnerability is likely to increase even more. Climate change particularly affects ecosystem above the treeline (Downing et al. 2017) and other high montane ecosystems through increasing temperatures as well as glacier retreat and increased wildfires. However, it also affects the lower montane ecosystems through changes in rainfall patterns (Omambia et al. 2017). Effects of climate change on species vary, notably in birds along elevation gradients of Mount Kilimanjaro (Dulle et al. 2016). As a result of climate change, human populations are projected to move to higher elevations and put additional pressure on the remaining ecosystems (Capitani et al. 2019). Pressure on ecosystems, their functioning, and their ability to provide ecosystem services comes also from the combined effect of climate change and land-use change (Detsch et al. 2016).

#### 3.2.3 Invasive species

Invasive alien species such as the *Eucalyptus* spp. and *Acacia mearnsii* are common exotic tree species in eastern Africa. They are described as increasingly invasive, reaching remote locations such as the high altitude remnants of native forest in the Taita Hills (Piiroinen et al. 2018). In Kenya, eucalyptus species introduced in 1907 and now naturalised are considered invasive in the Highlands (Republic of Kenya 2015). Besides trees, invasive species also include alien fish reported in some freshwater ecosystems such as Chala Lake on Mount Kilimanjaro (Moser et al. 2019).

### 3.3 The European Alps

#### 3.3.1 Land-use change

In the European Alps, land-use change and land-cover change have extensively changed over the past century (Egarter Vigl et al. 2016). Land-use intensification and land abandonment (land-use polarization) have caused the degradation, loss, and fragmentation of many ecosystems, including semi-natural grasslands (Stanchi et al. 2015; Ringler and Grabherr 2017), wooded pastures, and Larch grasslands, with adverse effects on biodiversity (Löffler and Fartmann 2017). Land abandonment is described as one of the main threats on alpine bird populations, together with leisure, forestry, and urbanization, which is mainly concentrated in the mountain valleys (Direction de l'Eau et de la Biodiversité 2014; FOEN 2014), (Chamberlain et al. 2016). Unlike elsewhere so far, leisure or tourism have become a major driver of change. The transition away from rural economies has had important effects on the alpine landscape, primarily as a result of forest expansion at the expense of seminatural grasslands at intermediate altitudes (1500 to 2150 m asl) along steep slopes (Fedrigotti et al. 2016; Fondevilla et al. 2016). However, the impacts of tourism, and of the ski tourism industry in particular, also result from the direct disturbance of ecosystems and their species (e.g., typical high mountain birds, Brambilla et al. 2016), and from all the associated infrastructure, including roads, hotels, high tension wires, and the water and energy used by snow canons (Direction de l'Eau et de la Biodiversité 2014). Other forms of infrastructures and land-use changes, such as those associated with the production of renewable energies, also affect alpine biodiversity and ecosystems (Hastik et al. 2016), notably freshwater ecosystems, including riverbeds and riverine ecosystems, which are profoundly altered though engineering works for increasing flood safety (Simoni et al. 2017).

#### 3.3.2 Climate change

A systematic increase in temperatures is the most noticeable effect of climate change in the Alps (FOEN 2014), whereas trends in precipitations are less consistent. Increases in temperature (Fell et al. 2018) are influencing alpine vegetation and ecosystems (Direction de l'Eau et de la Biodiversité 2014), both at high and at lower elevations (Gritsch et al. 2016). They drive alpine plant species to higher elevations (Dainese et al. 2017; Rumpf et al. 2018), increase their abundance and affect their ranges, with the ranges of thermophilic species increasing and that of cold adapted species contracting (Rumpf et al. 2018). Together with changes in precipitations, they are also influencing vegetation phenology (Asam et al. 2018) by affecting the snow cover dynamics above the treeline (Nicolet et al. 2018). Temperature increases are further predicted to change the composition of tree species in forests, such as in Slovenia where the commercially desirable pine is predicted to be gradually replaced with less desirable beech, calling for alternative management strategies (Mina et al. 2017). Other evidence for pervasive effects of increasing temperatures on biodiversity come from birds such as Black Grouse (Marti 2018), Rock Ptarmigan (Furrer et al. 2016; Novoa et al. 2016; Ferrarini et al. 2017), White-winged Snow Finch (Montifringilla nivalis, Brambilla et al. 2017), and Boreal and Pygmy Owl (Aegolius funereus and Glaucidium sp, Brambilla et al. 2015). All these species are likely to face a decrease in their distribution range because of climate change. However, the effect of climate is often combined with the effects of land-use change, such as in farmland bird populations (Korner et al. 2018). Such combined effects also apply to species-rich semi-natural grasslands and forest grassland ecotones, where climate change together with land abandonment, the encroachment by woody plants (Umweltbundesamt 2014; Fedrigotti et al. 2016; Barros et al. 2017), and the upward movement of invasive species (Petitpierre et al. 2016) lead to changes in species composition. Other forms of climate change include droughts, which are affecting grassland forest ecotones (Barros et al. 2017), and increased frequency, magnitude, and intensity of heatwaves and other extreme climate events (Rosbakh et al. 2017). At higher altitudes, heatwaves may have positive effects due to early snow release. However, the effects at lower altitudes are mainly negative due to increased evapotranspiration (Jolly et al. 2005) or to anomalies in the discharge of rivers. Such anomalies impact on water quality and availability, which in turn affects ecosystems through impaired growth conditions in mountain forests for instance (Obojes et al. 2018), but also the industry and transport across all of Europe (Zampieri et al. 2016). Beyond the local scale, both the changes in climatic conditions at high altitude themselves and their effects on ecosystems (e.g., vegetation dynamics) depend on larger scale climate processes (Desai et al. 2016). The impacts of climate changes are also expected to affect different organismic groups differently in different locations, for example as a result of differences in mobility (Winkler et al. 2018).

Changes in climate also affect entire ecosystems. Increasing temperatures lead to glacier retreat and the melting of permafrost, which in turn affects freshwater ecosystems quality as well as their associated biota (Rotta et al. 2018) and reduces slope stability, increasing the risk of natural hazards (landslides, avalanches, floods) (Spehn and Körner 2017). Climate change also affects alpine river discharge (Zampieri et al. 2015) and temperature (Cianfrani et al. 2015), which in turn affects habitat suitability for fish such as Brown Trout (*Salmo trutta*, Viganò et al. 2016).

#### 3.3.3 Invasive species

The Alps have so far been largely spared from alien species invasions and the expansion of nonnative plant species and populations is small (Petitpierre et al. 2016; Seipel et al. 2016). However, in the face of climate change and land abandonment, and with the development of tourism and the increasing trade in ornamental species, the barriers to invasions (Petitpierre et al. 2016; Carboni et al. 2018) and to the upward migration of non-native plant species are gradually weakening. The threat is particularly high for some of these non-native plant species, which express functional traits that enable them to spread upwards approximately twice as fast as native species (Dainese et al. 2017). Although few, examples of invasive species exist. They include the killer shrimp (*Dikerogammarus villosus*), which represents an increasing threat in freshwater ecosystems (Rewicz et al. 2017), and the Bark Beetle (*Ips typographus*). Bark Beetle outbreaks represent a challenge for the management of Alpine forests, although they do occasionally facilitate the regeneration of more natural, diverse, and resilient forests (Winter et al. 2015).

#### 3.3.4 Pollution

Atmospheric deposition of Nitrogen oxides from agriculture results in the overfertilisation of many ecosystems, including Alpine pastures and moorlands, putting at risk the many species adapted to habitat with low nutrient (FOEN 2014). Persistent organic pollutants (PCBs) in turn have accumulated in the Alpine glaciers and are now present in the meltwater, where they represent a risk for human health and the environment (Miner et al. 2018). They are also found in mountain lakes that tend to act as net sinks, receiving most of their input from spring snowmelt (Nellier et al. 2015).

The main direct drivers of change in mountain ecosystems typically interact in complex ways, either dampening or reinforcing their respective impacts. For example, fire risk in alpine mountain forests is typically a result of complex interactions between land-use (forest management) and climate change (trend in number of dry and hot "fire weather" days) (Dupire et al. 2017). The local persistence of species such as the Dwarf willow (*Salix herbacea*), in turn, is determined by the interplay between climate change and human-induced nitrogen deposition (Little et al. 2016). Experiments in the Alps

further reveal that ongoing land-use change in grasslands might profoundly influence the impacts that climate extremes might have on the carbon dynamics of grasslands (Ingrisch et al. 2018).

## 3.4 The Hindu Kush Himalaya

### 3.4.1 Land-use change

In the Hindu Kush Himalaya, land-use change takes a number of different forms and represents an important factor in the gradual modification of mountain ecosystems. In the Western Himalayas for instance, changes in land use and land cover in the form of marked increases in agriculture, scrubland, and urban areas (Maan et al. 2016) are among the main drivers of change in vegetation cover and productivity (Mishra and Chaudhuri 2015). In the Nepalese part of the Kailash Sacred Landscape, demand for agricultural land and forest products are the main drivers of forest cover decline (Uddin et al. 2015). As a result of the progressive integration into globalized markets and better infrastructure results, mountain societies are shifting progressively from subsistence to market-orientated agriculture (IPBES 2018c). Unsustainable agricultural practices are typically accompanied by the expansion of agriculture to steep slopes, which results in large-scale soil degradation and landslides (Royal Government of Bhutan 2014). Market-oriented production also drives increasing rates of forest conversion such as in the Eastern Himalayas, where conversion to oil palm plantations affects in particular selectively logged tropical forests wrongly considered to be of low conservation value (Srinivasan et al. 2015).

Besides a shift in agriculture, the Hindu Kush Himalaya is also experiencing increasing tourism, which, if not well managed, represents a major threat to the landscapes and ecosystems on which it depends (P.K. Chettri et al. 2018). In Sikkim for instance, tourism-related activities, such as opening footpaths, represents a considerable threat for the Rhododendron (Ericaceae sp) forests (P.K. Chettri et al. 2018). Concomitantly, also urbanization and infrastructure development are increasing, causing noticeable impacts on fragile mountain environments. Infrastructure development (e.g. hydroelectric power projects, road construction) in particular appears as an important contributor to ecological degradation throughout the entire Himalayas (Ministry of Environment and Forests 2014; Royal Government of Bhutan 2014; Nandy et al. 2015), with hydropower development reducing access to medicinal plants in the Indian Western Himalaya for example (Kanwal and Joshi 2015). Additional forms of land-use change include the abandonment of agricultural land, which, together with the insufficient tree cover, may result in a decrease in soil water retention and in the drying up of springs and streams at lower altitudes in Sikkim (G. Sharma et al. 2016). On the other extreme, the excessively intensive use of the grassland-oak forest continuum (fire, grazing, and lopping) hinders the natural regeneration of late-successional oak community in the Central Himalaya (Naudiyal and Schmerbeck 2018). Effects of land use change pertain to all mountain ecosystems and regions of the Himalayas, including the cold deserts of the Transhimalaya mountains (IPBES 2018c), and freshwater ecosystems such as high altitude wetlands (Gupta and Shukla 2016; Khan and Baig 2017; Rashid et al. 2017). There, land use change is associated with diffuse pollution (Rather et al. 2016).

## 3.4.2 Climate change

Climate change is associated with different phenomena. Those include increases in temperatures, in ozone concentrations on the highest peaks (Semple et al. 2016), in extreme events such as extreme precipitation and increases in flooding events from melting glaciers (IPBES 2018c), and in the variability in precipitation, with more unpredictable and shorter rainfall season in Sikkim for example (Sharma et al. 2016). Increases in temperatures vary across the region, with an increase of about 0.2

to 1.6 degrees Celsius per decade in the Northeast Indian Himalaya (Chakraborty et al. 2017) for instance, which is more rapid than the global average (Prakash Singh et al. 2018). Ongoing and predicted changes in climatic conditions are expected to have far-reaching consequences on natural ecosystems including glaciers, on ecosystem services (food production, water supply), and thus also on the health of both humans and animals as well as on overall human wellbeing (Aukema et al. 2017; Negi et al. 2017). Expected effects on species and ecosystems are numerous. For example, although in many places the treeline is depressed by anthropogenic factors (Bobrowski et al. 2017), climate change is predicted to cause a Northward and upward shift of treeline forming species and an advance of forested areas, which in turn will decrease the extent of alpine ecosystems (Chhetri et al. 2018). Changes in climate are also predicted to affect the climatic envelopes and the habitat distribution of many key conservation species, such as the Snow Leopard (Panthera uncia, Li et al. 2016) and the Blue Sheep (Pseudois nayaur, Aryal et al. 2016), as well as key species for mountain people, such as the Yak (Bos grunniens, Krishnan et al. 2016). The consequences of climate change, including the increased variability in water discharge due to melting glaciers, are in turn likely to have strong impacts on species such as the bumblebees (Apidae sp) in the Western Tibetan Plateau, which depend on a steady flow in arid areas (Williams et al. 2015). In the Eastern Himalaya region (Yunnan), climate change is further predicted to profoundly affect mountain forests (Saikia et al. 2017) and to substantially alter the bioclimatic conditions by 2050. Specifically, 45% of protected areas are predicted to coincide with entirely different bioclimatic conditions, signalling a period of intense ecological perturbation and questioning how effective the network of protected areas is (Zomer et al. 2015). Climate change, particularly in alpine areas, is also a threat for trophic interactions between primary producers, herbivores (such as the Pika, Ochotona sp.) and the predators that prey on them, which in turn could initiate a trophic cascade in the Himalayan ecosystem (Bhattacharyya et al. 2019). Finally, the melting of ice and snow, the thawing of the permafrost, and events of extreme precipitation are also likely to increase soil erosion and greenhouse gas emissions (IPBES 2018c). Examples of the effects of climate change on human wellbeing include increasing risks to traditional health care and food security caused by changes in the phenology of medicinal and agricultural plants (Sharma et al. 2016; Maikhuri et al. 2018).

#### 3.4.3 Invasive species

Invasive plant species (such as *Lantana camara* and *Cassia tora*) are being recorded and are spreading in the Himalayas, posing threats to the native flora (Khare et al. 2018), notably by suppressing the floral biodiversity of herbaceous species in forests (Khan and Arya 2017). Major effects are on native scrublands and subtropical needle-leaved forests in the Western Himalayas (Thapa et al. 2018). These effects are predicted to increase with climate change (Khan and Arya 2017). In the Indian Himalaya, the advance of invasive species is recorded along riparian areas and areas grazed by cattle (Mandal and Joshi 2015). It is also recorded in freshwater, where the fifteen alien species of fish (three of them highly invasive) identified to date pose a severe threat (Gupta and Everard 2017) by eating the eggs of economically valuable fish species and by preying on endangered rare indigenous species of fish and invertebrates (Ministry of Environment and Forests 2014). Invasive insects such as ants (*Formicidae*) are also increasingly recorded in the lower reaches of the Himalayas, and predicted to move to higher elevations as temperatures increase (Bharti et al. 2016). Himalayan urban areas are centres of human induced biological invasions (Mehraj et al. 2018).

#### 3.4.4 Pollution

Levels of pollution from point sources and diffuse atmospheric deposition levels in the Himalayas are generally low (Magnani et al. 2018), but differ greatly among locations, with urban areas showing higher levels of diffuse atmospheric deposition (Gupta et al. 2017). In Bhutan, solid waste is a major source of terrestrial pollution, while domestic sewage and effluents from industry contaminate the water ecosystems (Royal Government of Bhutan 2014). Pollution is an increasing threat for high altitude wetlands (Khan and Baig 2017) and in part ascribed to ill-managed tourism. Eutrophication is an important source of pollution of freshwater ecosystems in Kashmir (Pandit et al. 2016) and the wider Himalaya (Rather et al. 2016). In freshwater rivers in the Central Himalaya, water quality decreases downstream and water must be treated before drinking (Singh et al. 2019). Higher CO<sub>2</sub> concentrations and atmospheric Nitrogen deposition in turn have been suggested to cause the positive greening trend observed in certain regions of the Himalaya, along with the introduction of sustainable forestry practices, agricultural fertilization, and irrigation (Mishra and Mainali 2017).

#### 3.4.5 Overexploitation

Overexploitation through bird hunting, fishing, collection of medicinal plants and timber, and retaliatory killings of predators such as the Grey Wolf and Asian Black Bear following livestock depredation are threatening the biodiversity of many high altitude wetlands (Gupta and Shukla 2016; Khan and Baig 2017) and forests (Royal Government of Bhutan 2014), including the sub canopy vegetation (Bisht et al. 2015). Given that many communities depend on livestock for their livelihoods (Syed and Khan 2017), overgrazing is also a widespread driver of change in different ecosystems including forests and above. Pastoralism and overgrazing affect native plant species but also the wild herbivores that compete for limited resources (Syed and Khan 2017) and other wild species, such as the Red Panda (Ailurus fulgens) who tend to avoid suitable habitats used by livestock (Dendup et al. 2017; Acharya et al. 2018). Overexploitation, combined with deforestation, habitat fragmentation, the introduction of exotic species (see below) (e.g., Rahman et al. 2016) and increasing trade as a source of additional income (Sher et al. 2017), also affects specific biological resources with a cultural and local consumption value (Negi et al. 2015) or a high market value. These resources include the Chinese Caterpillar Fungus, which is one of the most expensive biological resources of the world (Negi et al. 2015; Shrestha and Bawa 2015), the wild berry Myrica esculenta (Gusain and Khanduri 2016), and other MAPs. In the Indian Himalaya as in other parts of the Hindu Kush Himalaya, overharvesting and habitat loss are the main drivers of decline in a number of MAPs used in traditional medicine (Negi et al. 2018).

#### 3.4.6 Interactions

Climate and land-use change interact in many ways and places. For example, they interact dynamically to impact alpine flora in Nepal (Bhatta et al. 2018) and high elevation wetlands (Bhatta and Vetaas 2016; Khan and Baig 2017). In the Eastern Himalayas, interactions between factors such as the loss and fragmentation of habitats, hunting and trapping, unsustainable practices of natural resources extraction, invasive alien species, unregulated tourism and climate change modify bird diversity and populations (Kandel et al. 2018). In Bhutan, road construction eases the access of poachers to forests areas, resulting in the overexploitation of timber and other high value forest species (Royal Government of Bhutan 2014).

## 4. Institutions, governance, and indirect drivers

## 4.1 Indirect drivers of change

The main indirect driver of change across the Andes, the East African Mountains (e.g., NEMA 2014; Näschen et al. 2018) and the Hindu Kush Himalay (Sharma et al. 2017; Singh et al. 2018) is demographic growth. In the Andes, additional factors include rapid environmental changes (Flores-López et al. 2016), economic development (cash cropping and market prices) (Quintero-Gallego et al. 2018), and the lack of environmental education and awareness of the general population and the local authorities (Ministerio del Ambiente 2015). Economic development in the form of market prices for instance is also an important factor, for instance in the Himalaya, where the conservation and sustainable management of wild species, such as the Chinese Caterpillar Fungus is often compromised by inadequate marketing (Uprety et al. 2016).

## 4.2 Legal and regulatory instruments

Legal and regulatory instruments consist primarily in national policy and legal documents. In the Andes, for example, and in particular in Ecuador and Bolivia, the relation between nature and people is acknowledged and reflected in major national policy and legal documents and in the notion of "living well" (*Buen Vivir* or *Sumak Kawsay* in Quechua). This notion is based on a concept and vision of the world that originated in the old indigenous societies of the Andes in which the social idea of solidarity and redistribution is linked to the wider concept of progress – as opposed to growth (SENPLADES 2013). Other countries such as Peru apply a more utilitarian view on the link between nature and people, which is based on the concept of ecosystem services flows that can be valued and compared (Ministerio del Medio Ambiente 2014). In the European Alps, the national conservation and development efforts are mostly pursued in the framework of the implementation of the Alpine Convention (BMU 2014; Direction de l'Eau et de la Biodiversité 2014). This includes the creation of a transboundary ecological network as well as coordinated reintroduction efforts of large predators.

## 4.3 Economic and financial instruments

Economic and financial instruments and measures take different forms. In the Andes, finance-based models for ecosystem conservation and restoration are applied at different scales. An example is the Socio Bosque Programme (SBP)<sup>1</sup> in Ecuador. This program, which is established by the government, directly provides economic incentives to rural families and local as well as indigenous communities that have agreed to comply with certain conservation activities on a voluntary basis (Cuenca et al. 2018). The SBP reduced deforestation by 1.5% in those forests that received the SBP's direct payment (Cuenca et al. 2018). Payments for Ecosystem Services (PES) represent another form of financial instrument. In Andean high mountain and forest environments, several PES schemes have been implemented to encourage land management practices that contribute both to ecosystem services related to water, carbon, and biodiversity, as well as to livelihoods at a local scale (Pagiola et al. 2002; Bremer et al. 2016; Farley and Bremer 2017). An improvement of the connection of local perceptions and ecological science can be achieved through an active involvement of the local stakeholders, and a better understanding of how the benefits people can obtain from páramos are perceived, and how local participation in PES is likely to affect those uses and values (Farley and Bremer 2017). Such collective processes are a prerequisite for an effective integration of local and scientific knowledge and for the coordination between the relevant societal actors, which in turns can help the process of successfully implementing a public policy for conservation (Rubio et al. 2017).

<sup>&</sup>lt;sup>1</sup> https://theredddesk.org/countries/initiatives/socio-bosque-program

Ecosystem services, the support of basic needs, security, health, as well as social relations are some of the values typically associated with the *páramo*. While local perceptions may at times align with research on the ecological outcomes of PES, expectations of PES participants are sometimes also unrealistic (Farley and Bremer 2017). PES in the form of payments for watershed services and direct payments for Reducing Emissions from Deforestation and forest Degradation (REDD), for example, are also co-developed with stakeholders in the Eastern African mountains. In the Eastern Arc mountains for instance, scenarios and models for the identification of areas candidate for REDD payments and voluntary carbon projects were co-created with multiple stakeholders and both the acceptance and legitimacy of the proposed options achieved through such a co-design process were high (Fisher et al. 2011; Swetnam et al. 2011). Yet not all attempts to introduce PES schemes have been successful and the overexploitation of forest resources for example continues in many places. In the European Alps, financial instruments consist primarily of direct payments in the form of subsidies to mountain farmers. These subsidies typically need to take into account the impacts of changing farming practices on farmland biodiversity, such as farmland birds (Korner et al. 2018).

#### 4.4 Social and information-based instruments

Social and information-based instruments consist primarily in education and capacity building such as in Peru, and in training and awareness raising, such as in Pakistan where such instruments helped communities sustainably manage and trade medicinal and aromatic plants (Sher et al. 2017).

#### 4.5 Rights-based approaches and customary laws

Rights-based approaches and customary laws represent other forms of institution and governance tools that are important in driving the relationship between nature and people. In many regions of the Andes, and particularly in the Central Andes, indigenous communities have conserved or are rediscovering their traditional knowledge and practices of living and interacting with mountain ecosystems. This traditional ecological knowledge and its application take different forms such as indigenous territories, community forests, or sacred natural sites. They help sustain ecosystem services and are perceived as efficient instruments towards the mitigation (Ricketts et al. 2010) and towards adaptation to (Magrin et al. 2014) climate change, and as effective means to reconcile biodiversity conservation and human development (Hoffmann et al. 2011; Marinaro et al. 2015). In various countries, most notably in Ecuador and Bolivia, such initiatives are firmly rooted in philosophy, policy, and laws such as the Ley Orgánica de Tierras Rurales y Territorios Ancestrales del Ecuador (Ministerio del Ambiente del Ecuador 2015), which is the Framework Law of Mother Earth and Integral Development for Living Well<sup>2</sup> (Estado Plurinacional de Bolivia 2015). These integrated, holistic approaches to biodiversity conservation and human wellbeing also consider and reflect the need to adapt to future climate change through the conservation of agrobiodiversity (Estado Plurinacional de Bolivia 2015). Important sources of traditional ecological knowledge are also important in the development of governance approaches and adaptation pathways in the Eastern African mountains. In the Eastern Afromontane for instance, local and indigenous knowledge (e.g., about traditional coffee farming (Capitani et al. 2019)) and coping mechanisms could be incorporated in conventional measures of climate change adaptation (Omambia et al. 2017). A vast amount of (indigenous) local knowledge about coping with and adapting to climate variability and extreme events exists also among the communities in the Himalayas (Ingty 2017) and could be integrated in climate adaptation strategies (Negi et al. 2017; Pandey et al. 2018). Traditional knowledge (e.g.,

<sup>&</sup>lt;sup>2</sup> Spanish: La Ley Marco de la Madre Tierra y Desarrollo Integral para Vivir Bien

https://en.wikipedia.org/wiki/Framework\_Law\_of\_Mother\_Earth\_and\_Integral\_Development\_for\_Living\_Well

selection of planted tree species to safeguard plant richness in the Himalaya traditional land use systems (Singh et al. 2017)) can help provide efficient, appropriate, and timely ways of responding to climate change especially in remote communities. Other forms of right-based approaches include citizen mobilizations to defend basic rights and access to natural resources. For example, citizen movements have started in response to the expansion of mining in the *páramos* of Perú (Ministerio de Ambiente y Desarrollo Sostenible 2014) and conflicts have arisen due to the inadequate governance of water resources (Vuille et al. 2018) and to an increase in social and political problems associated with the allocation of water for subsistence farming.

#### 4.6 Management based instruments

Finally, management based instruments also offer tools towards supporting a sustainable relationship between nature and people. One example of such instrument is ecosystem-based adaptation, where the sustainable use of biodiversity and ecosystem services supports and enhances the adaptive capacity of mountain socio-ecological systems in the face of anticipated changes (Egan and Price 2017). Restoration ecology is another approach, which is applied in the context of rural development objectives in the Andes, and has been shown to reduce rural to urban migration in Bolivia (Hartman and Cleveland 2018). In the Eastern African mountains, examples of management-based instruments include reforestation projects and support to agroforestry (e.g., the establishment or extension of Chagga homegardens in regions such as the Kilimanjaro).

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